

Grantee: Pennsylvania

Grant: B-12-DT-42-0001

January 1, 2017 thru March 31, 2017 Performance Report



Grant Number:

B-12-DT-42-0001

Obligation Date:**Award Date:****Grantee Name:**

Pennsylvania

Contract End Date:**Review by HUD:**

Submitted - Await for Review

Grant Award Amount:

\$27,142,501.00

Grant Status:

Active

QPR Contact:

No QPR Contact Found

LOCCS Authorized Amount:

\$27,142,501.00

Estimated PI/RL Funds:**Total Budget:**

\$27,142,501.00

Disasters:

Declaration Number

FEMA-4025-PA

FEMA-4030-PA

Narratives

Disaster Damage:

In 2011, Pennsylvania was impacted by two natural disasters; Hurricane Irene in August; and Tropical Storm Lee in September. These two events caused severe flooding in many of Pennsylvania's communities, damaging or destroying homes, businesses, public facilities and infrastructure.

In late August 2011, Hurricane Irene's heavy winds and severe rains caused major catastrophic flooding in northeastern Pennsylvania. Roads flooded, requiring evacuation of stranded residents. Lycoming and Wyoming were most significantly impacted. Impacted counties were designated as eligible for both FEMA Public Assistance and Individual Assistance to address damages to infrastructure, homes and businesses. A map of impacted counties is illustrated in Exhibit 1.

Within weeks of Hurricane Irene, Tropical Storm Lee impacted much of central Pennsylvania, causing widespread flooding along the Susquehanna and Delaware River basins in early September 2011. Of the two events, Tropical Storm Lee caused the most extensive damage in the state, largely due to heavy rains in central Pennsylvania, ranging between 10 and 15 inches over a three-day period. In particular, the counties of Bradford, Columbia, Dauphin, Luzerne, and Wyoming were most impacted. Flooding predominantly occurred within river towns. Communities like Athens, Towanda, Danville, Bloomsburg, Wilkes-Barre, Sunbury, Harrisburg and Marietta suffered catastrophic flood losses.

As a result of these two Nationally Declared Disasters, 9,059 homes suffered major to severe damage and there were 18 fatalities. Following these disasters:

- 94,385 persons registered for FEMA Individual Assistance and 60,898 received assistance;
- 780 businesses applied for SBA emergency business loans of which 272 received assistance;
- NFIP paid more than \$327 million to cover property and content loss;
- FEMA obligated in excess of \$208,000,000 to remove debris, provide emergency relief services, and restore public infrastructure[1];
- 23 Disaster Recovery Centers were set up and in operation between September 14, 2011 and November 30, 2011; and
- 291 households were placed into temporary housing units provided by FEMA.

Counties Eligible for FEMA Assistance and Images of the Flooding in Impacted Communities can be found on pages 15, 16 and 17 of the Substantial Amendment #4

Tropical Storm Lee, and Hurricane Irene caused flooding and wind damage throughout much of Pennsylvania, particularly in the river communities along the banks of the Susquehanna River. Table 2 lists the 10 communities with the greatest number of housing units that suffered major to severe damage.

Table 2: Communities with Major to Severe Damage from the 2011 Disasters can be found on Page 6 of the Substantial Amendment #4.

The most impacted counties of Bradford, Columbia, Dauphin, Luzerne and Wyoming will receive at least 80%, or \$17,283,073 of the funding from this allocation.



All Charts and Appendices can be located on the Commonwealth's website at <http://www.dced.pa.gov> . Please scroll to the bottom of the page and click on Disaster Relief Assistance Programs to get to the link for the amendment.

Recovery Needs:

The Commonwealth of Pennsylvania received its first allocation of \$27,142,501 in CDBG-DR funding from the Department of Housing and Urban Development (HUD), pursuant to Public Law 112-55 (approved November 18, 2012). This was the first appropriation of CDBG-DR funding to assist the State with recovery from 2011 storm events Hurricane Irene – FEMA-4025-DR; and Tropical Storm Lee – FEMA-4030-DR). The appropriation was announced on April 16, 2012 in the Federal Register as Docket Number FR-5628-N-01. The Action Plan for this appropriation was published on July 12, 2012. The Commonwealth of Pennsylvania has published three Substantial Action Plan Amendments for this CDBG-DR allocation, the first on March 24, 2014; the second on May 21, 2014; and the third on August 11, 2014. The Department of Community and Economic Development (DCED) is the recipient and administrator of CDBG-DR funding for the Commonwealth of Pennsylvania.

This Action Plan amendment includes an updated unmet needs analysis based on the latest available data from the qualifying disaster events. Based on the unmet need, DCED has allocated the funding to a mix of Housing and Infrastructure Programs as reflected in the table below. The Economic Development Business Stimulus and Resiliency Program was eliminated due to a lack of unmet need and demand for the program which is explained in more detail in the Unmet Needs Assessment – Businesses section (beginning on page 24). The 2011 storm events damaged infrastructure throughout the region and the Commonwealth of Pennsylvania has worked and continues to work closely with the impacted municipalities to address infrastructure damage and carry out projects that make infrastructure more resilient in the event of future flooding events. The remainder of the funding has been allocated to Housing programs including programs that will create new homeowner units (New Homeowner Development Program); affordable rental units by providing financing for Low Income Housing Tax Credit (LIHTC) deals (Multifamily Rental Housing Program); and help homeowners repair, reconstruct and/or elevate their damaged houses (Housing Repair, Reconstruction and Elevation Program). Through the Buyout Program, DCED has also allocated funding for property buyouts in Plymouth Township as part of a coordinated effort with the Pennsylvania Emergency Management Agency (PEMA) to move households out of flood prone areas. DCED has determined there is additional need for buyouts in other impacted areas and through the PL 113-2 allocation has allocated funding to a Buyout Program to be administered by PEMA. In order to assist low income homeowners that may not have sufficient funding to purchase replacement housing from their buyout proceeds or need assistance to purchase a new home because their existing storm damaged home cannot be repaired or reconstructed, DCED has created the Down Payment Assistance Program under this allocation.

Substantial Amendments June 26, 2016

Below is a summary of the major changes between the Commonwealth of Pennsylvania's PL 112-55 Substantial Action Plan Amendment #4 published for public comment on June 26, 2016 and submitted to HUD for approval on August 3, 2016 and the previously approved PL 112-55 Substantial Action Plan Amendment #3 dated August 11, 2014.

Change in Unmet Need Methodology
From&

Recovery Needs:

o April 16, 2014, DCED administered a survey to counties, boroughs, townships and cities to update the unmet needs analysis. In total, 37 counties and 119 communities responded. The results of this survey led to an estimated unmet need of \$50,972,308 for housing, businesses, public facilities and infrastructure. The survey was administered to local government officials, who likely did not have the information on unmet needs for housing and businesses and the 10 day survey period was not sufficient to collect all of the information about unmet need. Therefore while DCED believes the information from this survey was useful as a form of engagement with communities, and to address qualitative concerns and project-specific needs, it under estimated the overall unmet need. For these reasons, this updated unmet needs assessment uses the data sources used in the first Action Plan (though updated with 2016 data) in combination with the methodology HUD uses to determine unmet needs as reflected in Federal Notice FR-5628-N-01.

Change in Funding Allocation

Based on the strategic priorities of the Commonwealth of Pennsylvania, the updated unmet needs analysis and the demand or lack of demand for certain programs, PL 112-55 funding was reallocated as reflected in the table – Page 3 of the substantial amendment

Elimination of Business Stimulus and Resiliency Program

The Business Stimulus and Resiliency Program was eliminated from the PL 112-55 CDBG-DR Program. This program was eliminated for these 3 reasons: (1) Housing and Infrastructure programs have significant unmet need and there is high demand for these programs; (2) the economic data indicates that the impacted region has largely recovered in the 5 years since the storm events; and (3) the lack of applications for the Business Stimulus and Resiliency Program indicated there is no demand for the program. While the Economic Development activities have been eliminated from DCED's allocation of CDBG-DR funds, a number of businesses in the impacted communities stand to benefit from some of the proposed CDBG-DR infrastructure projects.

Changes in Program Design



The project caps that limited the total amount that could be provided to a single project at \$500,000 for the Housing Repair, Reconstruction and Elevation Program and the Infrastructure Program have been removed based on the applications received to date and the level of unmet need that has been identified.

Triggering Amendment for 10% Change in Allocation

The provision in previous Substantial Action Plan Amendments indicate that an Amendment would be required if 10% of more funding is moved from one program to another is removed.

May 24, 2014

In August of 2013, DCED received 22 applications for infrastructure, economic development activities as well as buyout activities requesting \$24,398,906. Recommendations were approved in December according to the original Action Plan. These recommendations included six contracts totaling \$4,495,040.

Recovery Needs:

The 2012 Action Plan allocated \$5,500,000 for these types of projects. In February of 2014, an additional 19 projects from 11 different units of government were recommended for funding. In order to meet the demand for the infrastructure projects, DCED proposed a substantial amendment to its July, 2012 Action Plan for the 2012 allocation of CDBG-Disaster Recovery funding. The proposed changes to the Action Plan meet the criteria for a substantial amendment as set forth in the original plan by changing the allocations of the categories by more than 10%.

A Substantial Amendment was prepared, advertised and submitted to HUD in March, 2014. At that time HUD returned the Substantial Amendment to DCED and requested additional information be added to the Plan. The Revised Amendment being proposed includes the requested information along with the changes in the original Action Plan.

The additional information added to the Substantial Amendment included information on a needs survey completed by DCED in April, 2014 which gave current information to unmet needs. This information may be found between pages 9 and 15. Also the Revised Substantial Amendment adds demographic data about each of the 36 counties affected by the storms. These can be found in Appendix A. A breakdown of economic unmet needs by county may be found in Appendix B. DCED has also included a table showing the contracts that have already been awarded under the 2012 Disaster recovery funds and those currently being recommended. These may be found in Appendix C.

In addition in the Revised Substantial Amendment, DCED included under the Method of Distribution, two additional ways in which the administration of the proposed activities may be carried out if warranted. These included the hiring of consultants and the development of a consortium of counties or local governments. (Refer to page 17 of the Substantial Amendment)

The Substantial Amendment increased the infrastructure category allocation from \$ 3,500,000 to \$7,953,500, allowing for an additional 22 projects for a total of 30 infrastructure projects. The amendment decreased the economic development category allocation from \$4,553,500 to \$200,000 thus allowing for two (2) projects to be completed rather than the 60 originally proposed. These changes are being made to better reflect the need of the affected communities. (Refer to pages 9, 13 and 14 of the substantial amendment)

Also, Local Units of Government within the affected areas will be allowed to be eligible applicants instead of just County Governments. Under the Infrastructure category there is no longer a distinction between FEMA eligible and non-FEMA eligible activities. All activities meeting the established criteria are eligible for funding. (Refer to page 13 of the substantial amendment)

The Substantial Amendment also reduces the number of residential properties that will be purchased under the Housing Buyout category, though the allocated amount will remain the same. Originally the plan for these funds was to provide the match required for the FEMA buyout of 560 affected properties. After discussions with FEMA, PEMA and DCED staff, it was agreed upon that the "global" match proposal would be accepted and the plan will be completed.

Recovery Needs:

acial burden and potential homelessness in the event of a disaster. DCED analyzed these statistics in Census Tracts where there were at least 10 homes experiencing major or severe damage in the 2011 disasters, and compared those to Census Tracts having a disproportionately higher percentage of vulnerable populations. DCED provides a detailed breakdown of this information by Census tract and community in Appendix 2, and is using this information to target outreach, planning activities, and prioritization of projects and resources.

According to this analysis, impacted rural communities in Bradford, Sullivan, Northumberland, Lancaster, and Dauphin have a higher concentration of older adults and residents with disabilities than average. In contrast, the impacted urban neighborhoods of Harrisburg, Lebanon, and Philadelphia have higher concentrations of poverty.

Businesses

The 2011 storms caused flooding and severe power outages across the central and eastern parts of the state which damaged commercial structures and interrupted business operations. In particular, the flooding along the Susquehanna River had a severe impact on businesses located in riverine communities. Widespread power outages impacting 3.8 million customers caused businesses to shutter for extended periods of time. According to the Pennsylvania Public Utility Commission, the storms of 2011



caused the longest interruption of power in the past nine years, with many customers experiencing outages longer than 72 hours.

To calculate unmet business needs, DCED focused on business owners who submitted loan applications to the SBA and were denied. These loans typically cover the costs insurance did not cover for real property and real content loss, along with working capital needed to maintain business operations. For many businesses, their insurance was not adequate to cover their aggregated losses. Reasons most often cited for SBA loan rejection were poor credit or perceived inability to repay loans. The unmet business needs is based on these applicants, assuming any business owner who submitted a loan application and was denied likely had unmet needs. (For a detailed description of methodology for business unmet need, see Appendix 1: Unmet Need Methodology.)

According to SBA business loan information, as of January 2015, 780 businesses completed and submitted business loan applications, and 35% were approved (equivalent to 272 businesses). Based on this information, DCED estimates there was up to \$46,321,788 in unmet business needs remaining once insurance payments and SBA loans were processed.

Table 8: Unmet Business Needs can be found on Page 11 of the Substantial Amendment #4.

The unmet business needs figures reported in the chart above rely on SBA loan applications and insurance claims that were likely filed within a year of the storm events. The CDBG-DR allocation is based on Luzerne and Dauphin Counties commitment of funds to economic development activities (both Luzerne and Dauphin received their own CDBG-DR allocation). DCED believes that the status of businesses with unmet needs has changed in the intervening five years between the storm events and today and that the majority of businesses impacted by the disasters have either recovered using other resources, or have since thrived.

Recovery Needs:

out of 30 properties in Plymouth Township, Luzerne County. (Refer to page 11 of the substantial amendment)

Through this Substantial Amendment, DCED also changed the method of distribution of its housing rehabilitation funds to set a standard process for application intake for all affected counties and set a minimum standard for the assistance provided. Coordination between the housing rehabilitation portion from this allocation and the 2013 allocation will be established. (Refer to page 17 of the substantial amendment).

Finally, this Substantial Amendment adjusted the Performance Measures Timetable of achievements to reflect actions already taken and adjust those yet to be developed. (Refer to page 22 of the substantial amendment).

On July 17, 2014, HUD denied the Revised Substantial Amendment due to inconsistent numbers when discussing the economic development allocation, a need for more outreach to the Special Populations of the area especially in housing, lack of access to the plan during the Citizen Participation process for the residents with Limited English proficiency.

August 12, 2014

DCED has corrected the errors in the May 24, 2014 Revised Substantial Amendment and is submitting it again on August 12, 2014 for approval from HUD. The changes made in the Revised Substantial Amendment, except for the change in the economic development allocation, remain along with the following:

Increase in the Economic Development allocation (page 24) - The unmet need, after the completion of the April 2014 survey is at 6%. The Commonwealth will allocate 6% or \$2,000,000 towards these activities. This amount was changed in the First Amendment to \$200,000 but it was pointed out that perhaps not enough outreach was done to explain the availability of funding to the affected businesses and that DCED should make economic development assistance for affected businesses or determine if the unmet need is for multifamily (rental units) rehabilitation, which would be covered under the housing rehabilitation component.

Decrease in the Contingency (page 25) – in order to meet the demands of the Round 2 batch of applications, currently pending but not awarded, \$2,000,000 needs to be taken from the contingency line item and added to infrastructure.

Unmet needs of the Special Needs Populations (page 11) – DCED conducted a survey of the agencies known to DCED that provide assistance to the special needs populations of the affected areas. The results of that survey are added to this substantial amendment as testament to the Commonwealth's continued efforts in identifying all the possible needs of the all affected communities.

As recovery programs are starting to be carried out various sources of additional funding are being used by the local government units. This is expected to grow as more of the disaster funds get on the street, as leveraging of other funds is a priority evaluation factor for applications. The funding that has already been committed to some of the projects is listed in two sections – Short Term Response/ Recovery Needs (page 6) and Leverage of Other Funding (page 19).

Limited - English proficiency – This revised Substantial Amendment has been translated into Spanish and placed on

Recovery Needs:

the website for comment along with the English version.

Second CDBG-DR Allocation (Public Law 113-2)

The Commonwealth of Pennsylvania received \$29,986,000 from the Department of Housing and Urban Development (HUD) under The Disaster Relief Appropriations Act, 2013 (Public Law 113-2, approved January 29, 2013). This was the second allocation of CDBG-DR funding to assist the Commonwealth with recovery from 2011 storm events; Hurricane Irene – FEMA-4025-DR; and Tropical Storm Lee – FEMA-4030-DR). The HUD guidance for the funding was published March 5, 2013 in the Federal Register as



Docket Number FR-5696-N-01 and the funding appropriation for the Commonwealth of Pennsylvania was published in the Federal Register as Docket Number FR-5696-N-03 on May 29, 2013. The first Substantial Action Plan Amendment for this appropriation is being published for a 30 day public comment period at the same time as this Action Plan Amendment.

