



LITIGATION QUESTIONNAIRE

APPENDIX A

This form must be completed by each principal of the general partner.

All “Yes” answers must be accompanied by a detailed explanation of the situation or circumstances causing the “Yes” answer. Please provide details of any “Yes” answers on a separate sheet.

1. Are you now, or have you ever been, a named defendant in any criminal proceeding or in any civil legal action involving a claim of greater than \$250,000?
 Yes No

2. Has any organization of which you have been a member of senior management, or in which you have had a meaningful ownership interest (greater than 5%), ever been a named defendant in any criminal proceeding or in any civil legal action involving a claim of greater than \$500,000?
 Yes No

3. Have you or any organization in which you have had a meaningful ownership interest (greater than 5%), or of which you are, or were, a member of senior management, ever filed voluntarily, or had filed against you involuntarily, a bankruptcy petition?
 Yes No

4. Has any licensor, franchisor, or master distributor ever initiated proceedings to terminate a business relationship with you or any organization of which you have ever been a member of senior management?
 Yes No

5. Has any business partner, joint venture, or subcontractor ever sought injunctive relief or arbitration or otherwise commenced legal proceedings to terminate any business or contractual relationship?
 Yes No

6. Have you or any organization in which you have had a meaningful ownership interest (greater than 5%) or which you are, or were, a member of senior management, (i) been the subject of any federal, state, or other regulatory investigation or disciplinary hearing or proceeding, (ii) agreed to any consent order or decree in connection with any alleged violation of federal or state laws or regulations, or (iii) pleaded no contest in any legal or administrative proceeding?
 Yes No

7. Provide a description of any litigation pending against any general partner.

8. Are you registered with the SEC?
 Yes No

9. Have you or any organization in which you have had a meaningful ownership interest (greater than 5%), or of which you are, or were a member of senior management, ever filed suit against the commonwealth or commonwealth agencies? If so, describe the nature of the suit, the tribunal of the suit, and the outcome.
 Yes No