Summary of Funding Distribution under both CDBG-DR Allocations

The Commonwealth of Pennsylvania has allocated funding under each CDBG-DR Allocation as outlined in the table : Table 1: Current CDBG-DR Funding Distribution under Both Allocations Page 3 of Substantial Amendment #4.

Connection between Unmet Needs and Allocation of CDBG-DR Funds

NOTE: This chart did not appear in the original Substantial Amendment that was submitted for public comment. It is a summary inserted at HUD's request to compare the percent of allocations versus percent of remaining unmet need.

Table can be found on Page 3 of Substantial Amendment #4.

Special Needs Population Outreach

In addition, from July 28 until the 30th, 2014 a survey was sent to all of the members of the Balance of State Northeast and Central Continuums of Care (CoC), the contact persons for the entitlement areas' COC, Public Housing Authorities, the program manager for the state's HOPWA program, the local Agencies on Aging, and the Pennsylvania Centers for Independent Living (CIL) in the affected counties to gather further documentation on housing needs for the special populations. Over 200 agencies, shelters, and public housing authorities were contacted. Forty four surveys were received and the analysis follows. Given the short turn around for the survey, the response was nearly 25% of the sample. The respondents' added data to DCED's understanding of the special needs housing that are still unmet as a result of the flooding events. DCED will be working with the housing providers to quantify the needs.

Responses from the affected areas special needs agencies included forty four (44) from a variety of providers of special needs services including housing. Thirty three of those responses identified the specific special needs population they served. In most cases the agency assists a number of groups, so the percentages will not add up to 100% but rather shows the number of agencies that reported, that fit that category. Of the 33 responses, twenty seven (27) assist the elderly population, seventeen (17) the mental health population, eleven (11) the HIV/AIDs population, twenty four (24) the homeless, sixteen (16) the develop mentally disabled poltio, ansixten(16)ictimsf domestic vio

Recovery Needs:

ence. Not all responses addressed each category. Table 6 provides an overview of the survey responses by special needs population. Unmet Needs Assessment

The unmet needs analysis included in this amendment represents a revised methodology from two previous Action Pan Amendments published in September 2012 and May 2014. The revisions closely follow the methodology HUD outlines in Federal Register Docket Number FR-5696-N-03. The revisions are also based on updated data from the following sources:

- FEMA Individual Assistance data as of February 6, 2016;
- FEMA Public Assistance data as of January 3, 2016;
- SBA business loan information as of January 2015;
- SBA housing loan information as of January 2015 and
- DCED State Match for FEMA Public Assistance (at time of program closeout in August 30, 2013).

These data sources serve as the basis for estimates of unmet needs in the most impacted and distressed areas related to:

- Restoration of housing;
- Economic revitalization; and
- Restoration of infrastructure

A detailed description of the methodology used to determine the unmet need and the data sources can be found in Appendix 1: Unmet Need Methodology. Based on the updated Unmet Needs Analysis, the remaining unmet need under this allocation is estimated at \$261,660,800. The State CDBG-DR allocations subtracted from the estimated damage reflect the PL 113-2 CDBG-DR allocation.

Table 3: Summary of Unmet Needs can be found on Page 7 of Substantial Amendment #4.

Housing

Hurricane Irene and Tropical Storm Lee[1]caused widespread damage to owned and rented homes throughout much of the state, particularly in towns along the Susquehanna and Delaware Rivers. In total, roughly 95,000 individuals applied for FEMA assistance, and according to FEMA, at least 59,793 of these applicants incurred damage to their homes. The majority of this damage was classified as minor damage, but 9,059 homes were determined by FEMA to have major to severe damage. (For a detailed description of methodology for housing damage estimates and unmet need, see Appendix 1: Unmet Need Methodology.)

The counties with the most significant housing damage are listed below in Table 4.

Table 4: Damaged Owner-Occupied Homes and Rental Units by Damage Category by County can be found on Page 7 of the Substantial Amendment #4

Homeowners and Renters



According to FEMA Individual Assistance data, 52,611 homeowners experienced some damage to their homes due to the 2011 storms. Of this number, 5,752 owner occupied properties had damage that was considered major or severe. The total homeowner damages are estimated at \$1,323,585,126 with an estimated unmet need of \$178,684,366. A substantial number of rental units were also damaged, with 7,182 renters having verifiable losses, and 1,600 rental units experienced major to severe damage. The total rental damages are estimated at \$231,399,299, with an estimated unmet need of \$55,862,351. A damage count of owned and rented homes by community, county and state can be found in Appendix. The statewide summaries of damage costs, dates,

Recovery Needs:

Time and estimated unmet need are found in Tables 5, 6 and 7 below. In total, the estimated unmet housing need is \$234,546,717. To date, the Commonwealth under PL 113-2, Luzerne County, and Dauphin County have allocated \$3521,602 in CDG-DR funds towards housing recovery, leaving a remaining unmet need of \$99,327,115.

Table 5: Damage Counts for Owned and Rented Homes Damaged in the 2011 Disasters can be found on Page 8 of the Substantial Amendment #4.

Table 6: Damage Estimates for Owned and Rented Homes Damaged in the 2011 Disasters can be found on Page 8 of the Substantial Amendment #4.

Table 7: Unmet Need for Owned and Rented Homes Damaged in the 2011 Disasters can be found on Page 8 of the Substantial Amendment #4.

Repetitive Flood Loss

Much of the 2011 damage impacted riverine communities that experience frequent flooding due to their location within 100-year or 500-year floodplains. The Pennsylvania Emergency Management Agency (PEMA) has identified 1,889 properties that experience Repetitive Flood Loss (RL) or Severe Repetitive Flood Loss (SRL). The Agency is working with communities to mitigate the risks associated with these properties through the Hazard Mitigation Grant Program (HMGP) operated under FEMA. The program enables the Commonwealth to elevate structures, or acquire and demolish structures, preserving the greenspace to mitigate future losses. Not all properties qualify for this program although they are still at risk of repetitive flooding. The Commonwealth intends to use CDBG-DR funds to supplement HMGP. To date, DCED has spent \$1,857,411 of the allocated \$2,000,000 to acquire and demolish 22 RL properties under the Commonwealth's PL 112-55 CDBG-DR allocation. DCED anticipates additional need for CDBG-DR funds to mitigate RL and SRL properties, and has committed an additional \$8,500,000 to address these needs using the 2nd CDBG-DR allocation. These figures are included in the \$34.4 million of CDBG-DR allocations found in Table 7.

Public Housing

In July of 2013, DCED administered a survey to public housing authorities (PHAs) in the affected areas to assess damages and determine unmet needs. Of the 49 surveys sent out, 19 PHAs responded. Of those that responded to the survey, three (3) PHAs identified 56 units in their properties were impacted by storm events, suffering an estimated \$1,366,226 in damage. These include the Lancaster Housing Authority, the Philadelphia Housing Authority, and the Columbia County Housing Authority which had damaged in Lancaster, Philadelphia, and Bloomsburg, respectively. Of the three PHAs reporting damage, survey respondents indicated that all damage has been repaired.

Affordable Rental Housing

DCED initially addressed damages and potential unmet needs for affordable housing using funds from other federal resources, including the Emergency Solutions Grant (ESG) Program, USDA Rural Housing, HUD Project Based Vouchers, and Low Income Housing Tax Credits (LIHTC). In late 2011, DCED conducted two application funding rounds for ESG, where applicants had the opportunity to apply for funding to repair properties damaged by the 2011 storms. The

Recovery Needs:

There were no applicants to this funding round. DCED opened an additional funding round eligible to Continuum of Care providers to fund any repairs needed for transitional and permanent housing damaged in the 2011 storms. There were no applicants for this funding round either. Based on these three funding rounds and a survey conducted October 10, 2012 at the Summit on Rural Homelessness, DCED estimates there are no remaining unmet needs for shelters or transitional housing.

In 2012, and again in November of 2015, DCED engaged with the Pennsylvania Housing Finance Agency (PHFA) to determine if there are any unmet needs for repairs to rental properties funded through the PHFA. According to surveys, PHFA administered in late 2011, three properties suffered major to severe damage due to Hurricane Irene and Tropical Storm Lee, including two developments in Bloomsburg (one for seniors) and another property in Athens. An estimated total of \$1,510,000 in damages was reported by the management companies at these three properties, with at least three feet of flooding on the ground floor, and displacement of residents. All developments were insured, and according to the survey responses, all repairs were made by the end of December 2011.



Given the remaining unmet need for affordable rental housing, and the potential loss of affordable rental units through the Buyout Program, DCED intends to provide financing to support PHFA's development of additional affordable units by providing gap financing for LIHTC projects in the upcoming rounds. PHFA intends to give priority to areas that experienced the greatest loss of affordable rental units due to the storm events.

Temporary Housing

As a result of Hurricane Irene and Tropical Storm Lee, 295 households were placed into temporary housing units provided by FEMA. By December 2013, all displaced households were in permanent housing. This was accomplished through FEMA's Direct Housing Mission, working with the Commonwealth of Pennsylvania, local municipalities and various federal agencies. Households were placed within five community sites and 37 commercial parks. As of January 2016, there were no households living in temporary housing units.

Vulnerable Populations

As part of this updated unmet needs assessment, DCED analyzed the demographic and socioeconomic characteristics of areas most impacted by the April 2011 storms, Hurricane Irene, and Tropical Storm Lee. This was accomplished by mapping all damaged homes using the FEMA Individual Assistance data, and analyzing Census Tract information for those damaged areas using American Community Survey 2008 – 2012 information. For the purpose of this analysis, Census Tracts are equivalent to neighborhoods, and communities are synonymous with towns, cities, and Census-designated places.

DCED's intention for this analysis is to identify neighborhoods and communities that were substantially impacted by the storms of 2011 and have significant vulnerable populations. Vulnerable populations may include older adults, persons with cognitive or physical disabilities, persons with limited proficiency in speaking or understanding English, and low or moderate income (LMI) individuals and households. All of these populations are viewed as being more "at risk" or severe.

Recovery Needs:

The Pennsylvania Department of Community and Economic Development (DCED) has been designated as the administrator of Pennsylvania's allocation of the Supplemental Appropriations Act, 2011. Further, the State of Pennsylvania CDBG Program, within the Center of Community Financing, will provide technical assistance to local government grant recipients and undertake administrative and monitoring activities to ensure compliance with applicable federal requirements.

As required by federal regulation, the state will contract with an independent auditor or auditors who will independently ensure that program requirements, including non-duplication of benefits, are met, and that continual quality assurance is achieved, and who will report directly to the Secretary, or, at a minimum, to the Deputy Secretary of the DCED.

2. Increasing Capacity at Local Level

The DCED will be contracting with a mix of county governments, community & economic development organizations (CDO) and others to administer the disaster funding. The DCED has been working with the Pennsylvania Entitlement subrecipients (also called state Act 179 entitlements) on CDBG administration for over 25 years and meet regularly through annual conferences and trainings. In addition, DCED has been working with the Entitlement Subrecipient staffs since the disaster to keep them updated on events and timelines. The Federal Entitlement Counties of Luzerne and Dauphin have participated in joint conference calls with HUD officials regarding how to implement HUD requirements, etc.

DCED will provide on-going training and technical assistance to the disaster affected Subrecipient counties, CDO, and Federal Entitlement Counties as the need arises. Training sessions will be held to train them on grant administration requirements. As additional funding comes through HUD for Action Plan activities, DCED will continue to meet with these disaster affected Subrecipient counties, CDO, and Federal Entitlement Counties in person, via telephone conference calls and in person meetings. All disaster affected Subrecipient counties, CDO, and Federal Entitlement Counties and others administering the funds will be invited to training specific for grant management professionals involved in the financial and administrative management of federal grant awards. The training will cover controlling regulations, budgeting, cash management, financial reporting, cost principals, cost classification, procurement and agreements, subrecipient monitoring match/leveraged resource, property and grant closeout.

3. Prevention of Misuse Through Duplication of Benefits

The HUD Notice examining the duplication of benefits requirements applicable to CDBG disaster recovery can be found at 76 FR 71060 (published November 16, 2011).

For Housing Assistance Activities, DCED will work with FEMA IA, NFIP and SBA to get recipient data in order to avoid duplication of benefits. In the case of the Housing Assistance Activity, the duplication of benefits check will be conducted by the project administrator(s) and routinely monitored by the DCED for compliance. For Business Assistance Activities, the DCED will be sharing information with the Small Business Administration and other lending institutions regarding the recipients of our assistance so that SBA or other lender



Attempts were made to gather this information for this Action Plan by DCED. There doesn't seem to be any standardized information on the damage of this type of housing. DCED will continue to make contact with housing agencies to determine the need.
McKinney – Vento Funded Shelters and Housing for the Homeless

DCED administers the Emergency Solutions Grant Program (ESG) for the state and is the Collaborative Applicant for the four Balance of State Continuum of Care. As part of the application process, renovation or rehabilitation to emergency shelters is eligible for assistance. After the disasters in 2011, DCED conducted two application funding rounds for ESG. There has not been any request for funding for shelter rehabilitation or renovation due to flood damage or unmet needs.

There was one funding round for the Continuum of Care funding for transitional and permanent housing since the disasters.

Recovery Needs:

There were no requests for funding due to flood damage.

In an effort to seek information from agencies and service providers for homeless persons, including transitional and permanent housing providers, DCED solicited information from agencies attending a Summit on Rural Homelessness in Bloomsburg on October 10, 2012. That summit attracted attendees from across the eastern half of Pennsylvania.

Attendees were asked for comments and needs that arose as a result of the 2011 flooding events. DCED followed up with those attendees who submitted comments and needs and asked for additional information and details about:

* Difficulties finding affordable suitable housing

* Other or additional issues identified since the Summit meeting

* Any unmet needs for the homeless population as a result of the flooding disasters

Very few people submitted comments to be addressed, even though DCED offer this outreach to address any present or future concerns related to the flooding disaster.

Since that summit, one transitional shelter has been in contact with DCED about mitigation efforts that might be eligible using the disaster funding, as their immediate rehabilitation needs were met through insurance. DCED is also looking at the potential of two projects that may construct shelters in two affected counties that currently have no shelters. These projects are only in the preliminary phase and no contact has been made to the local governing bodies.

Private Markets Receiving Project-Based Assistance or Section 8 Vouchers

Attempts were made to gather this information for this Action Plan by DCED. There doesn't seem to be any standardized information on the damage of this type of housing. DCED will continue to make contact with housing agencies to determine the need.

Leverage of Other Funding

In addition to the funds leveraged by the Disaster Resource Team as described previously, DCED has prioritized any flood related activity for their funding programs including CDBG, HOME, ESG and the state funded Keystone Communities Program. The activities must meet the criteria of the programs but will receive priority if requesting assistance with disaster recovery or long-term mitigation.

In 2012, Bradford County allocated \$203,721 from CDBG to their housing rehabilitation program to begin their recovery process. In the recent HOME program funding round \$1,000,000 has been allocated to the Town of Bloomsburg for housing rehabilitation program to assist the badly damaged town start improving their residences.

Bloomsburg is also working with various resources to build a flood wall to protect two businesses and a part of town badly damaged during these storms. \$11.8 million from the Pennsylvania's H2O program and \$15 million from the US-Economic Development Administration have been committed for this invaluable flood control project.

As part of the application process, DCED is requesting local municipalities and organization to leverage funds for their projects and will allocate priority points during the review to those projects leveraging local funds.

Method of Distribution

Overview

Recovery Needs:

Based on the revised unmet needs assessment, as well as input received from citizens, stakeholder groups, local government officials and other partners, the Commonwealth of Pennsylvania reviewed its CDBG-DR funding priorities and established the portfolio of recovery programs set forth in Tables 13 and 14. In most cases, DCED proposes to dedicate funding to programs currently approved by HUD, and for which demand has exceeded available funding. Integrating additional CDBG-DR funds into these established programs is the most efficient and effective way to get the funding spent in the areas of greatest need. The Commonwealth of Pennsylvania is dedicating additional resources under PL 113-2 allocation to address the continuing need for buyouts of homes in areas subject to severe repetitive flooding, and has put a corresponding emphasis on developing additional affordable rental units to address the continuing need for safe, affordable housing for those households participating in the



buyout program under PL 112-55. The remainder of this section provides details on the programs to be funded under PL 112-55 appropriation. For additional details regarding PL 113-2 appropriation, please see the draft Action Plan Substantial Amendment located at <http://www.dced.pa.gov> (scroll to the Library section at the bottom of the homepage and click on Disaster Recovery Assistance Program).

Table 13: PL 112-55 Funding Allocation can be found on Page 14 of the Substantial Amendment #4.

Table 14: PL 113-2 Funding Allocations can be found on Page 15 of the Substantial Amendment #4.

Housing

Housing Repair, Reconstruction and Elevation Program chart can be found on Page 15 of the Substantial Amendment #4.

Program Overview

Many homeowner units were flooded as a result of the qualifying disaster events. The Housing Repair and Reconstruction Program provides grant assistance to eligible homeowners to rehabilitate or reconstruct their primary residences and improve resiliency against future disasters by elevating homes and/or other mitigation activities. To date, DCED has obligated \$6,391,250 to Columbia, Bradford, Wyoming and Lycoming Counties under this program. The updated unmet needs assessment indicates that there is still a significant remaining need for assistance to homeowners in the most impacted counties. Due to the level of unmet need identified and based on applications received to date, the original \$500,000 project cap has been removed.

Program Administration

Funding is provided to UGLGs who are responsible for administering the program and distributing assistance to households based on need. DCED has standardized the housing rehabilitation process so that all UGLGs that apply for funding follow one process for determining eligibility and use the same property standards for determining assistance. Where applicable, replacement and new construction will meet the 2009 Residential International Code and green building standards by requiring compliance with ENERGY STAR and trade.

Eligible Beneficiaries

Homeowner households who earn at or below 120% of area Median Income (AMI) and whose primary residence was damaged by the Hurricane Iene ad/or Troical Storm Leere eligiblf for assistance. Houeholds participatig in the PEMA Buy

Recovery Needs:

ut Program may not receive assistance under this program. Priority will be given to providing funding in the 5 most impacted counties of Bradford, Columbia, Dauphin, Luzerne and Wyoming.

Eligibility Criteria

In order to receive assistance under this program, homeowners must meet all of the following criteria:

1. Home must have served as the owner's primary residence at the time of the storm event
2. Owner household must have earned at or below 120% of Area Median Income at the time assistance is provided.
3. Household must have registered with FEMA for disaster assistance or have other documentation of storm related damage such as proof of claim against homeowner's insurance, proof of loss from flood insurance, dated photos of flood waters in the home or inspections undertaken by local building official evidencing storm related damage.
4. Improvements must primarily focus on making homes habitable and meeting the applicable building code. Elevation and flood proofing is an eligible use of the funds. Improvements beyond those required to meet building codes may include modest amenities and aesthetic features that are in keeping with housing of similar type in the community and does not include any homeowner selected upgrades or improvements such as air jet tubs, saunas, outdoor spas, and granite countertops.

Based on an assessment of the needs of eligible beneficiaries, one or more of the following assistance type of assistance may be provided;

- * Funding to rehabilitate or reconstruction the primary residence; and/or
- * Elevation or other forms of flood proofing to make the primary residence more resistant to future flooding

Buyout Program- chart can be found on Page 16 of the Substantial Amendment #4.

Program Overview

Many homes and commercial structures damaged in the qualifying 2011 storm events are located in flood-prone areas. Household and businesses located in these areas experience frequent flooding, causing extensive financial losses over time due to property damages, and also putting residents and business owners at a great risk of physical harm. One of the State's primary goals for recovery is to acquire property from willing homeowners and businesses located in flood-prone and hazardous areas, demolish the structures, and preserve the land as open space available for recreation, passive uses and conservation.

The Buyout Program voluntarily acquired properties from homeowners that were located in Plymouth Township, Luzerne County which were designated by PEMA as substantially flood damaged as a result of Hurricane Irene and/or Tropical Storm Lee. The properties are being permanently converted to open space, accessible to the public for passive recreation and/or conservation purposes. These buyouts were undertaken in coordination with the Pennsylvania Emergency Management Agency (PEMA)'s Unified Hazard Mitigation Grant Program, a Federal Emergency Management Agency (FEMA) funded program. The CDBG-DR funds are paying 100 percent of the cost to acquire and demolish the properties helping to meet the 3% Global Match requirement for the FEMA Buyout Program. To date, DCED has obligated \$1,954,750 under his progra.



Program Administration
The program administered by Plymouth Township

Recovery Needs:

Program which is using the funding to voluntarily acquire properties, demolish the structures and clear the land as permanent open space.

Eligible Beneficiaries

Homeowners of residential units located in the PEMA-designated area of Plymouth Township and which were substantially flood damaged as a result of Hurricane Irene and/or Tropical Storm Lee were eligible for assistance under this program.

Eligible Applicants

To be eligible for assistance beneficiaries must: (1) be located in Plymouth Township; and (2) have documented proof of an impact that occurred as a result of the Presidential declared disaster in the proposed buyout area, as determined by condemnation, flood levels and/or status as beyond reasonable repair for each property.

New Housing Construction Program

Table located on Page 17 of the Substantial Amendment

Program Overview

Wyoming County has requested this funding to support the development of up to five units of affordable for sale housing. These units will help to replace housing stock lost in the storm, or units that were demolished under the Buyout program.

Program Administration

The program will be administered by Wyoming County. The State has already committed funding to bring infrastructure to the site. They will be seeking a developer to complete construction of the for sale housing.

Eligible Beneficiaries

The housing to be developed under this program will be made available to low and moderate income home buyers. To be eligible to purchase one of these homes, household income must be at or below 80% AMI based on household size.

Eligible Applicants

Eligible applicants for this funding are for-profit and non-profit developers, selected by Wyoming County, in an RFP process. Successful applicants will have experience in the development of for sale affordable housing and who meet the underwriting requirements of the State and County.

Down Payment Assistance Program – chart can be found on page 18 of the Substantial Amendment #4.

Program Overview

This program provides additional assistance to allow individuals whose housing was lost due to the storm or to buyout as a result of the qualifying disaster event(s) to purchase a replacement home.

This funding will be targeted first to those households who, even after receiving buyout or insurance reimbursement, are unable to afford to replace their homes. The maximum allocation per household is \$25,000.

Program Administration

UGLGs or nonprofits (such as Community Development Financial Institutions) will administer the down payment assistance program.

Eligible Beneficiaries

Households earning at or below 80% of Area Median Income AMI. Priority will be given to homeowners who lost their homes either directly as a result of the storm or who were subject to a buyout but still lack the resources to purchase a replacement home. This funding can be used for one or more of the following:

- Subsidize interest rates and mortgage principal amounts for low- and moderate-income homebuyers;
- Finance the acquisition by low- and moderate-income homebuyers of housing that is to be

Recovery Needs:

occupied by the homebuyers;

* Acquire guarantees for mortgage financing obtained by low- and moderate-income homebuyers from private lenders (except that amounts received under this title may not be used under this subparagraph to directly guarantee such mortgage financing and grantees under this title may not directly provide such guarantees);

* Provide up to 50 percent of any down payment required from a low- or moderate-income homebuyer; or

* Pay reasonable closing costs (normally associated with the purchase of a home) incurred by low- or moderate-income homebuyers

Multifamily Rental Housing Program – chart can be found on Page 19 of the Substantial Amendment #4.

Program Overview

This funding is committed to support a Low Income Housing Tax Credit project that will produce 40 affordable rental units in Bradford County.



Program Administration

Bradford County will administer this program.

Eligible Applicants

Bradford County has awarded funding to the developer of the LIHTC project to support the long term viability and sustainability of the project, and to reduce the amount of conventional debt financing required of the developer.

Eligibility Criteria

This project addresses the State's goal of replenishing the supply of affordable rental units lost in the most impacted counties, or other areas deemed priority by the State.

Infrastructure Program— chart can be found on page 19 of the Substantial Amendment #4.

The Infrastructure Program provides CDBG-DR funding to address unmet infrastructure needs which arose due to the qualifying 2011 disaster event(s). Units of local government are eligible to apply for CDBG-DR funds for bridge repairs/replacements, storm water/culvert improvements, sanitary sewer improvements, road reconstruction, public facilities, and other improvements to provide mitigation measures and resilience measures for future disasters. To date, DCED has obligated \$6,671,779 to fund infrastructure projects in Columbia, Dauphin, Lackawanna, Luzerne, Northumberland, Schuylkill, Susquehanna and Bradford counties. Due to the level of unmet need identified and based on applications received to date, the original \$500,000 project cap has been removed.

Program Administration

The program will be administered by DCED which will provide, through subrecipient agreements, grants to units of local government for programs meeting the threshold criteria.

Eligible Beneficiaries

Units of general local government and governmental authorities and agencies will be eligible beneficiaries for these funds.

Eligibility Criteria

To be funded, projects will need to meet the following criteria:

- * Projects will address needs arising from the 2011 disasters
- * Sufficient other local, state, or federal funds are not available

Projects benefiting low and moderate income communities or neighborhoods will receive priority.

Planning and Administration

DCED is allocating planning funds for substantial and non-substantial updates to this Action

Recovery Needs:

In addition to developing plans that help impacted communities and the Commonwealth of Pennsylvania become more disaster resilient.

Administration - Chart can be found on page 20 of the Substantial Amendment #4

DCED, as the state-designated grantee, will oversee all activities and expenditures of the CDBG-DR funds. Existing Commonwealth employees will be used and additional personnel and contractors have been hired to aid in the administration of, and to carry out, recovery programs.

These personnel remain involved in ensuring that there are layers of financial control, they also will undertake administrative and monitoring activities to better assure compliance with applicable requirements, including, but not limited to, meeting the disaster threshold, eligibility, national objective compliance, fair housing, nondiscrimination, labor standards, environmental regulations, and procurement requirements at 24 CFR Part 200. DCED may also allocate administration funding to partner agencies and units of local government that are assisting with overseeing the activities and expenditures of CDBG-DR funds.

Pennsylvania has implemented oversight and monitoring processes to ensure proficient financial controls and procurement processes; adequate procedures to prevent any duplication of benefits as defined by Section 312 of the Stafford Act; processes to ensure timely expenditure of funds; processes to detect and prevent waste, fraud, and abuse of funds; and processes ensuring all projects are compliant with the Uniform Act (relocation), Davis-Bacon and other labor standards, fair housing, Section 3, uniform administrative requirements at 24 CFR Part 200, and other applicable federal laws.

DCED will maintain a high level of transparency and accountability by using a combination of risk analysis of programs and activities, desk reviews, site visits, and checklists modeled after HUD's Disaster Recovery Monitoring Checklists and existing monitoring checklists used in monitoring regular program activities. DCED will determine appropriate monitoring of grants, taking into account prior CDBG-DR grant administration performance, audit findings, as well as factors such as the complexity of the project.



Some of the CDBG-DR funding will also be utilized to provide technical assistance to staff and subrecipients receiving CDBG-DR funds so that recovery programs will be implemented efficiently, effectively and in compliance with the federal, state and local regulations.

Definition of Not Suitable for Rehabilitation

HUD requires that the State define what constitutes a unit "not suitable for rehabilitation" that may be demolished or converted in connection with a CDBG-DR assisted activity without a replacement requirement, consistent with the waiver and allowances in FR-528-N-01. For these purposes "not suitable for rehabilitation" is defined as follows:

* Standard dwellings that cannot be brought into compliance with the Pennsylvania recovery program housing rehabilitation standards and/or applicable state and local code requirements shall be deemed not suitable for rehabilitation and shall not be rehabilitated. The determination may be established if the cost of rehabilitation exceeds 75% of the market value of the property or the property is deemed a blighted structure consistent with state or local ordinance, the property would be a candidate for demolition and/or reconstruction.

Recovery Needs:

ed structure consistent with state or local ordinance, the property would be a candidate for demolition and/or reconstruction.

OR

* A blighted structure unfit for use, habitation, or dangerous to persons or other property. In addition, a structure is blighted when it exhibits objectively determinable signs of deterioration sufficient to constitute a threat to human health, safety, and public welfare. This includes structures showing evidence of physical decay or neglect, excessive use, or lack of maintenance.

OR

* Residential properties that have experienced repetitive losses under FEMA's National Flood Insurance Program (NFIP).

Green Building

The State will require replacement and new construction to meet green building standards by requiring compliance with ENERGYSTAR™. Pennsylvania will further encourage green building practices throughout all other proposed programs.

Projected Expenditures and Anticipated Outcomes

As outlined in the Introduction and Method of Distribution – Overview, DCED plans to expend funding under each program as outlined below and based on these projected expenditures, the table also outlines the anticipated outcomes for each program. These estimates and anticipated outcomes are preliminary and may change. Some of the factors that may impact expenditure projections and outcomes include completing federally-required environmental and historical reviews, contractor availability, weather, and availability of other funding sources.

Table 15: PL 112-55 Funding and Anticipated Outcomes – chart can be found on page 22 of the Substantial Amendment #4.

Monitoring Standards and Procedures

1. Disaster CDBG projects will receive on-site monitoring to document local accountability and prevent inappropriate activities. Monitoring areas will include the standard CDBG programmatic areas including eligibility of activities, financial management, citizens' participation, environmental, procurement, and review of contract provisions, acquisition, rehabilitation, clearance, and disposition of any properties. The areas will be expanded to include "duplication of benefits analysis which will be completed for each grant. At the minimum each activity will be monitored at least once on site. Program representatives will also check and verify reported outcomes during on-site monitoring visits. Should any findings occur, recipients will be required to correct the problem or else the ineligible expenditures will be disallowed and funds recaptured by the DCED.
2. The DCED will require status reports for the status of the activities undertaken and the funds drawn. The state will then report to HUD using the online Disaster Recovery Grant Reporting system. Additional reporting requirements (i.e., annual audits, contractual obligations and other required reports) will be specified in the DCED's grant agreement

Avoid or Mitigate Occurrences of Fraud, Abuse, or Mismanagement

1. Administration and Staffing

Recovery Needs:

ate.

Infrastructure Program – chart can be found on page 19 of the Substantial Amendment #4.

The Infrastructure Program provides CDBG-DR funding to address unmet infrastructure needs which arose due to the qualifying 2011 disaster event(s). Units of local government are eligible to apply for CDBG-DR funds for bridge repairs/replacements, storm water/culvert improvements, sanitary sewer improvements, road reconstruction, public facilities, and other improvements to provide mitigation measures and resilience measures for future disasters. To date, DCED has obligated \$6,671,779 to fund infrastructure projects in Columbia, Dauphin, Lackawanna, Luzerne, Northumberland, Schuylkill, Susquehanna and Bradford counties. Due to the level of unmet need identified and based on applications received to date, the original \$500,000 project cap has been removed.

Program Administration



The program will be administered by DCED which will provide, through subrecipient agreements, grants to units of local government for programs meeting the threshold criteria.

Eligible Beneficiaries

Units of general local government and other agencies will be eligible beneficiaries of these funds.

Eligibility Criteria

To be funded, projects will need to meet the following criteria:

- Projects will address needs arising from the 2011 disasters
- Sufficient other local, state, or federal funds are not available

Projects benefiting low and moderate income communities or neighborhoods will receive priority.

Planning and Administration

Planning (PL 112-55) – Chart can be found on page 20 of the Substantial Amendment #4

DCED is allocating planning funds for substantial non-substantial updates to this Action Plan as well as for developing plans that help impacted communities and the Commonwealth of Pennsylvania become more disaster resilient.

Administration - Chart can be found on page 20 of the Substantial Amendment #4

DCED, as the state-designated grantee, will oversee all activities and expenditures of the CDBG-DR funds. Existing Commonwealth employees will be used and additional personnel and contractors have been hired to aid in the administration of, and to carry out, recovery programs.

These personnel remain involved in ensuring that there are layers of financial control, they also will undertake administrative and monitoring activities to better assure compliance with applicable requirements, including, but not limited to, meeting the disaster threshold, eligibility, national objective compliance, fair housing, nondiscrimination, labor standards, environmental regulations, and procurement requirements at 24 CFR Part 200. DCED may also allocate administration funding to partner agencies and units of local government that are assisting with overseeing the activities and expenditures of CDBG-DR funds.

Pennsylvania has implemented oversight and monitoring processes to ensure proficient financial controls and procurement processes.

Recovery Needs:

Recovery institutions assist with verification of unmet need. If necessary, DCED will require each recipient to document and verify any funding that has been received through FEMA, NFIP, SBA or EDA through procedures established by the DCED and implemented at the subgrantee level. Also see section below titled Duplication of Benefits.

4. National Objective

All activities must meet one of the three national objectives set out in the Housing and Community Development Act (address slum and blight, urgent need, or primarily benefit LMI persons). At least 50% of the Supplemental Funding will be used for activities that meet the National Objective of primarily benefiting persons of low- and moderate-income.

5. Administrative Costs

Subgrantees are strongly encouraged to minimize their administrative and delivery costs so that the amount available for program activities will be maximized. To promote this goal, the amount of allowable subgrantee administrative costs will be capped at a reasonable amount for each of the various activity categories (i.e. housing repair, public facilities, business assistance).

6. Program Changes through Contract Amendments

All subgrantees will be encouraged to carefully plan projects that meet the stated requirements and to specify activities, associated costs, and proposed accomplishments and beneficiaries in order to reduce the need for amending contracts. Subgrantees should contact the DCED prior to requesting an amendment or contract modification that affects the budget, activities, beneficiaries, or time frame for accomplishing the proposed activities. Should a proposed amendment result in the need for modification of the Action Plan, the state will follow the process required by HUD for amending the Action Plan. Substantial amendments may be cause to review the entire Application submitted to determine if the project is meeting its stated goals and its timelines.

7. Documentation

The use of the disaster funding is contingent upon certain requirements, and both the state and local government will be



expected to certify that these requirements will be met or carried out. The chief elected official, or designee authorized by the local governing authority of the local government applying for funds will be required to certify in writing that the grant will be carried out in accordance with applicable laws and regulations. In addition, local governments will be required to submit or maintain documentation that fully supports the application that is submitted to the DED. Failure to document that the project is needed as a result of the disaster(s), or to mitigate the effects of future disasters, will result in an application being declared ineligible. If this discovery is made after an award has been made, the contract with the local government may be terminated and the local government may have to repay any funds received to that point.

8. Reporting

Each awarded applicant must report on a form provided by DCED on the status of the activities undertaken and the funds drawn. Additional reporting requirements (i.e., annual audits, contractual obligations and minority business enterprise reports, as applicable) will be specified in the DCED's contractual agreement. Some recipients may be asked to r

Recovery Needs:

port using the online Disaster Recovery Grant Reporting system.

9. Internal Audit

The Commonwealth has several internal audit functions that will have oversight responsibilities for the use of CDBG-DR funds.

(i) DCED has a Monitoring and Reporting Division within the Financial Management Center to provide comprehensive fiscal monitoring of DCED's subrecipients receiving federal funds and grantees receiving state funds. The Financial Monitoring Unit performs fiscal reviews of subrecipients or grantees in compliance with federal requirements or on a risk-based method. In addition, the Financial Management Center currently reviews DCED's internal fiscal procedures and will oversee preparation of contracts to assure quality and identify any potential noncompliance, fraud, or abuse. The Center reports to the Secretary of DCED through the Deputy Secretary for Administration, not through the Deputy Secretary for Community Affairs and Development who oversees the programmatic administration of CDBG-DR funds.

(ii) The Governor's Budget Office includes the Bureau of Audits, which serves as the internal audit function for Commonwealth agencies under the Governor's jurisdiction. This unit has a responsibility to perform internal audits of DCED's programs based on risk and has performed them previously for some of DCED's federal programs. The unit reports independently to the Governor's Office.

(iii) The Pennsylvania Office of Inspector General was created to protect the interests of the Commonwealth and its citizens. The Office of Inspector General's mission is to prevent, investigate, and eradicate fraud, waste, abuse, and misconduct in the programs, operations, and contracting of executive agencies under the Governor's jurisdiction. The Inspector General is a cabinet-level official who is appointed by, and reports to, the Governor. The Office of Inspector General has investigated incidents of potential fraud in programs administered by DCED.

(iv) The Auditor General of Pennsylvania is a statewide elected position independent of all other state government agencies and elected officials. The Auditor General is responsible for ensuring that all state money (including federal funds administered by the Commonwealth) is spent legally and properly. The Auditor General performs both financial and performance audits. Financial audits provide citizens and outside entities with traditional financial audits including the assurance concerning reliability of financial statements. The Auditor General's performance audits gauge whether government programs and activities are meeting stated goals and objectives, and if public dollars are being spent efficiently and effectively. The Auditor General performs these audits of DCED's federal and state programs.

10. Citizen Complaints

All subgrantees and recipients must establish procedures for responding to citizens' complaints regarding activities carried out utilizing these funds. Citizens should be provided with an appropriate address, phone number, and times during which they may submit such complaints. Subgrantees should provide a written response to every citizen complaint within 15 working days of t

Recovery Needs:

e complaint the state will be the final arbitrator in these matters.

11. Regulatory Requirements

Subgrantees must comply with fair housing, nondiscrimination, labor standards, and environmental requirements applicable to the CDBG Program, as follows:

(i) Fair Housing: Each Subgrantee will be required to take steps to affirmatively further fair housing; and when gathering public input, planning, and implementing housing related activities, will include participation by neighborhood organizations, community development organizations, social service organizations, community housing development organizations, and members of each distinct affected community or neighborhood which might fall into the assistance category of low and moderate income communities.

(ii) Nondiscrimination: Each Subgrantee will be required to adhere to the DCEDs' established policies which ensure that no person be excluded, denied benefits or subjected to discrimination on the basis race, color, national origin, religion, sex, familial status, and/or physical and mental handicap under any program funded in whole or in part by Federal CDBG funds. Subgrantees will be required to document compliance with all nondiscrimination laws, executive orders, and regulations.



(iii) Labor Standards: Each Subgrantee will be required to oversee compliance with Davis-Bacon Labor Standards and related laws and regulations. Regulations require all laborers and mechanics employed by contractors or subcontractors on CDBG funded or CDBG assisted public works construction contracts in excess of \$2,000, or residential construction or rehabilitation projects involving eight or more units be paid wages no less than those prescribed by the DCED of Labor and in accordance with Davis Bacon Related Acts.

(iv) Environmental: Specific instructions concerning environmental requirements at 24 CFR Part 58 will be made available to all Subgrantees. Some projects will be exempt from the environmental assessment process, but all Subgrantees will be required to submit a Request for Release of Funds and Certification. Funds will not be released for expenditure until the DCEDs are satisfied that the appropriate environmental review has been conducted. Subgrantees will not use CDBG disaster recovery fund for any activity in an area delineated as a special flood hazard area in FEMA's most current flood advisory maps unless it also ensures that the action is designed or modified to minimize harm to or within the floodplain in accordance with Executive Order 11988 and 24 CFR Part 55.

Duplication of Benefits

In general, section 312 of the Robert T. Stafford disaster Assistance and Emergency Relief Act (42 U.S.C. 5155), as amended, prohibits any person, business concern, or other entity from receiving financial assistance with respect to any part of a loss resulting from a major disaster as to which he has received financial assistance under any other program or from insurance or any other source. In order to comply with this law, DCED must ensure that each activity provides assistance to a person or entity only to the extent that the person or entity has a disaster recovery need that has not been fully met. Given the often complex nature of this issue, HUD has published a separate Notice explaining the duplication of benefit requirement.

Recovery Needs:

Requirements applicable to CDBG disaster recovery; it can be found at 76 FR 71060 (published November 16, 2011)

Anti-Displacement and Relocation

Recipients will be expected to minimize displacement of persons or entities and assist any persons or entities displaced as a result of the disasters. This is not intended to limit the ability of the recipients to conduct buyouts for destroyed and extensively damaged units or units in a flood plain.

Program Income

The Commonwealth will allow local governments as subrecipients to retain program income from CDBG-DR projects. Subrecipients will be required to use any program income prior to drawing additional CDBG-DR funds. All subrecipients will be required to report program income. Any program income generated prior to DCED's closeout of the CDBG-DR grant must be used to continue disaster-related activities subject to the requirements of the HUD's Federal Register Program Notice. After grant closeout, DCED will require subrecipients in non-entitlement areas (includes state Act 179 entitlement communities and non-entitlement communities) to report on program income to the Department. For any direct federal entitlement community that accumulates program income, DCED will require that those subrecipients report on program income from CDBG-DR activities to HUD directly. All program income after grant closeout will be treated as CDBG program income.

Applicable Waivers

Waivers Approved To Date

A complete list of the waivers approved to date can be found in the April 16, 2012 Federal Register, page 22583.

Following is a summary of the approved waivers. Refer to the Federal Register for the complete language on the approved waivers.

- * Waiving 70% required overall benefit to LMI – adjusted to 50%
- * Waiving requirement to distribute to units of local government only
- * Waiving required consistency with consolidated plan
- * Waiving Action Plan requirements; substituting an Action Plan for Disaster Recovery
- * Waiving certain citizen participation requirements to streamline the process
- * Waiving administration cap to allow up to 5%
- * Waiving annual reporting requirements; substituting quarterly reporting
- * Waiver to allow new housing construction
- * Waiver allowing homeownership assistance to persons up to 120% of median income
- * Limited waiver of anti-pirating provisions for business assistance
- * Waiver to allow state flexibility on requirements regarding program income
- * Waiver of standard certifications; substituting alternative certifications
- * Waiver allowing reimbursement for pre-agreement costs

Substantial Amendments

The Commonwealth will prepare a substantial amendment to this Action Plan if any of the following changes are made that differ from the original or current Action Plan. DCED will prepare a draft of the substantial amendment that the Department shares for public comment pursuant to the same public comment process used in preparing the original Action Plan. The following modifications of the Action Plan will constitute significant changes that require a substantial amendment of the Action Plan:

* Re



Recovery Needs:

Allocation of funds among the four categories (Housing, Economic Development, Infrastructure, Planning & Services) that constitutes a change of more than 10% of the total allocation. (An amendment will not be triggered by allocating contingency funds to a specific activity unless the funded activity changes by 10% or more.)

* Addition of a new activity that is not indicated or described in the Action Plan.

All Charts and Appendices can be located on the Commonwealth's website at <http://www.dced.pa.gov>. Please scroll to the bottom of the page and click on Disaster Relief Assistance Programs to get to the link for the amendment.

McKinney – Vento Funded Shelters and Housing for the Homeless

DCED administers the Emergency Solutions Grant Program (ESG) for the state and is the Collaborative Applicant for the four Balance of State Continuum of Care. As part of the application process, renovation or rehabilitation to emergency shelters is eligible for assistance. After the disasters in 2011, DCED conducted two application funding rounds for ESG. There has not been any request for funding for shelter rehabilitation or renovation due to flood damage or unmet needs.

There was no funding round for the Continuum of Care funding or transitional/permanent housing since the disasters. There were no requests for funding due to flood damage.

In an effort to seek information from agencies and service providers for homeless persons, including transitional and permanent housing providers, DCED solicited information from agencies attending a Summit on Rural Homelessness in Bloomsburg on October 10, 2012. That summit attracted attendees from across the eastern half of Pennsylvania.

Attendees were asked for comments and needs that arose as a result of the 2011 flooding events. DCED followed up with those attendees who submitted comments and needs and asked for additional information and details about:

- Difficulties finding affordable suitable housing
- Other or additional issues identified since the Summit meeting
- Any unmet needs for the homeless population as a result of the flooding disasters

Very few people submitted comments to be addressed, even though DCED offer this outreach to address any present or future concerns related to the flooding disaster.

Since that summit, one transitional shelter has been in contact with DCED about mitigation efforts that might be eligible using the disaster funding, as their immediate rehabilitation needs were met through insurance. DCED is also looking at the potential of two projects that may construct shelters in two affected counties that currently have no shelters. These projects are only in the preliminary phase and no contact has been made to the local governing bodies.

Private Markets Receiving Project-Based Assistance or Section 8 Vouchers

Attempts were made to gather this information for this Action Plan by DCED. There doesn't seem to be any standardized information on the damage of this type of housing. DCED will continue to make contact with housing agencies to determine the need.

Recovery Needs:

Leverage of Other Funding

In addition to the funds leveraged by the Disaster Resource Team as described previously, DCED has prioritized any flood related activity for their funding programs including CDBG, HOME, ESG and the state funded Keystone Communities Program. The activities must meet the criteria of the programs but will receive priority if requesting assistance with disaster recovery or long-term mitigation.

In 2012, Bradford County allocated \$203,721 from CDBG to their housing rehabilitation program to begin their recovery process. In the recent HOME program funding round \$1,000,000 has been allocated to the Town of Bloomsburg for housing rehabilitation program to assist the badly damaged town start improving their residences.

Bloomsburg is also working with various resources to build a flood wall to protect two businesses and a part of town badly damaged during these storms. \$11.8 million from the Pennsylvania's H2O program and \$15 million from the US-Economic Development Administration have been committed for this invaluable flood control project.

As part of the application process, DCED is requesting local municipalities and organization to leverage funds for their projects and will allocate priority points during the review to those projects leveraging local funds.

Method Distribution

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Overview



Based on the revised unmet needs assessment, as well as input received from citizens, stakeholder groups, local government officials and other partners, the Commonwealth of Pennsylvania revised its CDB-R funding priorities and established the portfolio of recovery programs set forth in Tables 13 and 14. In most cases, DCED proposes to dedicate funding to programs currently approved by HUD, and for which demand has exceeded available funding. Integrating additional CDBG-DR funds into these established programs is the most efficient and effective way to get the funding spent in the areas of greatest need. The Commonwealth of Pennsylvania is dedicating additional resources under PL 113-2 allocation to address the continuing need for buyouts of homes in areas subject to severe repetitive flooding, and has put a corresponding emphasis on developing additional affordable rental units to address the continuing need for safe, affordable housing for those households participating in the buyout program under PL 112-55. The remainder of this section provides details on the programs to be funded under PL 112-55 appropriation. For additional details regarding PL 113-2 appropriation, please see the draft Action Plan Substantial Amendment located at <http://www.dced.pa.gov> (scroll to the Library section at the bottom of the homepage and click on Disaster Recovery Assistance Program).

Table 13: PL 112-55 Funding Allocation can be found on Page 14 of the Substantial Amendment #4.

Table 14: PL 113-2 Funding Allocations can be found on Page 15 of the Substantial Amendment #4.

Housing

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Housing Repair, Reconstruction and Elevation Program chart can be found on Page 15 of the Substantial Amendment #4.

Program Overview

<>Many homeowner units were flooded as a result of the

Recovery Needs:

qualifying disaster events. The Housing Repair and Reconstruction Program provides grant assistance to eligible homeowners to rehabilitate or reconstruct their primary residences and improve resiliency against future disasters by elevating homes and/or other mitigation activities. To date, DCED has obligated \$6,391,250 to Columbia, Bradford, Wyoming and Lycoming Counties under this program. The updated unmet needs assessment indicates that there is still a significant remaining need for assistance to homeowners in the most impacted counties. Due to the level of unmet need identified and based on applications received to date, the original \$500,000 project cap has been removed.

Program Administration

Funding is provided to UGLGs who are responsible for administering the program and distributing assistance to households based on need. DCED has standardized the housing rehabilitation process so that all UGLGs that apply for funding follow one process for determining eligibility and use the same property standards for determining assistance. Where applicable, replacement and new construction will meet the 2009 Residential International Code and green building standards by requiring compliance with ENERGY STAR & trade.

Eligible beneficiaries

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However households who earn at or below 120% of Area Median Income (AMI) and whose primary residence was damaged by the Hurricane Irene and/or Tropical Storm Lee are eligible for assistance. Households participating in the PEMA Buyout Program may not receive assistance under this program. Priority will be given to providing funding in the 5 most impacted counties of Bradford, Columbia, Dauphin, Luzerne and Wyoming.

Eligibility Criteria

In order to receive assistance under this program, homeowners must meet all of the following criteria:

1. Home must have served as the owner's primary residence at the time of the storm event
2. Owner household must have earned at or below 120% of Area Median Income at the time assistance is provided.
3. Household must have registered with FEMA for disaster assistance or have other documentation of storm related damage such as proof of claim against homeowner's insurance, proof of loss from flood insurance, dated photos of flood waters in the home or inspections undertaken by local building official evidencing storm related damage.
4. Improvements must primarily focus on making homes habitable and meeting the applicable building code. Elevation and flood proofing is an eligible use of the funds. Improvements beyond those required to meet building codes may include modest amenities and aesthetic features that are in keeping with housing of similar type in the community and does not include any homeowner selected upgrades or improvements such as air jet tubs, saunas, outdoor spas, and granite countertops.

Based on an assessment of the needs of eligible beneficiaries, one or more of the following assistance type of assistance may be provided;

- Funding to rehabilitate or reconstruct the primary residence; and/or



- Elevation or other forms of flood proofing to make the primary residence more resistant to future flooding/

Buyout Program - chart can be found on Pa

Recovery Needs:

e 16 of the Substantial Amendment #4.

Program Overview

Many homes and commercial structures damaged in the qualifying 2011 storm events are located in flood-prone areas. Household and businesses located in these areas experience frequent flooding, causing extensive financial losses over time due to property damages, and also putting residents and business owners at a great risk of physical harm. One of the State's primary goals for recovery is to acquire property from willing homeowners and businesses located in flood-prone and hazardous areas, demolish the structures, and preserve the land as open space available for recreation, passive uses and conservation.

The Buyout Program voluntarily acquired properties from homeowners that were located in Plymouth Township, Luzerne County which were designated by PEMA as substantially flood damaged as a result of Hurricane Irene and/or Tropical Storm Lee. The properties are being permanently converted to open space, accessible to the public for passive recreation and/or conservation purposes. These buyouts were undertaken in coordination with the Pennsylvania Emergency Management Agency (PEMA)'s Unified Hazard Mitigation Grant Program, a Federal Emergency Management Agency (FEMA) funded program using CDBG-DR funds amounting to 100% of the cost to acquire and demolish the properties helping to meet the 50% Global Match requirement for the FEMA Buyout Program. To date, DCED has obligated \$1,954,750 under this program.

Program Administration

The program administered by Plymouth Township which is using the funding to voluntarily acquire properties, demolish the structures and clear the land as permanent open space.

Eligible Beneficiaries

Homeowners of residential units located in the PEMA-designated area of Plymouth Township and which were substantially flood damaged as a result of Hurricane Irene and/or Tropical Storm Lee were eligible for assistance under this program.

Eligible Applicants

To be eligible for assistance beneficiaries must: (1) be located in Plymouth Township; and (2) have documented proof of an impact that occurred as a result of the Presidential declared disaster in the proposed buyout area, as determined by condemnation, flood levels and/or status as beyond reasonable repair for each property.

New Housing Construction Program

Table located on Page 17 of the Substantial Amendment

Program Overview

Wyoming County has requested this funding to support the development of up to five units of affordable for sale housing. These units will help to replace housing stock lost in the storm, or units that were demolished under the Buyout program.

Program Administration

The program will be administered by Wyoming County. The State has already committed funding to bring infrastructure to the site. They will be seeking a developer to complete construction of the for sale housing.

Eligible Beneficiaries

The housing to be developed under this program will be made available to low and moderate income home buyers. To be eligible to purchase one of these homes, household income must be at or below 80% AMI based on household size.

Recovery Needs:

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Eligible Applicants

Eligible applicants for this funding are for-profit and non-profit developers, selected by Wyoming County, in an RFP process. Successful applicants will have experience in the development of for sale affordable housing and who meet the underwriting requirements of the State and County.

Down Payment Assistance Program – chart can be found on page 18 of the Substantial Amendment #4.

Program Overview

This program provides additional assistance to allow individuals whose housing was lost due to the storm or to buyout as a result of the qualifying disaster event(s) to purchase a replacement home.

This funding will be targeted first to those households who, even after receiving buyout or insurance reimbursement, are unable to afford to replace their homes. The maximum allocation per household is \$25,000.

Program Administration

UGLGs or nonprofits (such as Community Development Financial Institutions) will administer the down payment assistance program.



Eligible Beneficiaries </>

<>Households earning at or below 80% of the Area Median Income (AMI). Priority will be given to homeowners who lost their homes either directly as a result of the storm or who were subject to a buyout but still lack the resources to purchase a replacement home. This funding can be used for one or more of the following:

Subsidize interest rates and mortgage principal amounts for low- and moderate-income homebuyers;

- Finance the acquisition by low- and moderate-income homebuyers of housing that is to be occupied by the homebuyers;
- Acquire guarantees for mortgage financing obtained by low- and moderate-income homebuyers from private lenders (except that amounts received under this title may not be used under this subparagraph to directly guarantee such mortgage financing and grantees under this title may not directly provide such guarantees);
- Provide up to 50 percent of any down payment required from a low- or moderate-income homebuyer; or
- Pay reasonable closing costs (normally associated with the purchase of a home) incurred by low- or moderate-income homebuyers

Multifamily Rental Housing Program – chart can be found on Page 19 of the Substantial Amendment #4.
Program Overview

This funding is committed to support a Low Income Housing Tax Credit project that will produce 40 affordable rental units in Bradford County.

Program Administration

Bradford County will administer this program.

Eligible Applicants

Bradford County has awarded funding to the developer of the LIHTC project to support the long term viability and sustainability of the project, and to reduce the amount of conventional debt financing required of the developer.

Eligibility Criteria

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This project addresses the State's goal of replenishing the supply of affordable rental units lost in the most impacted counties, or other areas deemed priority by the S

Public Comment:

limited English proficiency. The substantial amendment has been translated into Spanish and both the English and Spanish version of this document are posted on DCED's website along with the previous Action Plan and Substantial Action Plan Amendments. The Substantial Amendment posted on the DCED website is compatible with telecommunication devices to persons with visual impairments.

A public hearing was held on July 25, 2016 to receive comments on the Action Plan Substantial Amendment. DCED has reviewed public comments provided during the comment period and at the public hearing, summarize them and provide responses. A summary of these are outlined below with the complete documentation attached and made part of this document as Appendix 5. Written comments or complaints on the program may still be submitted by mail to DCED, ATTN: MJ Smith, 400 North St. 4th Floor, Keystone Building, Harrisburg, PA 17020 or via email to: DCED Disaster Recovery RA-DCCDBG-DR@pa.gov

Public comments provided during the comment period and at the public hearing, are summarized and located on Pages 71, 72, and 73 of Substantial Amendment #4.

All Charts and Appendices can be located on the Commonwealth's website at www.newPA.com. Please scroll to the bottom of the page and click on Disaster Relief Assistance Programs to get to the link for the amendment.

Recovery Needs:

ses; adequate procedures to prevent any duplication of benefits as defined by Section 312 of the Stafford Act; processes to ensure timely expenditure of funds; processes to detect and prevent waste, fraud, and abuse of funds; and processes ensuring all projects are compliant with the Uniform Act (relocation), Davis-Bacon and other labor standards, fair housing, Section 3, uniform administrative requirements at 24 CFR Part 200, and other applicable federal laws.

DCED will maintain a high level of transparency and accountability by using a combination of risk analysis of programs and activities, desk reviews, site visits, and checklists modeled after HUD's Disaster Recovery Monitoring Checklists and existing monitoring checklists used in monitoring regular program activities. DCED will determine appropriate monitoring of grants, taking into account prior CDBG-DR grant administration performance, audit findings, as well as factors such as the complexity of the project.



Some of the CDBG-DR funding will also be utilized to provide technical assistance to staff and subrecipients receiving CDBG-DR funds so that recovery programs will be implemented efficiently, effectively and in compliance with the federal, state and local regulations.

Definition of "Not Suitable for Rehabilitation"

UD requires that the State define what constitutes a unit "not suitable for rehabilitation" that may be demolished or converted in connection with a CDBG-DR assisted activity without a replacement requirement, consistent with the waiver allowances in FR-528-N-01. For these purposes "not suitable for rehabilitation" is defined as follows:

- Substandard dwellings that cannot be brought into compliance with the Pennsylvania recovery program housing rehabilitation standards and/or applicable state and local code requirements shall be deemed not suitable for rehabilitation and shall not be rehabilitated. The determination may be established if the cost of rehabilitation exceeds 75% of the market value of the property or the property is deemed a blighted structure consistent with state or local ordinance, the property would be a candidate for demolition and/or reconstruction.

OR

- A blighted structure unfit for use, habitation, or dangerous to persons or other property. In addition, a structure is blighted when it exhibits objectively determinable signs of deterioration sufficient to constitute a threat to human health, safety, and public welfare. This includes structures showing evidence of physical decay or neglect, excessive use, or lack of maintenance.

OR

- Residential properties that have experienced repetitive losses under FEMA's National Flood Insurance Program (NFIP).

Green Building

The State will require replacement and new construction to meet green building standards by requiring compliance with ENERGYSTAR™. Pennsylvania will further encourage green building practices throughout all other proposed programs.

Projected Expenditures and Anticipated Outcomes

As outlined

Recovery Needs:

As detailed in the Introduction and Method of Distribution – Overview, DCED plans to expend funding under each program as outlined below and based on these projected expenditures, the table also outlines the anticipated outcomes for each program. These estimates and anticipated outcomes are preliminary and may change. Some of the factors that may impact expenditure projections and outcomes include completing federally-required environmental and historical reviews, contractor availability, weather, and availability of other funding sources.

Table 15: PL 112-55 Funding and Anticipated Outcomes – chart can be found on page 22 of the Substantial Amendment #4.

Monitoring Standards and Procedures

1. Disaster CDBG projects will receive on-site monitoring to document local accountability and prevent inappropriate activities. Monitoring areas will include the standard CDBG programmatic areas including eligibility of activities, financial management, citizens' participation, environmental, procurement, and review of contract provisions, acquisition, rehabilitation, clearance, and disposition of any properties. The areas will be expanded to include "duplication of benefits" analysis which will be completed for each grant to ensure that no activity will be monitored more than once on site. Program representatives will also check and verify reported outcomes during on-site monitoring visits. Should any findings occur, recipients will be required to correct the problem or else the ineligible expenditures will be disallowed and funds recaptured by the DCED.

2. The DCED will require status reports for the status of the activities undertaken and the funds drawn. The state will then report to HUD using the online Disaster Recovery Grant Reporting system. Additional reporting requirements (i.e., annual audits, contractual obligations and other required reports) will be specified in the DCED's grant agreement.

Avoid or Mitigate Occurrences of Fraud, Abuse, or Mismanagement

1. Administration and Staffing

The Pennsylvania Department of Community and Economic Development (DCED) has been designated as the administrator of Pennsylvania's allocation of the Supplemental Appropriations Act, 2011. Further, the State of Pennsylvania CDBG Program, within the Center of Community Financing, will provide technical assistance to local government grant recipients and undertake administrative and monitoring activities to ensure compliance with applicable federal requirements.



As required by federal regulation, the state will contract with an independent auditor or auditors who will independently ensure that program requirements, including non-duplication of benefits, are met, and that continual quality assurance is achieved, and who will report directly to the Secretary, or, at a minimum, to the Deputy Secretary of the DCED.

2. Increasing Capacity at Local Level

The DCED will be contracting with a mix of county governments, community & economic development organizations (CDO) and others to administer the disaster funding. The DCED has been working with the Pennsylvania Entitlement subrecipients (also called state Act 179 entitlements) on CDBG administration for over 25 years and meet regularly with

Recovery Needs:

ough annual conferences and trainings. In addition, DCED has been working with the Entitlement Subrecipient staffs since the disaster to keep them updated on events and timelines. The Federal Entitlement Counties of Luzerne and Dauphin have participated in joint conference calls with HUD officials regarding how to implement HUD requirements, etc.

DCED will provide on-going training and technical assistance to the disaster affected Subrecipient counties, CDO, and Federal Entitlement Counties as the need arises. Training sessions will be held to train them on grant administration requirements. As additional funding comes through HUD for Action Plan activities, DCED will continue to meet with these disaster affected Subrecipient counties, CDO, and Federal Entitlement Counties in person, via telephone conference calls and in person meetings. All disaster affected Subrecipient counties, CDO, and Federal Entitlement Counties and others administering the funds will be invited to training specific for grant management professionals involved in the financial and administrative management of federal grant awards. The training will cover controlling regulations, budgeting, cash management, financial reporting, cost principals, cost classification, procurement and agreements, subrecipient monitoring/leveraged resource, property ad grant closeout.

3. Prevention of Misuse Through Duplication of Benefits

The HUD Notice examining the duplication of benefit requirements applicable to CDBG disaster recovery can be found at 76 FR 71060 (published November 16, 2011).

For Housing Assistance Activities, DCED will work with FEMA IA, NFIP and SBA to get recipient data in order to avoid duplication of benefits. In the case of the Housing Assistance Activity, the duplication of benefits check will be conducted by the project administrator(s) and routinely monitored by the DCED for compliance. For Business Assistance Activities, the DCED will be sharing information with the Small Business Administration and other lending institutions regarding the recipients of our assistance so that SBA or other lending institutions assist with verification of unmet need. If necessary, DCED will require each recipient to document and verify any funding that has been received through FEMA, NFIP, SBA or EDA through procedures established by the DCED and implemented at the subgrantee level. Also see section below titled Duplication of Benefits.

4. National Objective

All activities must meet one of the three national objectives set out in the Housing and Community Development Act (address slum and blight, urgent need, or primarily benefit LMI persons). At least 50% of the Supplemental Funding will be used for activities that meet the National Objective of primarily benefiting persons of low- and moderate-income.

5. Administrative Costs

Subgrantees are strongly encouraged to minimize their administrative and delivery costs so that the amount available for program activities will be maximized. To promote this goal, the amount of allowable subgrantee administrative costs will be capped at a reasonable amount for each of the various activity categories (i.e. housing repair, public facilities, business assistance).

6. Program Changes through Contract Amend

Recovery Needs:

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All subgrantees will be encouraged to carefully plan projects that meet the stated requirements and to specify activities, associated costs, and proposed accomplishments and beneficiaries in order to reduce the need for amending contracts. Subgrantees should contact the DCED prior to requesting an amendment or contract modification that affects the budget, activities, beneficiaries, or time frame for accomplishing the proposed activities. Should a proposed amendment result in the need for modification of the Action Plan, the state will follow the process required by HUD for amending the Action Plan. Substantial amendments may be cause to review the entire Application submitted to determine if the project is meeting its stated goals and its timelines.

7. Documentation

The use of the disaster funding is contingent upon certain requirements, and both the state and local government will be expected to certify that these requirements will be met or carried out. The chief elected official, or designee authorized by the local governing authority of the local government applying for funds will be required to certify in writing that the grant will be carried out in accordance with applicable laws and regulations. In addition, local governments will be required to submit or maintain



documentation that fully supports the application that is submitted to the DED. Failure to document that the project is needed as a result of the disaster(s), or to mitigate the effects of future disasters, will result in an application being declared ineligible. If this discovery is made after an award has been made, the contract with the local government may be terminated and the local government may have to repay any funds received to that point.

8. Reporting

Each award applicant must report on a form provided by DCED on the status of the activities undertaken and the funds drawn. Additional reporting requirements (i.e., annual audits, contractual obligations and minority business enterprise reports, as applicable) will be specified in the DCED's contractual agreement. Some recipients may be asked to report using the online Disaster Recovery Grant Reporting system.

9. Internal Audit

The Commonwealth has several internal audit functions that will have oversight responsibilities for the use of CDBG-DR funds.

(i) DCED has a Monitoring and Reporting Division within the Financial Management Center to provide comprehensive fiscal monitoring of DCED's subrecipients receiving federal funds and grantees receiving state funds. The Financial Monitoring Unit performs fiscal reviews of subrecipients or grantees in compliance with federal requirements or on a risk-based method. In addition, the Financial Management Center currently reviews DCED's internal fiscal procedures and will oversee preparation of contracts to assure quality and identify any potential noncompliance, fraud, or abuse. The Center reports to the Secretary of DCED through the Deputy Secretary for Administration, not through the Deputy Secretary for Community Affairs and Development who oversees the programmatic administration of CDBG-DR funds.

(ii) The Governor's Budget Office includes the Bureau of Audit

Recovery Needs:

, which serves as the internal audit function for Commonwealth agencies under the Governor's jurisdiction. This unit has a responsibility to perform internal audits of DCED's programs based on risk and has performed them previously for some of DCED's federal programs. The unit reports independently to the Governor's Office.

(iii) The Pennsylvania Office of Inspector General was created to protect the interests of the Commonwealth and its citizens. The Office of Inspector General's mission is to prevent, investigate, and eradicate fraud, waste, abuse, and misconduct in the programs, operations, and contracting of executive agencies under the Governor's jurisdiction. The Inspector General is a cabinet-level official who is appointed by, and reports to, the Governor. The Office of Inspector General has investigated incidents of potential fraud in programs administered by DCED.

(iv) The Auditor General of Pennsylvania is a statewide elected position independent of all other state government agencies and elected officials. The Auditor General is responsible for ensuring that all state money (including federal funds administered by the Commonwealth) is spent legally and properly. The Auditor General performs both financial and performance audits. Financial audits provide citizens and outside entities with traditional financial audits including assurance concerning reliability of financial statements. The Auditor General's performance audits gauge whether government programs and activities are meeting stated goals and objectives, and if public dollars are being spent efficiently and effectively. The Auditor General performs these audits of DCED's federal and state programs.

10. Citizen Complaints

All subgrantees and recipients must establish procedures for responding to citizens' complaints regarding activities carried out utilizing these funds. Citizens should be provided with an appropriate address, phone number, and times during which they may submit such complaints. Subgrantees should provide a written response to every citizen complaint within 15 working days of the complaint; the state will be the final arbitrator in these matters.

11. Regulatory Requirements

Subgrantees must comply with fair housing, nondiscrimination, labor standards, and environmental requirements applicable to the CDBG Program, as follows:

(i) Fair Housing: Each Subgrantee will be required to take steps to affirmatively further fair housing; and when gathering public input, planning, and implementing housing related activities, will include participation by neighborhood organizations, community development organizations, social service organizations, community housing development organizations, and members of each distinct affected community or neighborhood which might fall into the assistance category of low and moderate income communities.

(ii) Nondiscrimination: Each Subgrantee will be required to adhere to the DCED's established policies which ensure that no person be excluded, denied benefits or subjected to discrimination on the basis of race, color, national origin, religion, sex, marital status, and/or physical and mental handicap under any program funded in whole or in part by Federal



Recovery Needs:

DBG funds. Subgrantees will be required to document compliance with all nondiscrimination laws, executive orders, and regulations.

(iii) Labor Standards: Each Subgrantee will be required to oversee compliance with Davis-Bacon Labor Standards and related laws and regulations. Regulations require all laborers and mechanics employed by contractors or subcontractors on CDBG funded or CDBG assisted public works construction contracts in excess of \$2,000, or residential construction or rehabilitation projects involving eight or more units be paid wages no less than those prescribed by the DCED of Labor and in accordance with Davis Bacon Related Acts.

(iv) Environmental: Specific instructions concerning environmental requirements at 24 CFR Part 58 will be made available to all Subgrantees. Some projects will be exempt from the environmental assessment process, but all Subgrantees will be required to submit a Request for Release of Funds and Certification. Funds will not be released for expenditure until the DCEs are satisfied that the appropriate environmental review has been conducted. Subgrantees will not use CDBG disaster recovery funds for any activity in an area delineated as a special flood hazard area by FEMA's most current advisory maps unless it also ensures that the action is designed or modified to minimize harm to the floodplain in accordance with Executive Order 11988 and 24 CFR Part 5.

Duplication of Benefits

In general, section 312 of the Robert T. Stafford disaster Assistance and Emergency Relief Act (42 U.S.C. 5155), as amended, prohibits any person, business concern, or other entity from receiving financial assistance with respect to any part of a loss resulting from a major disaster as to which he has received financial assistance under any other program or from insurance or any other source. In order to comply with this law, DCE must ensure that each activity provides assistance to a person or entity only to the extent that the person or entity has a disaster recovery need that has not been fully met. Given the often complex nature of this issue, HUD has published a separate Notice explaining the duplication of benefit requirements applicable to CDBG disaster recovery; it can be found at 76 FR 71060 (published November 16, 2011)

Anti-Displacement and Relocation

Recipients will be expected to minimize displacement of persons or entities and assist any persons or entities displaced as a result of the disasters. This is not intended to limit the ability of the recipients to conduct buyouts for destroyed and extensively damaged units or units in a flood plain.

Program Income

The Commonwealth will allow local governments as subrecipients to retain program income from CDBG-DR projects. Subrecipients will be required to use any program income prior to drawing additional CDBG-DR funds. All subrecipients will be required to report program income. Any program income generated prior to DCE's closeout of the CDBG-DR grant must be used to continue disaster-related activities subject to the requirements of the HUD's Federal Register Program Notice. After grant closeout, DCE will require subrecipients in non-entitlement areas (includes state Act 179 entitlement communities and non-entitlement communities) to report on program income to the Department. For any direct federal entitlement

Recovery Needs:

community that accumulates program income, DCE will require that those subrecipients report on program income from CDBG-DR activities to HUD directly. All program income after grant closeout will be treated as CDBG program income.

Applicable Waivers

Waivers Approved To Date

A complete list of the waivers approved to date can be found in the April 16, 2012 Federal Register, page 22583.

Following is a summary of the approved waivers. Refer to the Federal Register for the complete language on the approved waivers.

- Waiving 70% required overall benefit to LMI – adjusted to 50%
- Waiving requirement to distribute to units of local government only
- Waiving required consistency with consolidated plan
- Waiving Action Plan requirements; substituting an Action Plan for Disaster Recovery
- Waiving certain citizen participation requirements to streamline the process
- Waiving administration cap to allow up to 5%
- Waiving annual reporting requirements; substituting quarterly reporting
- Waiver to allow new housing construction
- Waiver allowing homeownership assistance to persons up to 120% of median income
- Limited waiver of anti-pirating provisions for business assistance
- Waiver to allow state flexibility on requirements regarding program income
- Waiver of standard certifications; substituting alternative certifications
- Waiver allowing reimbursement for pre-agreement costs



Substantial Amendments

The Commonwealth will prepare a substantial amendment to this Action Plan if any of the following changes are made that differ from the original or current Action Plan. DCED will prepare a draft of the substantial amendment that the Department shares for public comment pursuant to the same public comment process used in preparing the original Action Plan. The following modifications of the Action Plan will constitute significant changes that require a substantial amendment of the Action Plan:

- Reallocation of funds among the four categories (Housing, Economic Development, Infrastructure, Planning & Services) that constitutes a change of more than 10% of the total allocation. (An amendment will not be triggered by allocating contingency funds to a specific activity unless the funded activity changes by 10% or more.)
- Addition of a new activity that is not indicated or described in the Action Plan.

All Charts and Appendices can be located on the Commonwealth's website at <http://www.dced.pa.gov>. Please scroll to the bottom of the page and click on Disaster Relief Assistance Programs to get to the link for the amendment.

Public Comment:

Outreach and Citizen Participation

Citizen Participation Plan Requirements

DCED certifies that it is following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105 or 91.115, as applicable (except as provided for in notices providing waivers and alternative requirements for this grant). Each unit of local government receiving assistance from a State grantee must follow a detailed citizen participation plan that satisfies the requirements of 24 CFR 570.486 (except as provided for in notices providing waivers and alternative requirements for this grant) pursuant to FR-5628-N-01. During the term of the grant, the grantee will provide citizens, affected local governments, and other interested parties with reasonable and timely access to information and records relating to the Action Plan and to the grantee's use of grant funds.

Public Outreach

DCED will continue to conduct extensive public outreach to inform affected individuals, organizations and municipalities of substantial amendments to the Action Plan; and to solicit their input in developing these amendments. Notice about the availability of the draft substantial amendment and public hearing was published in the Pennsylvania Bulletin on June 26, 2016.

Public Notices, Outreach and Comment Period

This Substantial Amendment was made available for public comment for a period of 30 days from June 26, 2016 to July 26, 2016. The link to the Substantial Amendment is posted on the grantee's official website at www.newPA.com. Please scroll to the bottom of the page and click on Disaster Relief Assistance Programs to get to the link for the amendment. The Notice of Availability of the Draft Substantial Amendment for Citizen Comment and Public Hearing was published in the Pennsylvania Bulletin website so that citizens, affected local governments, and other interested parties are provided a reasonable opportunity to examine the amendment's contents. DCED is also notifying affected citizens through their elected officials, contacts with neighborhood organizations, and public libraries. This has included electronic mailings to the following individuals and organizations:

- Chief Elected Official in 36 disaster-declared counties
- CDBG administrators in federal entitlement counties and municipalities within 36 disaster-declared counties
- CDBG administrators in non-entitlement counties and municipalities statewide
- State associations of Counties, Cities & Municipalities, Boroughs, and Townships
- Local Development Districts & Economic Development Districts in 36 disaster-declared counties
- Members of PA Housing Advisory Committee and Regional Housing Advisory Committees
- Members of Community Development and Housing Practitioners Advisory Committee
- Representatives of Federal Emergency Management Agency and Pennsylvania Emergency Management Agency
- Pennsylvania Association of Housing and Redevelopment Agencies
- Pennsylvania Housing Alliance
- Public Libraries (Statewide)
- Six (6) DCED Regional Offices
- Members of a Stakeholders Group for Fair Housing Issues (Statewide)

DCED ensures that all citizens have equal access to information about the programs, including persons with disabilities and li

Overall	This Report Period	To Date
Total Projected Budget from All Sources	N/A	\$18,340,841.41
Total Budget	\$2,111,653.00	\$18,340,841.41



Total Obligated	\$1,927,653.00	\$18,082,090.00
Total Funds Drawdown	\$202,682.43	\$6,713,457.48
Program Funds Drawdown	\$202,682.43	\$6,713,457.48
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$204,753.72	\$6,727,962.00
Match Contributed	\$0.00	\$0.00

Progress Toward Required Numeric Targets

Requirement	Required	To Date
Overall Benefit Percentage (Projected)		63.64%
Overall Benefit Percentage (Actual)		53.42%
Minimum Non-Federal Match	\$0.00	\$0.00
Limit on Public Services	\$4,071,375.15	\$0.00
Limit on Admin/Planning	\$5,428,500.20	\$789,768.21
Limit on State Admin	\$1,357,125.05	\$779,458.12

Progress Toward Activity Type Targets

Activity Type	Target	Actual
Public services	\$4,071,375.15	\$0.00

Progress Toward National Objective Targets

National Objective	Target	Actual
Low/Mod	\$13,571,250.50	\$10,830,023.60

Overall Progress Narrative:

HUD has approved DCED's Substantial Amendment #4 to the Action Plan - updates have been made in DRGR to reflect the unmet needs assessment, changes to the selection and distribution of funds, targeting high priority projects and targeting buyouts based on repetitive loss and severe repetitive loss data.

Progress made during this quarter:

Dauphin County - amendment adding additional funding for the Royaltown Borough culvert and stream bank project.

Schuylkill County- Pine Grove storm water improvement project has been completed this quarter.

Coal Township - amendment adding additional funding for the Village of Ranshaw Channel Wall project, Construction contract was awarded 3/31/2017.

Town of Bloomsburg - flood mitigation expansion project will be done in three phases, an agreement was executed on

March 16, 2017, and work is underway

Wyoming County- Factoryville Borough - final designs have been completed for the storm water project with bids going out by the end of May.

Wyoming County - Bridgeview Commons Housing Development Infrastructure - Engineer procured, project designs are complete and bids have gone out for infrastructure - to be awarded prior to next quarter.

Plymouth Township -21 of 22 properties participating in the program have been demolished as of March 31, 2017.
 Columbia County -Sugarloaf, Orangeville, Hollow and North Mountain Stream Bank stabilization reviews for the Joint Permit by PA Chapter 105 and U.S. Army Corp. Section 404 should be completed by May 2017.
 County-wide -Housing Rehab/Reconstruction including utility and elevations, 3 properties have been identified and Tier 2 reviews are currently underway
 West Pittston Borough –amendment awarding additional funds for the CBDI Reconstruction project this quarter.
 Selinsgrove Borough– Housing Rehab/Reconstruction including utility and elevations – 2 homes have been selected, engineer drawings have been prepared and permits secured. Homeowner approval obtained, bids are being prepared and should be out in the next few weeks

Project Summary

Project #, Project Title	This Report Period	To Date	
	Program Funds Drawdown	Project Funds Budgeted	Program Funds Drawdown
CDBG-DR -HOUSING, HOUSING Activities	\$93.76	\$13,624,002.00	\$2,355,771.49
CDBG-DR Administration, Administration	\$2,508.17	\$678,563.00	\$649,445.85
CDBG-DR Grantee Administration, Grantee Administration	\$3,688.06	\$678,562.00	\$130,012.27
CDBG-DR INFRASTRUCTURE, INFRASTRUCTURE Activities	\$186,503.95	\$11,536,374.00	\$3,567,917.78
CDBG-DR-PLANNING - SERVICES, Planning and Service	\$9,888.49	\$625,000.00	\$10,310.09



Activities

Project # / Title: CDBG-DR -HOUSING / HOUSING Activities

Grantee Activity Number: COL#2-09 Columbia Fishing Creek Housing Elevation

Activity Title: CDBG-DR HOUSING

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

CDBG-DR -HOUSING

Projected Start Date:

01/05/2016

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

HOUSING Activities

Projected End Date:

01/04/2021

Completed Activity Actual End Date:

Responsible Organization:

Columbia County

Overall

Total Projected Budget from All Sources

Jan 1 thru Mar 31, 2017

N/A

To Date

\$316,875.00

Total Budget

(\$2,375.00)

\$316,875.00

Total Obligated

(\$2,375.00)

\$316,875.00

Total Funds Drawdown

\$11.72

\$267.63

Program Funds Drawdown

\$11.72

\$267.63

Program Income Drawdown

\$0.00

\$0.00

Program Income Received

\$0.00

\$0.00

Total Funds Expended

\$11.72

\$267.63

Columbia County

\$11.72

\$267.63

Match Contributed

\$0.00

\$0.00

Activity Description:

Fishing Creek Township intends to elevate utilities and homes for eligible residents. The scope of work will include addressing codes violations, lead based paint remediation and elevation of utilities or the home.

Location Description:

Rehab to take place in Fishing Creek Township, Columbia County.

Activity Progress Narrative:

Funds were used for the preparation of the environmental review for the activity.



Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number: COL#2-10 Columbia-Catawissa Housing Elevation

Activity Title: CDBG-DR HOUSING

Activity Category:

Rehabilitation/reconstruction of residential structures

Activity Status:

Under Way

Project Number:

CDBG-DR -HOUSING

Project Title:

HOUSING Activities

Projected Start Date:

01/05/2016

Projected End Date:

01/04/2021

Benefit Type:

Direct (HouseHold)

Completed Activity Actual End Date:

National Objective:

Low/Mod

Responsible Organization:

Columbia County

Overall	Jan 1 thru Mar 31, 2017	To Date
Total Projected Budget from All Sources	N/A	\$487,500.00
Total Budget	(\$4,500.00)	\$487,500.00
Total Obligated	(\$4,500.00)	\$487,500.00
Total Funds Drawdown	\$11.72	\$291.20
Program Funds Drawdown	\$11.72	\$291.20
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$11.72	\$291.20
Columbia County	\$11.72	\$291.20
Match Contributed	\$0.00	\$0.00

Activity Description:

Catawissa Borough, Locust, Pine and Briar Creek Townships intend to elevate utilities and homes for eligible residents. The scope of work will include addressing code violations, lead based paint remediation and elevation of utilities or the home.

Location Description:

Catawissa Borough, Locust Twp, Pine Twp, Briar Creek Twp in Columbia County

Activity Progress Narrative:

Funds were used for the preparation of the environmental review for the activity.

Accomplishments Performance Measures

No Accomplishments Performance Measures



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: COL#2-11 Columbia-Hemlock Housing Elevations

Activity Title: CDBG-DR HOUSING

Activity Category:

Rehabilitation/reconstruction of residential structures

Activity Status:

Under Way

Project Number:

CDBG-DR -HOUSING

Project Title:

HOUSING Activities

Projected Start Date:

01/05/2016

Projected End Date:

01/04/2021

Benefit Type:

Direct (HouseHold)

Completed Activity Actual End Date:

National Objective:

Low/Mod

Responsible Organization:

Columbia County

Overall	Jan 1 thru Mar 31, 2017	To Date
Total Projected Budget from All Sources	N/A	\$487,500.00
Total Budget	(\$4,500.00)	\$487,500.00
Total Obligated	(\$4,500.00)	\$487,500.00
Total Funds Drawdown	\$11.72	\$220.50
Program Funds Drawdown	\$11.72	\$220.50
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$11.72	\$220.50
Columbia County	\$11.72	\$220.50
Match Contributed	\$0.00	\$0.00

Activity Description:

Hemlock Township intends to elevate utilities and homes for eligible residents. The scope of work will include addressing code violations, lead based paint remediation and elevation of tutilities or the home.

Location Description:

Hemlock Township, Columbia County

Activity Progress Narrative:

Funds were used for the preparation of the environmental review for the activity.

Accomplishments Performance Measures

No Accomplishments Performance Measures



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: COL#2-12 Columbia-Montour Housing Elevations

Activity Title: CDBG-DR HOUSING

Activity Category:

Rehabilitation/reconstruction of residential structures

Activity Status:

Under Way

Project Number:

CDBG-DR -HOUSING

Project Title:

HOUSING Activities

Projected Start Date:

01/05/2016

Projected End Date:

01/04/2021

Benefit Type:

Direct (HouseHold)

Completed Activity Actual End Date:

National Objective:

Low/Mod

Responsible Organization:

Columbia County

Overall

Total Projected Budget from All Sources

Jan 1 thru Mar 31, 2017

N/A

To Date

\$341,250.00

Total Budget

(\$2,250.00)

\$341,250.00

Total Obligated

(\$2,250.00)

\$341,250.00

Total Funds Drawdown

\$11.72

\$267.63

Program Funds Drawdown

\$11.72

\$267.63

Program Income Drawdown

\$0.00

\$0.00

Program Income Received

\$0.00

\$0.00

Total Funds Expended

\$11.72

\$267.63

Columbia County

\$11.72

\$267.63

Match Contributed

\$0.00

\$0.00

Activity Description:

Montour Township intends to elevate utilities and homes for eligible residents. The scope of work will include addressing code violations, lead based paint remediation and elevation of utilities or the home.

Location Description:

Montour Township, Columbia County

Activity Progress Narrative:

Funds were used for the preparation of the environmental review for the activity.

Accomplishments Performance Measures

No Accomplishments Performance Measures



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: COL#2-13 Columbia Sugarloaf Housing Elevations

Activity Title: CDBG-DR HOUSING

Activity Category:

Rehabilitation/reconstruction of residential structures

Activity Status:

Under Way

Project Number:

CDBG-DR -HOUSING

Project Title:

HOUSING Activities

Projected Start Date:

01/05/2016

Projected End Date:

01/04/2021

Benefit Type:

Direct (HouseHold)

Completed Activity Actual End Date:

National Objective:

Low/Mod

Responsible Organization:

Columbia County

Overall	Jan 1 thru Mar 31, 2017	To Date
Total Projected Budget from All Sources	N/A	\$341,250.00
Total Budget	(\$2,250.00)	\$341,250.00
Total Obligated	(\$2,250.00)	\$341,250.00
Total Funds Drawdown	\$11.72	\$267.63
Program Funds Drawdown	\$11.72	\$267.63
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$11.72	\$267.63
Columbia County	\$11.72	\$267.63
Match Contributed	\$0.00	\$0.00

Activity Description:

Sugarloaf Township intends to elevate utilities and homes for eligible residents. The scope of work will include addressing codes violations, lead based paint remediation and elevation of utilities or the home.

Location Description:

Sugarloaf Township, Columbia County

Activity Progress Narrative:

Funds were used for the preparation of the environmental review for the activity.

Accomplishments Performance Measures

No Accomplishments Performance Measures



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number: COL#2-14 Columbia Briar, Orange Housing Elevation

Activity Title: CDBG-DR HOUSING

Activity Category:

Rehabilitation/reconstruction of residential structures

Activity Status:

Under Way

Project Number:

CDBG-DR -HOUSING

Project Title:

HOUSING Activities

Projected Start Date:

01/05/2016

Projected End Date:

01/04/2021

Benefit Type:

Direct (HouseHold)

Completed Activity Actual End Date:

National Objective:

Low/Mod

Responsible Organization:

Columbia County

Overall	Jan 1 thru Mar 31, 2017	To Date
Total Projected Budget from All Sources	N/A	\$487,500.00
Total Budget	(\$4,500.00)	\$487,500.00
Total Obligated	(\$4,500.00)	\$487,500.00
Total Funds Drawdown	\$11.72	\$185.16
Program Funds Drawdown	\$11.72	\$185.16
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$11.72	\$185.16
Columbia County	\$11.72	\$185.16
Match Contributed	\$0.00	\$0.00

Activity Description:

Briar Creek and Stillwater Boroughs and Orange Township intend to elevate utilities and homes for eligible residents. The scope of work will include addressing codes violations, lead based paint remediation and elevation of utilities or the home.

Location Description:

Briar Creek Borough, Stillwater Borough, Orange Township, Columbia County

Activity Progress Narrative:

Funds were used for the preparation of the environmental review for the activity.

Accomplishments Performance Measures

No Accomplishments Performance Measures



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number: COL#2-15 Columbia Benton Housing Elevations

Activity Title: CDBG-DR HOUSING

Activity Category:

Rehabilitation/reconstruction of residential structures

Activity Status:

Under Way

Project Number:

CDBG-DR -HOUSING

Project Title:

HOUSING Activities

Projected Start Date:

01/05/2016

Projected End Date:

01/04/2021

Benefit Type:

Direct (HouseHold)

Completed Activity Actual End Date:

National Objective:

Low/Mod

Responsible Organization:

Columbia County

Overall

Total Projected Budget from All Sources

Jan 1 thru Mar 31, 2017

N/A

To Date

\$487,500.00

Total Budget

(\$4,500.00)

\$487,500.00

Total Obligated

(\$4,500.00)

\$487,500.00

Total Funds Drawdown

\$11.72

\$267.63

Program Funds Drawdown

\$11.72

\$267.63

Program Income Drawdown

\$0.00

\$0.00

Program Income Received

\$0.00

\$0.00

Total Funds Expended

\$11.72

\$267.63

Columbia County

\$11.72

\$267.63

Match Contributed

\$0.00

\$0.00

Activity Description:

Benton Borough intends to elevate utilities and homes for eligible residents. The scope of work will include addressing code violations, lead based paint remediation and elevation of utilities or the home.

Location Description:

Benton Borough, Columbia County

Activity Progress Narrative:

Funds were used for the preparation of the environmental review for the activity.

Accomplishments Performance Measures

No Accomplishments Performance Measures



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number:	COL#2-16 Columbia Scott Housing Elevations
Activity Title:	CDBG-DR HOUSING

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

CDBG-DR -HOUSING

Projected Start Date:

01/05/2016

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

HOUSING Activities

Projected End Date:

01/04/2021

Completed Activity Actual End Date:

Responsible Organization:

Columbia County

Overall	Jan 1 thru Mar 31, 2017	To Date
Total Projected Budget from All Sources	N/A	\$487,500.00
Total Budget	(\$4,500.00)	\$487,500.00
Total Obligated	(\$4,500.00)	\$487,500.00
Total Funds Drawdown	\$11.72	\$267.63
Program Funds Drawdown	\$11.72	\$267.63
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$11.72	\$267.63
Columbia County	\$11.72	\$267.63
Match Contributed	\$0.00	\$0.00

Activity Description:

Scott Township intends to elevate utilities and homes for eligible residents. The scope of work will include addressing code violations, lead based paint remediation and elevation of utilities or the home.

Location Description:

Scott Township, Columbia County

Activity Progress Narrative:

Funds were used for the preparation of the environmental review for the activity.

Accomplishments Performance Measures

No Accomplishments Performance Measures



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: CDBG-DR Administration / Administration

Grantee Activity Number: CDBG-DR State Administration

Activity Title: Administration

Activity Category:

Administration

Project Number:

CDBG-DR Administration

Projected Start Date:

10/31/2014

Benefit Type:

()

National Objective:

N/A

Activity Status:

Under Way

Project Title:

Administration

Projected End Date:

10/30/2018

Completed Activity Actual End Date:

Responsible Organization:

Commonwealth of Pennsylvania2

Overall

Total Projected Budget from All Sources

Jan 1 thru Mar 31, 2017

N/A

To Date

\$678,563.00

Total Budget

\$0.00

\$678,563.00

Total Obligated

\$0.00

\$678,563.00

Total Funds Drawdown

\$2,508.17

\$649,445.85

Program Funds Drawdown

\$2,508.17

\$649,445.85

Program Income Drawdown

\$0.00

\$0.00

Program Income Received

\$0.00

\$0.00

Total Funds Expended

\$2,508.17

\$649,445.85

Commonwealth of Pennsylvania2

\$2,508.17

\$649,445.85



Match Contributed

\$0.00

\$0.00

Activity Description:

Administrative expenses will be charged to this activity to carry out the CDBG-DR Program.

Location Description:

Activity Progress Narrative:

Admin expenses incurred this period for oversight of the CDBG Disaster Recovery Progra

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: CDBG-DR Grantee Administration / Grantee Administration

Grantee Activity Number: BLM-04 Administration

Activity Title: Administration

Activity Category:

Administration

Activity Status:

Under Way

Project Number:

CDBG-DR Grantee Administration

Project Title:

Grantee Administration

Projected Start Date:

10/31/2014

Projected End Date:

10/30/2018

Benefit Type:

Completed Activity Actual End Date:



()

National Objective:

N/A

Responsible Organization:

Town of Bloomsburg

Overall	Jan 1 thru Mar 31, 2017	To Date
Total Projected Budget from All Sources	N/A	\$19,963.03
Total Budget	(\$16,356.97)	\$19,963.03
Total Obligated	(\$16,356.97)	\$19,963.03
Total Funds Drawdown	\$0.00	\$11,603.03
Program Funds Drawdown	\$0.00	\$11,603.03
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$11,603.03
Town of Bloomsburg	\$0.00	\$11,603.03
Match Contributed	\$0.00	\$0.00

Activity Description:

Administration cost will incur for over sight of the CDBG-DR Program.

Location Description:

Project location is in the Town of Bloomsburg, West Main Street.

Activity Progress Narrative:

Subrecipient submitted budget revision revising \$16,356.97 from the administrative budget to other activities.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found



Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: BRAD-04 Administration

Activity Title: Administration

Activity Category:

Administration

Project Number:

CDBG-DR Grantee Administration

Projected Start Date:

10/31/2014

Benefit Type:

()

National Objective:

N/A

Activity Status:

Under Way

Project Title:

Grantee Administration

Projected End Date:

10/30/2018

Completed Activity Actual End Date:

Responsible Organization:

Bradford County

Overall	Jan 1 thru Mar 31, 2017	To Date
Total Projected Budget from All Sources	N/A	\$18,950.00
Total Budget	\$0.00	\$18,950.00
Total Obligated	\$0.00	\$18,950.00
Total Funds Drawdown	(\$231.55)	\$2,263.65
Program Funds Drawdown	(\$231.55)	\$2,263.65
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	(\$231.55)	\$2,263.65
Bradford County	(\$231.55)	\$2,263.65
Match Contributed	\$0.00	\$0.00

Activity Description:

Expenses will occur for the administration and oversight of the CDBG-DR program.

Location Description:

Projects will take place in Bradford County

Activity Progress Narrative:

Voucher revision on 03-31-2017 for \$231.55. Invoice was related to the preparation of the environmental review which can be charged to the activity.

Accomplishments Performance Measures

No Accomplishments Performance Measures



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number: COAL-02 Administration

Activity Title: Administration

Activity Category:

Administration

Project Number:

CDBG-DR Grantee Administration

Projected Start Date:

10/10/2014

Benefit Type:

()

National Objective:

N/A

Activity Status:

Under Way

Project Title:

Grantee Administration

Projected End Date:

10/09/2019

Completed Activity Actual End Date:

Responsible Organization:

Coal Township

Overall	Jan 1 thru Mar 31, 2017	To Date
Total Projected Budget from All Sources	N/A	\$0.00
Total Budget	(\$12,500.00)	\$0.00
Total Obligated	(\$12,500.00)	\$0.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$0.00
Coal Township	\$0.00	\$0.00
Match Contributed	\$0.00	\$0.00

Activity Description:

Location Description:

Coal Township deleted their administration line item from the budget and put towards project costs.

Activity Progress Narrative:

Subrecipient submitted budget revision cancelling this activity. Funds were revised into COAL-01 activity.

Accomplishments Performance Measures

No Accomplishments Performance Measures



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: COL#2-17 Columbia Housing Administration
Activity Title: CDBG-DR Administration

Activity Category:

Administration

Project Number:

CDBG-DR Grantee Administration

Projected Start Date:

01/05/2016

Benefit Type:

()

National Objective:

N/A

Activity Status:

Under Way

Project Title:

Grantee Administration

Projected End Date:

01/04/2021

Completed Activity Actual End Date:

Responsible Organization:

Columbia County

Overall	Jan 1 thru Mar 31, 2017	To Date
Total Projected Budget from All Sources	N/A	\$88,125.00
Total Budget	\$0.00	\$88,125.00
Total Obligated	\$0.00	\$88,125.00
Total Funds Drawdown	\$3,919.61	\$9,960.83
Program Funds Drawdown	\$3,919.61	\$9,960.83
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$3,919.61	\$9,960.83
Columbia County	\$3,919.61	\$9,960.83
Match Contributed	\$0.00	\$0.00

Activity Description:

CDBG-DR funds will be used for the administration and oversight of the CDBG-DR Housing Elevations activities within Columbia County

Location Description:

This activity will occur primarily at the Columbia County Redevelopment Authority

Activity Progress Narrative:

Admin expenses incurred for oversight of the CDBG disaster recovery program.

Accomplishments Performance Measures

No Accomplishments Performance Measures



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number: SUSQ-02 Administration

Activity Title: Administration

Activity Category:

Administration

Project Number:

CDBG-DR Grantee Administration

Projected Start Date:

10/10/2014

Benefit Type:

()

National Objective:

N/A

Activity Status:

Under Way

Project Title:

Grantee Administration

Projected End Date:

10/09/2019

Completed Activity Actual End Date:

Responsible Organization:

Susquehanna County

Overall

	Jan 1 thru Mar 31, 2017	To Date
Total Projected Budget from All Sources	N/A	\$8,950.00
Total Budget	\$0.00	\$8,950.00
Total Obligated	\$0.00	\$8,950.00
Total Funds Drawdown	\$0.00	\$3,145.50
Program Funds Drawdown	\$0.00	\$3,145.50
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$248.17	\$3,393.17
Susquehanna County	\$248.17	\$3,393.17
Match Contributed	\$0.00	\$0.00

Activity Description:

Funds will be used for oversight of the CDBG-DR Program.

Location Description:

Tri-Borough, Susquehanna County, PA

Activity Progress Narrative:

Admin expenses incurred for oversight of the CDBG disaster recovery program.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: CDBG-DR INFRASTRUCTURE / INFRASTRUCTURE Activities

Grantee Activity Number:	BLM-01 Port Nob Storm Water
Activity Title:	Construction/Reconstruction Water/Sewer Line/Sys.

Activity Category:

Construction/reconstruction of water/sewer lines or systems

Project Number:

CDBG-DR INFRASTRUCTURE

Projected Start Date:

10/31/2014

Benefit Type:

Area ()

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

INFRASTRUCTURE Activities

Projected End Date:

10/30/2018

Completed Activity Actual End Date:

Responsible Organization:

Town of Bloomsburg

Overall

Total Projected Budget from All Sources

Jan 1 thru Mar 31, 2017

To Date

Total Budget

N/A

\$49,292.03

Total Obligated

(\$25,407.97)

\$49,292.03

Total Funds Drawdown

(\$25,407.97)

\$49,292.03

Program Funds Drawdown

\$0.00

\$49,292.03

Program Income Drawdown

\$0.00

\$49,292.03

Program Income Received

\$0.00

\$0.00

Total Funds Expended

\$0.00

\$0.00

\$0.00

\$49,292.03



Town of Bloomsburg	\$0.00	\$49,292.03
Match Contributed	\$0.00	\$0.00

Activity Description:

Bloomsburg was heavily flooded due to the topography of the neighborhood and the backup of storm water from the Susquehanna River flowing into the neighborhood. The Town proposes to install gates at the headwall of the river to prevent the back up of flood waters into this neighborhood. the proposed pump was deemed an ineligible project and will not be undertaken. The cost of the pump \$33,500 will be reallocated to an eligible activity.

Location Description:

Project location is in the Town of Bloomsburg in an area of the Port Noble neighborhood located in census tract 051000, block group #3.

Activity Progress Narrative:

Subrecipient submitted budget revision revising \$25,407.97 from the BLM-01 activity budget to other activities.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



Grantee Activity Number:	BLM-03 Main St. Sidewalks
Activity Title:	Rehab/Reconstruction Public Imp.

Activity Category:
Rehabilitation/reconstruction of a public improvement

Activity Status:
Under Way

Project Number:
CDBG-DR INFRASTRUCTURE

Project Title:
INFRASTRUCTURE Activities

Projected Start Date:
10/31/2014

Projected End Date:
10/30/2018

Benefit Type:
Area ()

Completed Activity Actual End Date:

National Objective:
Low/Mod

Responsible Organization:
Town of Bloomsburg

Overall	Jan 1 thru Mar 31, 2017	To Date
Total Projected Budget from All Sources	N/A	\$47,449.16
Total Budget	(\$2,000.84)	\$47,449.16
Total Obligated	(\$2,000.84)	\$47,449.16
Total Funds Drawdown	\$0.00	\$47,449.16
Program Funds Drawdown	\$0.00	\$47,449.16
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$47,449.16
Town of Bloomsburg	\$0.00	\$47,449.16
Match Contributed	\$0.00	\$0.00

Activity Description:

Bloomsburg’s west side of town was heavily hit by the convergence of Fishing Creek and the Susquehanna River. The 900 block of West Main Street was a residential neighborhood that had a number of homes that were destroyed by the flood waters and participated in the FEMA buyout program. Due to the location and importance of having the sidewalks rebuilt in this area of the town for safety, FEMA approved the re-installation of sidewalks along the 900 block of West Main Street. Disaster funds will be used to construct sidewalks matching the sidewalks on either side of this block.

Location Description:

Bloomsburg west side of town, project location will take place on the 900 block of West Main Street.

Activity Progress Narrative:

Subrecipient submitted budget revision revising \$2,000.84 from the BLM-03 activity budget to other activities.

Accomplishments Performance Measures

No Accomplishments Performance Measures



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number:	BLM-08 Fishing Creek Bank Stabilization
Activity Title:	Rehab/Reconstruction of Public Improvement

Activity Category:
Rehabilitation/reconstruction of a public improvement

Activity Status:
Under Way

Project Number:
CDBG-DR INFRASTRUCTURE

Project Title:
INFRASTRUCTURE Activities

Projected Start Date:
10/10/2014

Projected End Date:
10/09/2019

Benefit Type:
Area ()

Completed Activity Actual End Date:

National Objective:
Low/Mod

Responsible Organization:
Town of Bloomsburg

Overall	Jan 1 thru Mar 31, 2017	To Date
Total Projected Budget from All Sources	N/A	\$70,686.58
Total Budget	(\$416,813.42)	\$70,686.58
Total Obligated	(\$416,813.42)	\$70,686.58
Total Funds Drawdown	\$0.00	\$70,686.58
Program Funds Drawdown	\$0.00	\$70,686.58
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$70,686.58
Town of Bloomsburg	\$0.00	\$70,686.58
Match Contributed	\$0.00	\$0.00

Activity Description:

The flooding events of TS Lee and Hurricane Irene caused a rapidly moving, scouring and eroding flood which washed the basements out from under multiple homes on the 900 block of Main Street, it was followed by a second flood when the Susquehanna River tops its banks and backs up Fishing Creek. In the vicinity of First Street the flooding has caused erosion to the creek banks, resulting in trees and other vegetative debris falling into the stream, as well as threatening Town infrastructure. The project proposes to restore the stream bank to its original location and armor the bank with the use of stone or gabion baskets to protect this fragile portion of the stream bank.

Location Description:

Work will take place in the Town of Bloomsburg, Columbia County, PA

Activity Progress Narrative:

Subrecipient submitted budget revision revising \$416,813.42 from the BLM-08 activity budget to other activities.



Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number:	BLM-12 Flood Mitigation Expansion
Activity Title:	BLM-12 Flood Mitigation Expansion

Activity Category:
Construction/reconstruction of water/sewer lines or systems

Project Number:
CDBG-DR INFRASTRUCTURE

Projected Start Date:
10/31/2013

Benefit Type:
Area ()

National Objective:
Urgent Need

Activity Status:
Under Way

Project Title:
INFRASTRUCTURE Activities

Projected End Date:
10/30/2018

Completed Activity Actual End Date:

Responsible Organization:
Town of Bloomsburg

Overall	Jan 1 thru Mar 31, 2017	To Date
Total Projected Budget from All Sources	N/A	\$558,079.20
Total Budget	\$558,079.20	\$558,079.20
Total Obligated	\$558,079.20	\$558,079.20
Total Funds Drawdown	\$421.60	\$421.60
Program Funds Drawdown	\$421.60	\$421.60
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$421.60	\$421.60
Town of Bloomsburg	\$421.60	\$421.60
Match Contributed	\$0.00	\$0.00

Activity Description:

Flood mitigation expansion project. Evaluate all existing data available from Columbia County and other relevant entities pertaining to hydrologic and hydraulic analyses/modeling for induced flooding and mitigation of the total project.

Location Description:

Flood Mitigation Expansion project in the Town of Bloomsburg, PA

Activity Progress Narrative:

Activity was originally reported under BLM-09 (Planning) but activity transferred and is being reported at BLM-12.

Accomplishments Performance Measures

No Accomplishments Performance Measures



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number:	BRAD-02 Bradford Athens Catch Basin
Activity Title:	Construction/Reconstruction of Water/Sewer Lines

Activity Category:

Construction/reconstruction of water/sewer lines or systems

Project Number:

CDBG-DR INFRASTRUCTURE

Projected Start Date:

10/31/2014

Benefit Type:

Area ()

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

INFRASTRUCTURE Activities

Projected End Date:

10/30/2018

Completed Activity Actual End Date:

Responsible Organization:

Bradford County

Overall	Jan 1 thru Mar 31, 2017	To Date
Total Projected Budget from All Sources	N/A	\$11,469.20
Total Budget	\$0.00	\$11,469.20
Total Obligated	\$0.00	\$11,469.20
Total Funds Drawdown	\$231.55	\$231.55
Program Funds Drawdown	\$231.55	\$231.55
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$231.55	\$231.55
Bradford County	\$231.55	\$231.55
Match Contributed	\$0.00	\$0.00

Activity Description:

Many of the Borough's catch basins are extremely old and were constructed of brick and mortar. The storm waters exacted a toll on these catch basins, causing further deterioration and impacting the storm water flows throughout the Borough. The Borough prior to the storm had begun to replace the catch basins with concrete storm water basins that meet PennDOT standards. The Borough is proposing to reconstruct or replace the existing damaged brick catch basins with the PennDOT approved concrete storm basins to improve the storm water conveyance system.

Location Description:

Project is located in Athens Borough, Bradford County

Activity Progress Narrative:

Revised voucher on 03-31-2017 from the administration activity. Cost was related to the preparation of the environmental review.



Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number:	BRAD-05 Bradford Mountain Ave. Stabilization
Activity Title:	Rehab/Reconstruction of Public Improvements

Activity Category:
Construction/reconstruction of streets

Activity Status:
Under Way

Project Number:
CDBG-DR INFRASTRUCTURE

Project Title:
INFRASTRUCTURE Activities

Projected Start Date:
10/10/2014

Projected End Date:
10/09/2019

Benefit Type:
Area ()

Completed Activity Actual End Date:

National Objective:
Urgent Need

Responsible Organization:
Bradford County

Overall	Jan 1 thru Mar 31, 2017	To Date
Total Projected Budget from All Sources	N/A	\$382,851.00
Total Budget	\$0.00	\$382,851.00
Total Obligated	\$0.00	\$382,851.00
Total Funds Drawdown	\$181,350.80	\$198,820.80
Program Funds Drawdown	\$181,350.80	\$198,820.80
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$181,350.80	\$198,820.80
Bradford County	\$181,350.80	\$198,820.80
Match Contributed	\$0.00	\$0.00

Activity Description:

Mountain Avenue is a steep, dirt road in Armenia township, due to Tropical Storm Lee, erosion occurred, narrowing the road resulted in drivers going off the edge of the road and down a 45 to 70 degree drop. In addition to being one of the more heavily traveled roads in the township, it also serves as a bus route. PENNDOT's Municipal Services Division provided the Township with an estimate cost, however, the project will need to be properly engineered.

Location Description:

Mountain Avenue, Armenia Township, Bradford County

Activity Progress Narrative:

Expenses incurred this quarter are for the construction work at the Mountain Ave. stabilization project in Bradford County.

Accomplishments Performance Measures

No Accomplishments Performance Measures



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number:	COAL-01 Village of Ranshaw Channel Wall
Activity Title:	Construction/Reconstruction of Water/Sewer Lines

Activity Category:

Construction/reconstruction of water/sewer lines or systems

Project Number:

CDBG-DR INFRASTRUCTURE

Projected Start Date:

10/10/2014

Benefit Type:

Area ()

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

INFRASTRUCTURE Activities

Projected End Date:

10/09/2019

Completed Activity Actual End Date:

Responsible Organization:

Coal Township

Overall	Jan 1 thru Mar 31, 2017	To Date
Total Projected Budget from All Sources	N/A	\$840,630.00
Total Budget	\$353,130.00	\$840,630.00
Total Obligated	\$353,130.00	\$840,630.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$0.00
Coal Township	\$0.00	\$0.00
Match Contributed	\$0.00	\$0.00

Activity Description:

Coal Township will be undertaking (3) channel wall projects in the village of Ranshaw. The force of the water undermined the channel walls in places and washed out the walls entirely in other places. Key sections of the channel walls will be replaced with new concrete walls. The concrete walls and jacket walls will be elevated. Budget was modified to add an additional \$340,630 to the project on 1-6-2017, total project budget is now \$840,630.00

Location Description:

Village of Ranshaw, Coal Township, Northumberland County - Channel Wall -
 Quaker Run at 5th and Water Street in the Village of Ransaw, Coal Township, Northumberland County - Channel Wall
 Quaker run between 3rd and 4th Streets, Village of Ranshaw, Coal Township, Northumberland County - Channel Wall

Activity Progress Narrative:

Additional funding was added to this activity via a contract amendment for an additional \$273,095 with the subrecipient. Project total \$1,113,725



Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number:	DAU-04 Royalton Channel Reconstruction
Activity Title:	Rehab/Reconstruction of Public Improvements

Activity Category:

Dike/dam/stream-river bank repairs

Project Number:

CDBG-DR INFRASTRUCTURE

Projected Start Date:

10/10/2014

Benefit Type:

Area ()

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

INFRASTRUCTURE Activities

Projected End Date:

10/09/2019

Completed Activity Actual End Date:

Responsible Organization:

Dauphin County

Overall	Jan 1 thru Mar 31, 2017	To Date
Total Projected Budget from All Sources	N/A	\$499,212.00
Total Budget	\$0.00	\$499,212.00
Total Obligated	\$0.00	\$499,212.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$0.00
Dauphin County	\$0.00	\$0.00
Match Contributed	\$0.00	\$0.00

Activity Description:

The existing culvert is undersized and significantly flooded during Tropical Storm Lee. In order to address the failing structure and provide future mitigation measures the existing culvert should be removed and replaced by a four foot by six foot box culvert to safely convey storm waters. Culvert Cleaning, re-grading stream channel and miscellaneous utility line repairs near SR441 and Derry St., culvert replacement where the Pennsylvania Canal travels underneath existing rail line, and stormwater pipe reconstruction and improvements at Hillsdale Rd./Shippen St.

Location Description:

Canal improvements will take place in Royalton Borough near SR441 and Derry Street, Dauphin County.

Activity Progress Narrative:

Subrecipient requested additional funds to complete the channel reconstruction, request approved increasing budget by \$210,000 - total project cost is not \$709,212.



Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number: SUSQ-01 Tri Borough Municipal Authority
Activity Title: Construction/Reconstruction of Water/Sewer Lines

Activity Category:
 Construction/reconstruction of water/sewer lines or systems
Project Number:
 CDBG-DR INFRASTRUCTURE
Projected Start Date:
 10/10/2014
Benefit Type:
 Area ()
National Objective:
 Low/Mod

Activity Status:
 Under Way
Project Title:
 INFRASTRUCTURE Activities
Projected End Date:
 10/09/2019
Completed Activity Actual End Date:

Responsible Organization:
 Susquehanna County

Overall	Jan 1 thru Mar 31, 2017	To Date
Total Projected Budget from All Sources	N/A	\$350,000.00
Total Budget	\$0.00	\$350,000.00
Total Obligated	\$0.00	\$350,000.00
Total Funds Drawdown	\$0.00	\$82,390.26
Program Funds Drawdown	\$0.00	\$82,390.26
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$1,823.12	\$84,213.38
Susquehanna County	\$1,823.12	\$84,213.38
Match Contributed	\$0.00	\$0.00

Activity Description:

Work will include the construction of a 250 LF berm wall, additionally, diversion ditches and stormwater pumps will also be installed as a well as the banks of Drinker Creek stabilize.

Location Description:

Tri-Boro Waste Water Treatment Plant located in Susquehanna County

Activity Progress Narrative:

Expenses incurred this quarter for Engineering services at the Tri-Boro Municipal Authority in Susquehanna County.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Linear feet of Public	0	0/250



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: WPITT-01 West Pittston Borough CBDI Reconst.

Activity Title: Rehab/Reconstruction of Public Improvement

Activity Category:

Construction/reconstruction of water/sewer lines or systems

Activity Status:

Under Way

Project Number:

CDBG-DR INFRASTRUCTURE

Project Title:

INFRASTRUCTURE Activities

Projected Start Date:

10/10/2014

Projected End Date:

10/09/2019

Benefit Type:

Area ()

Completed Activity Actual End Date:

National Objective:

Urgent Need

Responsible Organization:

West Pittston Borough

Overall	Jan 1 thru Mar 31, 2017	To Date
Total Projected Budget from All Sources	N/A	\$2,043,134.00
Total Budget	\$1,550,398.00	\$2,043,134.00
Total Obligated	\$1,550,398.00	\$2,043,134.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$0.00
West Pittston Borough	\$0.00	\$0.00
Match Contributed	\$0.00	\$0.00

Activity Description:

West Pittston Borough will reconstruct the infrastructure near the central business district that was devastated by flooding caused by Hurricane Irene and Tropical Storm Lee. Reconstruction will include roadways, improving the existing storm water system, the replacement of curbing and sidewalks, and the planting of trees and street lawns. Budget was modified to add an additional \$1,550,398 to the project on 1-7-2016, total project budget is \$2,050,134.

Location Description:

West Pittston Borough, Luzerne County, PA

Activity Progress Narrative:

Additional funding was added to this activity via a contract amendment with the subrecipient.



Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number:	WYOM-02 Factoryville Borough Storm Water
Activity Title:	Construction/Reconstruction of Water/Sewer Lines/

Activity Category:
Construction/reconstruction of water/sewer lines or systems

Project Number:
CDBG-DR INFRASTRUCTURE

Projected Start Date:
10/10/2014

Benefit Type:
Area ()

National Objective:
Urgent Need

Activity Status:
Under Way

Project Title:
INFRASTRUCTURE Activities

Projected End Date:
10/09/2019

Completed Activity Actual End Date:

Responsible Organization:
Wyoming County

Overall	Jan 1 thru Mar 31, 2017	To Date
Total Projected Budget from All Sources	N/A	\$196,268.00
Total Budget	\$0.00	\$196,268.00
Total Obligated	\$0.00	\$196,268.00
Total Funds Drawdown	\$4,500.00	\$4,656.50
Program Funds Drawdown	\$4,500.00	\$4,656.50
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$4,500.00	\$4,656.50
Wyoming County	\$4,500.00	\$4,656.50
Match Contributed	\$0.00	\$0.00

Activity Description:

Improvements to the storm water system which is deteriorating and contributed to heavy runoff during the 2011 flooding events. The scope of work would include the installatiion of new inlet boxes and improving the conveyance system.

Location Description:

Stormwater Improvements are near Church Street, Factoryville Borough, Wyoming County

Activity Progress Narrative:

Expenses incurred this quarter for Engineering services at the Factoryville Borough Stormwater Improvement project in Wyoming County.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Linear feet of Public	0	0/1320



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: CDBG-DR-PLANNING - SERVICES / Planning and Service

Grantee Activity Number: BLM-09 Planning Services

Activity Title: Planning

Activity Category:

Planning

Project Number:

CDBG-DR-PLANNING - SERVICES

Projected Start Date:

10/10/2014

Benefit Type:

Area ()

National Objective:

N/A

Activity Status:

Under Way

Project Title:

Planning and Service Activities

Projected End Date:

10/09/2019

Completed Activity Actual End Date:

Responsible Organization:

Town of Bloomsburg

Overall

Total Projected Budget from All Sources

Jan 1 thru Mar 31, 2017

N/A

To Date

\$0.00

Total Budget

(\$97,500.00)

\$0.00

Total Obligated

(\$97,500.00)

\$0.00

Total Funds Drawdown

(\$421.60)

\$0.00

Program Funds Drawdown

(\$421.60)

\$0.00



Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	(\$421.60)	\$0.00
Commonwealth of Pennsylvania2	\$0.00	\$0.00
Town of Bloomsburg	(\$421.60)	\$0.00
Match Contributed	\$0.00	\$0.00

Activity Description:

Multi-phase planning study.
February 2017 - Activity was cancelled. Activity dollars added to BLM-12

Location Description:

Town of Bloomsburg, Columbia County

Activity Progress Narrative:

This activity was cancelled and rolled into the BLM-12 activity.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number: State Planning

Activity Title: State Planning

Activity Category:

Planning

Project Number:

CDBG-DR-PLANNING - SERVICES

Projected Start Date:

10/31/2013

Benefit Type:

Area ()

National Objective:

N/A

Activity Status:

Under Way

Project Title:

Planning and Service Activities

Projected End Date:

10/30/2018

Completed Activity Actual End Date:

Responsible Organization:

Commonwealth of Pennsylvania2

Overall

	Jan 1 thru Mar 31, 2017	To Date
Total Projected Budget from All Sources	N/A	\$66,000.00
Total Budget	\$0.00	\$66,000.00
Total Obligated	\$66,000.00	\$66,000.00
Total Funds Drawdown	\$10,310.09	\$10,310.09
Program Funds Drawdown	\$10,310.09	\$10,310.09
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$10,310.09	\$10,310.09
Commonwealth of Pennsylvania2	\$10,310.09	\$10,310.09
Match Contributed	\$0.00	\$0.00

Activity Description:

Planning - Delivery expenses associated with the disaster recovery grant for the State of Pennsylvania.

Location Description:

Planning - Delivery expenses associated with the disaster recovery grant for the State of Pennsylvania.

Activity Progress Narrative:

Expenses incurred this quarter are for the preparation of the development of the action plan and the unmet needs analysis for the CDBG disaster recovery program.

Accomplishments Performance Measures

No Accomplishments Performance Measures



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources
