

2012 CDBG – DR SUBSTANTIAL AMENDMENT 6 (AP #15)

PUBLIC LAW 112-55, NOVEMBER 18, 2012
FR-5628-N-01; APRIL 16, 2012

May 18, 2018



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INTRODUCTION

Overview of Public Law 112-55

The U.S. Department of Housing and Urban Development (HUD) issued a notice in the Federal Register, on April 16, 2012, which awarded \$27,142,501 of CDBG-DR funds to the Commonwealth of Pennsylvania to be administered by DCED. This was the first allocation of CDBG-DR funding to assist the State with recovery from Hurricane Irene (FEMA-4025-DR) and Tropical Storm Lee (FEMA-4030-DR). The Department of Community and Economic Development (DCED) is the recipient and administrator of CDBG-DR funding for the Commonwealth of Pennsylvania.

An Action Plan for these funds was submitted to HUD on July 23, 2012 and subsequently approved. Since then DCED has published and received approval for four Substantial Action Plan Amendments to this first allocation of CDBG-DR funding under Public Law 112-55. Below is a summary of those Action Plan Amendments and when they were published:

- **Substantial Amendment 1 (SA1) March 24, 2014:** This Substantial Amendment increased the infrastructure category allocation, decreased the economic development category, allowed Local Units of Government within the affected areas to be eligible applicants instead of just County Governments, and removed the distinction of FEMA eligible and non-FEMA eligible activities. All activities meeting the established criteria will be eligible for funding. The SA also reduced the number of residential properties that will be purchased under the Housing Buyout category, though the allocated amount will remain the same. The method of distribution for the housing rehabilitation funds is a standard process for application intake for all affected counties and sets a minimum standard for the assistance provided.
- **Revised Substantial Amendment 2 (SA2) May 24, 2014:** This Revised Substantial Amendment includes an update to the Unmet Needs information, an addition to the method of distribution, and two additional ways parts of the administration of the proposed activities may be carried out if warranted. The updates also included the hiring of consultants and the development of a consortium of counties or local governments.
- **Revised Substantial Amendment 3 (SA3) August 12, 2014:** This Revised Substantial Amendment, corrected the errors in the May 24, 2014 Revised Substantial Amendment. In addition, there is an increase in the Economic Development allocation, a decrease in the contingency line item, and the results of a survey of agencies in the area who provide assistance to the special needs population to determine if there are any unmet needs.
- **Substantial Amendment 4 (SA4) August 3, 2016:** This Substantial Amendment included an updated unmet needs analysis based on the latest available data from the qualifying disaster events. Based on the unmet needs analysis, DCED allocated the funding to a mix of Housing and Infrastructure Programs. DCED created the Down Payment Assistance Program under this allocation, and the Economic Development Business Stimulus and Resiliency Program was eliminated due to a lack of unmet need and demand for the program.
- **Substantial Amendment 5 (SA 5/AP# 14) January 16, 2018:** This Substantial Amendment included an update to the unmet needs analysis and made changes to the funding allocations under the PL 112-55 appropriation to reflect this new analysis. The SA 5/AP #14 reallocated

funds from housing repair, elevation and reconstruction activity to infrastructure reducing the budget from \$9,660,501 to \$5,386,875. The multi-family rental housing budget was reduced by \$12,500 and this amount has been reallocated to infrastructure. The SA also eliminated the downpayment assistance program and reallocated the \$1,000,000 in that fund to new housing construction, increasing that budget to \$1,500,000. The SA also allocated funds to the Bloomsburg Flood Wall, provided an additional \$1,500,000 to the Solomon Creek Channel project.

The needs for disaster relief have evolved since the submission of the last Substantial Action Plan Amendment on January 16, 2018 and the continuing need to complete funded projects by affected municipalities. The Commonwealth of Pennsylvania now seeks to make funding allocations under the PL 112-55 to reflect the demand for disaster recovery funding complementing the strategic priorities of the Commonwealth based on the priorities outlined in the approved SA 5 and requirements outlined in the DCED CDBG-DR Guidelines and request for proposals dated December 2016.

Based on input received from citizens, stakeholder groups, local government officials and other partners, the Commonwealth of Pennsylvania reviewed its CDBG-DR funding priorities and established the portfolio of recovery programs set forth in the table on pages 4-5. DCED proposes to dedicate funding to programs currently approved by HUD, and for which demand has exceeded available funding. Integrating additional CDBG-DR funds into these established programs is the most efficient and effective way to get the funding spent in the areas of greatest need and the “most impacted counties”. This substantial amendment provides details on the projects to be funded under PL 112-55 appropriation and how much funding is allocated to each program. Project details are included in Exhibit A: Allocation of Funds.

This Action Plan Amendment Number 6 (SA 6/ AP#15) is considered a substantial amendment according to the definition in the HUD Federal Register Notice and in accordance with the State’s Citizen Participation Plan because it involves a re- allocation of more than \$1,000,000 of CDBG-DR funds.

Notice was provided to allow for a public comment period to review the proposed substantial amendment to the Commonwealth of Pennsylvania for FY 2012 Community Development Block Grant – Disaster Recovery (PL-112-55) funds. The public comment period was from April 15, 2018 through May 14, 2018. A public hearing was held on Monday, May 14, 2018 and two comments were received and incorporated into this plan. Both comments supported the amendments as presented and are articulated beginning on page 26.

Prior CDBG-DR Allocations (Public Law 113-2)

The Commonwealth of Pennsylvania received \$29,986,000 from the Department of Housing and Urban Development (HUD) under The Disaster Relief Appropriations Act, 2013 (Public Law 113-2, approved January 29, 2013). This was the second allocation of CDBG-DR funding to assist the Commonwealth with recovery from 2011 storm events (Hurricane Irene – FEMA-4025-DR; and Tropical Storm Lee – FEMA-4030-DR). The HUD guidance for the funding was published March 5, 2013 in the Federal Register as Docket Number FR-5696-N-01 and the funding appropriation for the Commonwealth of Pennsylvania was published in the Federal Register as Docket Number FR-5696-N-03 on May 29, 2013.

The fourth Action Plan Amendment for this appropriation was published for a 30-day public comment period at the same time as this Action Plan Amendment.

For additional details regarding the PL 113-2 appropriation, please see the draft Action Plan Substantial Amendment 4 (SA 4/AP #11) located at <https://dced.pa.gov> (select “Programs & Funding” on the homepage. In the “Search for Programs” search bar, enter “Community Development Block Grant – Disaster Recovery (CDBG-DR) and select “Go to Program.” Or, access the page directly at: <https://dced.pa.gov/programs/community-development-block-grant-disaster-recovery-cdbg-dr/> (scroll to the bottom of the Community Development Block Grant – Disaster Recover (CDBG-DR) page and select the Action Plan bullet).

Summary of Funding Distribution under both CDBG-DR Allocations

The Commonwealth of Pennsylvania has allocated funding under each CDBG-DR Allocation as outlined in Table 1 below.

Table 1: Current CDBG-DR Funding Distribution under Both Allocations

Program	PL 112-55 Appropriation	PL 113-2 Appropriation	Total
Housing Repair, Reconstruction and Elevation Program	\$5,386,875	\$0	\$5,386,875
Buyout Program	\$1,963,501	\$9,450,000	\$11,413,501
New Housing Construction Program	\$1,500,000	\$0	\$1,500,000
Down Payment Assistance Program	\$0	\$0	\$0
Multifamily Rental Housing Program	\$487,500	\$5,000,000	\$5,487,500
Infrastructure Program	\$15,822,500	\$13,936,700	\$29,759,200
Planning	\$625,000	\$100,000	\$725,000
Administration	\$1,357,125	\$1,499,300	\$2,856,425
Total	\$27,142,501	\$29,986,000	\$57,128,501

Approved Funding Transfers

Funding will be reallocated to the New Housing Construction Program and to address additional infrastructure needs identified in the most impacted communities. None of the funding transfers will affect any existing commitment of program funds to any individual, business, community or project. Table 2 describes how the funds will be reallocated.

Table 2: PL 112-55 Revised Method of Distribution

Approved Pennsylvania Action Plan Program	Activity Previous Allocation	Amount of Transfer	Activity Revised Allocation
<i>Housing</i>			
Housing Repair, Reconstruction and Elevation Program	\$9,660,501	(\$4,273,626)	\$5,386,875
Buyout (FEMA match)	\$1,963,501		\$1,963,501

New Housing Construction	\$500,000	\$1,000,000	\$1,500,000
Down Payment Assistance	\$1,000,000	(\$1,000,000)	\$0
Multifamily Rental Housing	\$500,000	(\$12,500)	\$487,500
Infrastructure			
Infrastructure	\$11,536,374	\$4,286,126	\$15,822,500
Planning & Services			
Planning (Inc. LBP & other training)	\$625,000		\$625,000
Administration			
Administration	\$1,357,125		\$1,357,125
TOTAL	\$27,142,501	--	\$27,142,501

Justification of Funding Transfers

Transfer of funds to the New Housing Construction Program

Table 3: Transfer of Funds to New Housing Construction Program

Approved Pennsylvania Action Plan Program	Activity Previous Allocation	Amount of Transfer	Activity Revised Allocation
New Housing Construction	\$500,000	\$1,000,000	\$1,500,000

As a result of the lack of interest in the Housing Repair, Reconstruction, and Elevation program, due to elevation and cost reasonableness concerns, the State has determined that the most effective way to meet identified housing needs is through the New Housing Construction Program.

Transfer of funds to the Infrastructure Program

Table 4: Transfer of Funds to the Infrastructure Program

Approved Pennsylvania Action Plan Program	Activity Previous Allocation	Amount of Transfer	Activity Revised Allocation
Infrastructure	\$11,536,374	\$4,286,126	\$15,822,500

As a result of the increased costs associated with multiple infrastructure projects, the Infrastructure Program requires additional funding. DCED has identified additional infrastructure projects that can benefit from CDBG-DR support and that can be completed within the extended timeframe.

Reduction of funds for the Housing Repair, Reconstruction and Elevation Program

Table 5: Reduction of Funds for the Housing Repair, Reconstruction and Elevation Program

Approved Pennsylvania Action Plan Program	Activity Previous Allocation	Amount of Transfer	Activity Revised Allocation
Housing Repair, Reconstruction and Elevation	\$9,660,501	(\$4,273,626)	\$5,386,875

Under the Housing Repair, Reconstruction and Elevation Program, homeowners can use funding to elevate their structure and implement other forms of flood proofing to make primary residences more resistant to future flooding. In order to participate in the program, many properties were required to elevate based on the amount of storm damage and location of property within the 100-year floodplain. Due to the increased number of steps required to enter their home after elevation, many elderly homeowners seeking to “age in place” chose not to elevate their homes. Approximately 23.8% of the households in the five hardest hit counties (Bradford, Columbia, Dauphin, Luzerne, and Wyoming)

include individuals 60 years of age or older.¹¹¹ Since the potential beneficiaries for this program included significant numbers of senior citizens, the demand for the program did not meet initial projections.

While elevation was cited as a reason that some eligible applicants lacked interest in the Housing Repair, Reconstruction and Elevation Program, one of the proposed infrastructure projects will reduce the need for elevation. The Bloomsburg Floodwall project will protect homes in neighboring communities from future flooding. As a result of the reduced flooding, the need to elevate homes in the surrounding neighborhoods is negated and further decreasing program demand.

DCED also recognized that cost reasonableness associated with rehabilitation of properties in the Housing Repair, Reconstruction and Elevation Program was a reason for a lack of interest from eligible applicants. The cost associated with rehabilitating and elevating homes often far exceeded the market values of the homes. In addition to the expected costs of rehabilitating and elevating a home, the costs associated with regulatory compliance would deter many applicants from continuing in the program. DCED is also concerned that elevation would negatively impact the future marketability of elevated homes. For the housing elevations that are not subject to Davis Bacon, the Commonwealth of Pennsylvania requires all workers on state-funded construction projects exceeding \$25,000 be paid the State Prevailing Wage. The impact of applying prevailing wage to the Housing Repair, Reconstruction, and Elevation Program significantly increased the cost of construction, making the program unattractive to many homeowners. As a result, many applicants chose not to participate in the program. The only municipality that applied for the funding and was not able to use the funds due to a lack in interest from eligible homeowners is Wyoming County.

Reduction of funds for the Multifamily Rental Housing Program

Table 6: Reduction of funds for the Multifamily Rental Housing Program

Approved Pennsylvania Action Plan Program	Activity Previous Allocation	Amount of Transfer	Activity Revised Allocation
Multifamily Rental Housing	\$500,000	(\$12,500)	\$487,500

Initially the Bradford (Gateway Commons) project applied for the Multifamily Rental Housing Program cap of \$500,000. The project consists of 40 units, of which 2 accessible units are 20% or below LMI, 19 units are 50% or below LMI, and another 19 units are 50% or below LMI. Construction is completed and the project is awaiting a Certificate of Occupancy. Once the Certificate of Occupancy is received, Gateway Commons will begin leasing up units. Since DCED already knows that the total cost of the project was only \$487,500 of the \$500,000 award, the Multifamily Rental Housing Program has an additional \$12,500 that can be transferred to another program.

Elimination of the Down Payment Assistance Program

Table 7: Elimination of the Down Payment Assistance Program

Approved Pennsylvania Action Plan Program	Activity Previous Allocation	Amount of Transfer	Activity Revised Allocation
Down Payment Assistance	\$1,000,000	(\$1,000,000)	\$0

¹¹¹ American Fact Finder, 2011-2015 American Community Survey, “Households by Presence of People 60 Years of Age and Older.

After significant marketing attempts, it was determined that there was little or no market for the Down Payment Assistance Program. Given the need and demand for additional funding for the Infrastructure Program, the \$1,000,000 initially allocated for down payment assistance will be moved to provide additional funding for infrastructure projects.

Other Program Changes

Elimination of 120% AMI cap on the Housing, Repair, Reconstruction and Elevation Program

Based on American Community Survey data, approximately 41%² of the homeowners living in one of the five targeted counties are at or above 120% Area Median Income (AMI). This program was originally targeted to households at 120% AMI or less; however, the demand for the program, based on the elements discussed previously, has resulted in fewer applications than originally anticipated. The Commonwealth of Pennsylvania believes there remains a need for the program for any homeowner who has been unable to complete repairs on his/her home. While LMI homeowners will remain a program priority, the Commonwealth wishes to expand the program to a larger pool of homeowners, who still have homes in need of repair.

STORM IMPACT

In 2011, Pennsylvania was impacted by three natural disasters: a flooding event in April; Hurricane Irene in August; and Tropical Storm Lee in September. These three events caused severe flooding in many of Pennsylvania's communities, damaging or destroying homes, businesses, public facilities and infrastructure.

The flooding that occurred on April 25 through April 28, 2011, impacted five counties in the northern portion of the state, then in late August 2011, Hurricane Irene's heavy winds and severe rains caused major to catastrophic flooding in northeastern Pennsylvania. Roads flooded, requiring evacuation of stranded residents. Lycoming and Wyoming were most significantly impacted. Impacted counties were designated as eligible for both FEMA Public Assistance and Individual Assistance to address damages to infrastructure, homes and businesses. A map of impacted counties is illustrated in Exhibit 1.

Within weeks of Hurricane Irene, Tropical Storm Lee impacted much of central Pennsylvania, causing widespread flooding along the Susquehanna and Delaware River basins in early September 2011. Of the three events, Tropical Storm Lee caused the most extensive damage in the state, largely due to heavy rains in central Pennsylvania, ranging between 10 and 15 inches over a three-day period. In particular, the counties of Bradford, Columbia, Dauphin, Luzerne, and Wyoming were most impacted. Flooding predominantly occurred within river towns. Communities like Athens, Towanda, Danville, Bloomsburg, Wilkes-Barre, Sunbury, Harrisburg and Marietta suffered catastrophic flood losses.

² American Fact Finder, 2011-2015 American Community Survey 5-year estimates, Tenure by Household Income in Past 12 Months.

As a result of these Nationally Declared Disasters, 9,059 homes suffered major to severe damage and there were 18 fatalities. Following these disasters:

- 94,385 persons registered for FEMA Individual Assistance and 60,898 received assistance;
- 780 businesses applied for SBA emergency business loans of which 272 received assistance;
- NFIP paid more than \$327 million to cover property and content loss;
- FEMA obligated \$181,520,786 to remove debris, provide emergency relief services, and restore public infrastructure;
- 23 Disaster Recovery Centers were set up and in operation between September 14, 2011 and November 30, 2011; and
- 291 households were placed into temporary housing units provided by FEMA.

Exhibit 1. Counties Eligible for FEMA Assistance

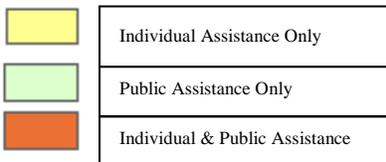
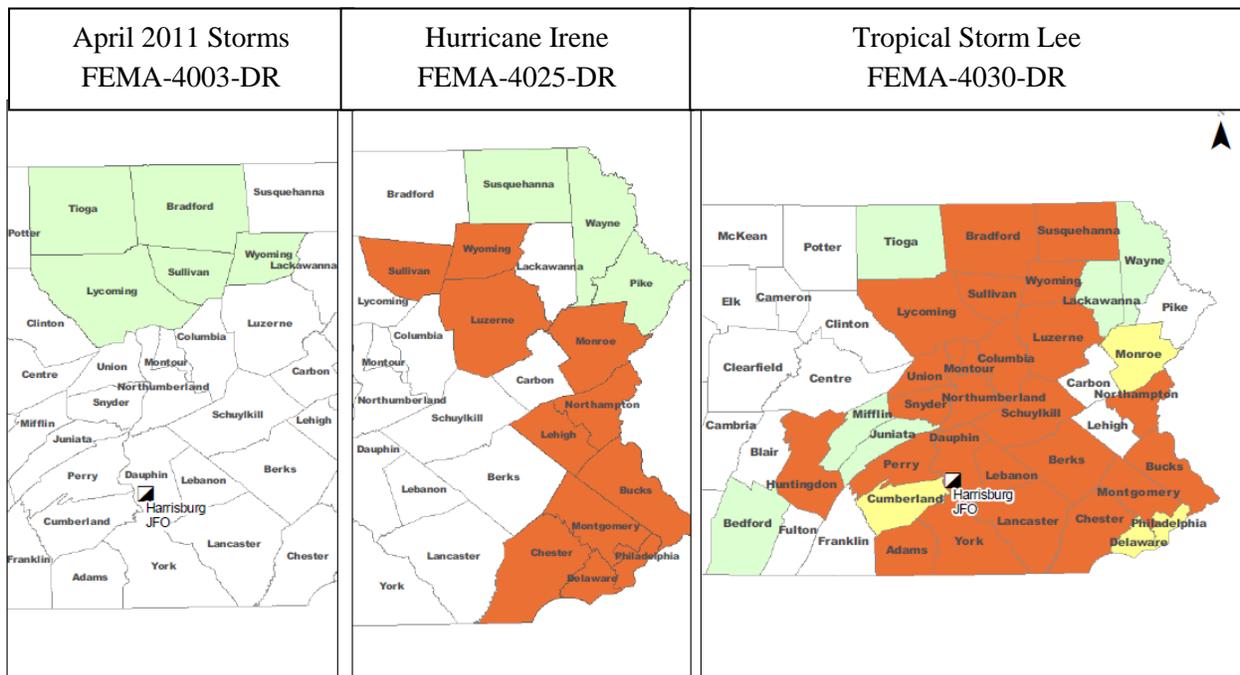


Exhibit 2. Images of Flooding in Impacted Communities



Image 1 Bloomsburg



Image 2 Route 11, Bloomsburg



Image 3 West Pittston



Image 4 Loyalsock Bridge, Loyalsocksville



Image 5 Hershey Park Amusement Park, Hershey



Image 6 Lower Swatara Township

Source: See Appendix 4: Image References.

The April 2011 storm, Tropical Storm Lee, and Hurricane Irene caused flooding and wind damage throughout much of Pennsylvania, particularly in the river communities along the banks of the Susquehanna River. Table 2 lists the 10 communities with the greatest number of housing units that suffered major to severe damage.

Table 8: Communities with Major to Severe Damage from the 2011 Disasters

Community	County	Owner-Occupied	Renter-Occupied	Total
West Pittston	Luzerne	350	87	437
Bloomsburg	Columbia	211	148	359
Philadelphia	Philadelphia	117	176	293
Athens	Bradford	157	74	231
Middletown	Dauphin	159	19	178
Espy	Columbia	108	33	141
Duryea	Luzerne	76	27	103
Pine Grove	Schuylkill	64	13	77
Harrisburg	Dauphin	67	8	75
Shickshinny	Luzerne	50	22	72

Source: FEMA Individual Assistance Data effective February 6, 2016.

The most impacted counties of Bradford, Columbia, Dauphin, Luzerne and Wyoming will receive at least 80%, or \$17,283,073 of the funding from this allocation³.

UNMET NEEDS ASSESSMENT

The unmet needs analysis included in this amendment represents a revised methodology from two previous Action Plan Amendments published in September 2012 and May 2014. The revisions closely follow the methodology HUD outlines in Federal Register Docket Number 5696-N-03. The revisions are also based on updated data from the following sources:

- FEMA Individual Assistance data as of February 6, 2016;
- FEMA Public Assistance data as of January 3, 2016;
- SBA business loan information as of January 2015;
- SBA housing loan information as of January 2015; and
- DCED State Match for FEMA Public Assistance (at time of program closeout in August 30, 2013).

These data sources serve as the basis for estimates of unmet needs in the most impacted and distressed areas related to:

- Restoration of housing;
- Economic revitalization; and
- Restoration of infrastructure

A detailed description of the methodology used to determine the unmet need and the data sources can be found in Appendix 1: Unmet Need Methodology.

³ For PL 112-55: Per FR-5628-N-01, 80% of the total funding is calculated based on the total PL 112-55 appropriation provided to the Commonwealth of Pennsylvania (\$27,142,501) as well as Luzerne County (\$15,738,806) and Dauphin County (\$6,415,833).

Based on the updated Unmet Needs Analysis, the remaining unmet need is estimated at \$259,933,500.

Table 9: Summary of Unmet Needs

	Housing	Businesses	Infrastructure	Total
Estimated Damage	\$1,554,984,424	\$102,718,911	\$237,071,699	\$1,894,775,034
Minus FEMA	\$172,515,559	\$0	\$147,080,976	\$319,596,535
Minus Insurance	\$1,069,441,048	\$27,949,800	NA	\$1,097,390,848
Minus SBA Loans	\$78,481,100	\$26,692,300	\$0	\$105,173,400
Minus State Match		\$0	\$46,900,643	\$46,900,643
Minus State CDBG-DR Allocations	\$22,160,501	\$0	\$7,953,500	\$32,114,001
Minus Dauphin County CDBG-DR Allocations	\$560,000	\$463,000	\$11,691,483	\$12,714,483
Minus Luzerne County CDBG-DR Allocations	\$11,659,602	\$1,292,023	\$10,000,000	\$22,951,625
Remaining Unmet Need	\$200,166,615	\$46,321,788	\$13,445,097	\$259,933,500

Source(s): FEMA Individual Assistance Data effective February 6, 2016; FEMA Public Assistance Data effective January 3, 2016; Small Business Administration Business Loan Data effective January 2015; DCED State Match for FEMA Public Assistance Projects Data effective August 30, 2013; and DCED Survey of Infrastructure Needs administered April 2014.

Housing

The storms of 2011 caused widespread damage to owned and rented homes throughout much of the state, particularly in towns along the Susquehanna and Delaware Rivers. In total, roughly 95,000 individuals applied for FEMA assistance, and according to FEMA, at least 59,793 of these applicants incurred damage to their homes. The majority of this damage was classified as minor damage, but 9,059 homes were determined by FEMA to have major to severe damage. (For a detailed description of methodology for housing damage estimates and unmet need, see Appendix 1: Unmet Need Methodology.)

The counties with the most significant housing damage are listed below in Table 10.

Table 10: Damaged Owner-Occupied Homes and Rental Units by Damage Category by County

County	Minor-Low	Minor-High	Major-Low	Major-High	Severe	Unknown	All Damage	Major and Severe Damage
Grand Total	44,492	6,142	1,707	4,857	2,495	100	59,793	9,059
Luzerne	683	254	106	688	617	3	2,351	1,411
Dauphin	2,881	742	234	643	359	21	4,880	1,236
Columbia	515	233	187	619	225	5	1,784	1,031
Bradford	286	191	87	458	268	15	1,305	813
Lebanon	1,994	669	91	378	186	22	3,340	655
Lycoming	384	167	99	324	187	6	1,167	610
Lancaster	1,769	335	120	249	102	6	2,581	471
Northumberland	746	243	48	287	67	4	1,395	402
Philadelphia	24,849	1,474	211	124	29	2	26,689	364
Wyoming	174	66	31	168	136	11	586	335
Montgomery	1,642	332	96	145	59		2,274	300
York	2,661	403	70	131	32	2	3,299	233
Schuylkill	313	69	49	140	42		613	231
Bucks	1,046	240	73	109	24		1,492	206
Susquehanna	99	54	27	72	33	1	286	132
Snyder	50	27	35	38	35		185	108
Sullivan	92	35	20	53	30		230	103

Source: Analysis using FEMA IA Data effective February 6, 2016.

Homeowners and Renters

According to FEMA Individual Assistance data, 52,611 homeowners experienced some damage to their homes due to the 2011 storms. Of this number, 5,752 owner occupied properties had damage that was considered major or severe. The total homeowner damages are estimated at \$1,323,585,126 with an estimated unmet need of \$178,684,367. A substantial number of rental units were also damaged, with 7,182 renters having verifiable losses, and 1,600 rental units experienced major to severe damage. The total rental damages are estimated at \$231,399,299, with an estimated unmet need of \$55,862,351. A damage count of owned and rented homes by community, county and state can be found in Appendix 2. The statewide summaries of damage counts, damage cost estimates, and estimated unmet need are found in Tables 11, 12, and 13 below.

In total, the estimated unmet housing need is \$234,546,718. To date, the Commonwealth, Luzerne County, and Dauphin County have allocated \$33,820,103 in CDBG-DR funds towards housing recovery, leaving a remaining unmet need of \$200,166,615.

Table 11: Damage Counts for Owned and Rented Homes Damaged in the 2011 Disasters

Damage Category	Owner	Renter	Total
Minor-Low	40,364	4,128	44,492
Minor-High	5,247	895	6,142
Major-Low	1,182	525	1,707
Major-High	3,690	1,167	4,857
Severe	2,062	433	2,495
Unknown	66	34	100
Total	52,611	7,182	59,793

Source: Analysis of FEMA IA Data effective February 6, 2016.

Table 12: Damage Estimates for Owned and Rented Homes Damaged in the 2011 Disasters

Damage Category	Owner	Renter	Total
Minor-Low	\$717,371,313	\$73,365,097	\$790,736,411
Minor-High	\$120,856,737	\$20,614,976	\$141,471,713
Major-Low	\$42,295,010	\$18,785,855	\$61,080,865
Major-High	\$240,845,653	\$76,169,885	\$317,015,538
Severe	\$202,216,412	\$42,463,485	\$244,679,898
Total	\$1,323,585,126	\$231,399,299	\$1,554,984,424

Source: Analysis of FEMA IA Data effective February 6, 2016.

Table 13: Unmet Need for Owned and Rented Homes Damaged in the 2011 Disasters

Damage Category	Owner	Renter	Total
Unmet Need	\$178,684,367	\$55,862,351	\$234,546,718
Minus CDBG-DR allocations			\$34,380,103
Remaining Unmet Need			\$200,166,615

Source: Analysis of FEMA IA Data effective February 6, 2016 and CDBG-DR allocations from the Commonwealth, Luzerne and Dauphin Counties. CDBG-DR allocations include \$22,160,501 from the Commonwealth; \$11,659,602 from Luzerne County; and \$560,000 from Dauphin County.

Repetitive Flood Loss

Much of the 2011 damage impacted riverine communities that experience frequent flooding due to their location within 100-year or 500-year floodplains. The Pennsylvania Emergency Management Agency (PEMA) has identified 1,889 properties that experience Repetitive Flood Loss (RL) or Severe Repetitive Flood Loss (SRL). The Agency is working with communities to mitigate the risks associated with these properties through the Hazard Mitigation Grant Program (HMGP) operated under FEMA. The program enables the Commonwealth to elevate structures, or acquire and demolish structures, preserving the greenspace to mitigate future losses. Not all properties qualify for this program although they are still at risk of repetitive flooding. The Commonwealth intends to use CDBG-DR funds to supplement HMGP. To date, DCED has spent \$1,857,411 of the allocated \$2,000,000 to acquire and demolish 22 RL properties under the Commonwealth's PL 112-55 CDBG-DR allocation. DCED anticipates additional need for CDBG-DR funds to mitigate RL and SRL properties, and has committed an additional \$8,500,000 to address these needs using the 2nd CDBG-DR allocation. These figures are included in the \$34.4 million of CDBG-DR allocations found in Table 13.

Public Housing

In July of 2013, DCED administered a survey to public housing authorities (PHAs) in the affected areas to assess damages and determine unmet needs. Of the 49 surveys sent out, 19 PHAs responded. Of those that responded to the survey, three (3) PHAs identified 56 units in their properties were impacted by storm events, suffering an estimated \$1,366,226 in damage. These include the Lancaster Housing Authority, the Philadelphia Housing Authority, and the Columbia County Housing Authority which had damaged in Lancaster, Philadelphia, and Bloomsburg, respectively. Of the three PHAs reporting damage, survey respondents indicated that all damage has been repaired.

Affordable Rental Housing

DCED initially addressed damages and potential unmet needs for affordable housing using funds from other federal resources, including the Emergency Solutions Grant (ESG) Program, USDA Rural Housing, HUD Project Based Vouchers, and Low-Income Housing Tax Credits (LIHTC).

In late 2011, DCED conducted two application funding rounds for ESG, where applicants had the opportunity to apply for funding to repair properties damaged by the 2011 storms. There were no applicants to this funding round. DCED opened an additional funding round eligible to Continuum of Care providers to fund any repairs needed for transitional and permanent housing damaged in the 2011 storms. There were no applicants for this funding round either. Based on these three funding rounds, and a survey conducted October 10, 2012 at the Summit on Rural Homelessness, DCED estimates there are no remaining unmet needs for shelters or transitional housing.

In 2012, and again in November of 2015, DCED engaged with the Pennsylvania Housing Finance Agency (PHFA) to determine if there are any unmet needs for repairs to rental properties funded through the PHFA. According to surveys, PHFA administered in late 2011, three properties suffered major to severe damage due to Hurricane Irene and Tropical Storm Lee, including two developments in Bloomsburg (one for seniors) and another property in Athens. An estimated total of \$1,510,000 in damages was reported by the management companies at these three properties, with at least three feet of

flooding on the ground floor, and displacement of residents. All developments were insured, and according to the survey responses, all repairs were made by the end of December 2011.

Given the remaining unmet need for affordable rental housing, and the potential loss of affordable rental units through the Buyout Program, DCED intends to provide financing to support PHFA's development of additional affordable units by providing gap financing for LIHTC projects in the upcoming rounds. PHFA intends to give priority to areas that experienced the greatest loss of affordable rental units due to the storm events.

Temporary Housing

As a result of Hurricane Irene and Tropical Storm Lee, 295 households were placed into temporary housing units provided by FEMA. By December 2013, all displaced households were in permanent housing. This was accomplished through FEMA's Direct Housing Mission, working with the Commonwealth of Pennsylvania, local municipalities and various federal agencies. Households were placed within five community sites and 37 commercial parks. As of January 2016, there were no households living in temporary housing units.

Vulnerable Populations

As part of this updated unmet needs assessment, DCED analyzed the demographic and socioeconomic characteristics of areas most impacted by the April 2011 storms, Hurricane Irene, and Tropical Storm Lee. This was accomplished by mapping all damaged homes using the FEMA Individual Assistance data, and analyzing Census Tract information for those damaged areas using American Community Survey 2008 – 2012 information. For the purpose of this analysis, Census Tracts are equivalent to neighborhoods, and communities are synonymous with towns, cities, and Census-designated places.

DCED's intention for this analysis is to identify neighborhoods and communities that were substantially impacted by the storms of 2011 and have significant vulnerable populations. Vulnerable populations may include older adults, persons with cognitive or physical disabilities, persons with limited proficiency in speaking or understanding English, and low or moderate income (LMI) individuals and households. All of these populations are viewed as being more "at risk" of severe financial burden and potential homelessness in the event of a disaster.

DCED analyzed these statistics in Census Tracts where there were at least 10 homes experiencing major or severe damage in the 2011 disasters, and compared those to Census Tracts having a disproportionately higher percentage of vulnerable populations. DCED provides a detailed breakdown of this information by Census Tract and community in Appendix 2, and is using this information to target outreach, planning activities, and prioritization of projects and resources.

According to this analysis, impacted rural communities in Bradford, Sullivan, Northumberland, Lancaster, and Dauphin have a higher concentration of older adults and residents with disabilities than average. In contrast, the impacted urban neighborhoods of Harrisburg, Lebanon and Philadelphia have higher concentrations of poverty.

Businesses

The 2011 storms caused flooding and severe power outages across the central and eastern parts of the state which damaged commercial structures and interrupted business operations. In particular, the flooding along the Susquehanna River had a severe impact on businesses located in riverine communities. Widespread power outages impacting 3.8 million customers caused businesses to shutter for extended periods of time. According to the Pennsylvania Public Utility Commission, the storms of 2011 caused the longest interruption of power in the past nine years, with many customers experiencing outages longer than 72 hours.⁴

To calculate unmet business needs, DCED focused on business owners who submitted loan applications to the SBA and were denied. These loans typically cover the costs insurance did not cover for real property and real content loss, along with working capital needed to maintain business operations. For many businesses, their insurance was not adequate to cover their aggregated losses. Reasons most often cited for SBA loan rejection were poor credit or perceived inability to repay loans. The unmet business needs is based on these applicants, assuming any business owner who submitted a loan application and was denied likely had unmet needs. (For a detailed description of methodology for business unmet need, see Appendix 1: Unmet Need Methodology.)

According to SBA business loan information, as of January 2015, 780 businesses completed and submitted business loan applications, and 35% were approved (equivalent to 272 businesses). Based on this information, DCED estimates there was up to \$46,321,788 in unmet business needs remaining once insurance payments and SBA loans were processed.

Table 14: Unmet Business Needs

Estimated Business Damage	\$	102,718,911
Minus Insurance Claims Approved	\$	27,949,800
Minus SBA Loans Issued	\$	26,692,300
Business Unmet Needs	\$	48,076,811
Minus CDBG-DR Allocations	\$	1,755,023
Remaining Unmet Business Needs	\$	46,321,788

Source(s): SBA Business Loan data effective January 2015; Insurance Claims by NAICS Code data effective March 20, 2014; and CDBG-DR allocations for the Commonwealth, Luzerne County and Dauphin County.

The unmet business needs figures reported in the chart above rely on SBA loan applications and insurance claims that were likely filed within a year of the storm events. The CDBG-DR allocation is based on Luzerne and Dauphin Counties commitment of funds to economic development activities (both Luzerne and Dauphin received their own CDBG-DR allocation). DCED believes that the status of businesses with unmet needs has changed in the intervening five years between the storm events and today and that the majority of businesses impacted by the disasters have either a) recovered using other resources, or b) have since shuttered.

DCED also analyzed which industry sectors were most impacted by the storms by reviewing insurance claims categorized by NAICS code. This included information on verified real property loss and content

⁴ Pennsylvania Public Utility Commission, "Outage Response and Data Reports," August 7, 2012.

loss, the amount approved, and unmet needs. According to this analysis, Real Estate, Manufacturing, and Leisure & Hospitality industries were the most impacted (Table 15). A portion of the unmet business needs includes Real Estate losses for multifamily rental properties which may be duplicated in the unmet housing needs.

Table 15: Five Industries Most Impacted Based on Claims Approved

NAICS Code	Industry	Sum of Total Damage	Sum of Unmet
531	Real Estate	\$22,038,168	\$13,827,592
722	Food Services and Drinking Places	\$6,615,800	\$3,642,598
321	Wood Product Manufacturing	\$2,928,863	\$2,928,863
325	Chemical Manufacturing	\$3,193,018	\$1,710,618
813	Repair and Maintenance	\$4,564,510	\$1,704,705

Source(s): SBA Business Loan data effective January 2015 and Insurance Claims by NAICS Code data effective March 20, 2014.

Further, an examination of U. S. Census County Business Patterns data (Table 16), shows that, within the five most impacted counties, there was a net loss of 360 businesses and a net growth of 12,944 jobs between 2010 and 2013. This suggests that, while many businesses shuttered during this period, the businesses that remained absorbed much of the job loss, and even expanded following national economic recovery patterns post-Recession.

Table 16: Employment, Wages and Businesses in the Five Most Impacted Counties, 2010-2013

County	Total for All Sectors			
	Paid employees for pay period including March 12 (number)	First-quarter payroll (\$1,000)	Annual payroll (\$1,000)	Total establishments
Luzerne County				
2010	122,286	956,507	4,109,900	7,326
2013	129,227	1,151,598	4,696,258	7,175
Net Change	6,941	195,091	586,358	-151
Bradford County				
2010	18,314	142,287	640,760	1,379
2013	19,556	186,276	764,360	1,394
Net Change	1,242	43,989	123,600	15
Dauphin County				
2010	141,587	1,427,809	5,857,626	6,889
2013	142,209	1,717,861	6,450,162	6,728
Net Change	622	290,052	592,536	-161
Columbia County				
2010	21,558	148,138	663,021	1,435
2013	22,851	183,953	792,800	1,373
Net Change	1,293	35,815	129,779	-62
Wyoming County				
2010	8,180	70,062	305,207	647
2013	11,026	158,578	676,092	646
Net Change	2,846	88,516	370,885	-1
All Five Counties				
2010	311,925	2,744,803	11,576,514	17,676
2013	324,869	3,398,266	13,379,672	17,316
Net Change	12,944	653,463	1,803,158	-360

Source: U.S. Census County Business Patterns, 2010 and 2013

In the previous Action Plan Substantial Amendment, DCED established the Economic Development Business Stimulus and Resiliency Program, a \$2,000,000 loan program to assist businesses with remaining unmet needs. Despite outreach to municipalities and businesses in the impacted area, the program only received 1 application for \$50,000 and the application was later withdrawn by the business owner, and no funds have been expended to date. Anecdotal feedback received from businesses also indicated that the federal requirements for the funds were too complex and time consuming. As a result and based on this unmet needs analysis, DCED has eliminated this program and is focusing its funding on the large amount of remaining unmet Housing and Infrastructure needs.

Infrastructure

The three storm events of 2011 caused widespread damage to public facilities, roads, utilities, and other infrastructure throughout Pennsylvania. To assist in rebuilding, FEMA provided Public Assistance (PA) dollars to eligible public entities, tribal governments, and some qualified Private Non-Profit (PNP) organizations. All three storm events qualified for FEMA Public Assistance, and as of January 3, 2016, FEMA has obligated \$181,520,787 towards recovery in Pennsylvania. Approximately \$147 million was earmarked towards permanent restoration of damaged infrastructure, and the remaining \$28.7 million was obligated towards debris removal and emergency protective measures immediately after the storms.

FEMA requires that state and local governments pay for a portion of most projects (up to 25%). The Commonwealth of Pennsylvania provided all of the match funds required (\$46,900,643) for FEMA PA projects and therefore there is no remaining unmet need associated with projects funded under FEMA PA.

Table 17: FEMA Public Assistance (PA) Obligations

	Total FEMA Public Assistance Grants - Dollars Obligated	Emergency Work (Categories A-B) - Dollars Obligated	Permanent Work (Categories C-G) - Dollars Obligated
Total Amount	\$181,520,786.82	\$28,739,091.85	\$147,080,975.97

Source: FEMA Public Assistance data effective January 3, 2016

However, impacted communities identified projects that did not qualify for FEMA PA but represent unmet infrastructure needs. These projects include hazard mitigation and resilience measures and damages that were addressed using temporary solutions and now require more permanent repairs.

In April 2014, DCED administered a survey to local governments on their remaining infrastructure needs. Based on this survey, local governments identified \$21,398,597 in infrastructure project costs not covered by other sources. Additionally, Luzerne and Dauphin Counties identified \$21,691,483 in infrastructure needs. In total, the Commonwealth has identified \$43,090,080 in unmet infrastructure need which includes projects not covered by FEMA, state funding, local match or insurance.

As of January 2016, DCED, Luzerne County and Dauphin County have collectively allocated \$29,644,983 to repair or replace damaged infrastructure and public facilities, leaving a remaining unmet need of \$13,445,097. As of December 31, 2015, \$6,721,706 has been spent.

Table 18: Infrastructure Unmet Needs

Estimated Infrastructure Damage	\$237,071,699
Minus FEMA Obligations	\$147,080,976
Minus Commonwealth of Pennsylvania Match	\$46,900,643
Unmet Infrastructure Needs	\$43,090,080
Minus CDBG-DR allocations	\$29,644,983
Remaining Unmet Need	\$13,445,097

Source(s): FEMA Public Assistance data effective January 3, 2016; DCED State Match for FEMA Public Assistance Projects effective August 30, 2013; CDBG-DR allocations from the Commonwealth, Luzerne County, and Dauphin County.

METHOD OF DISTRIBUTION

Overview

Based on the revised unmet needs assessment, as well as input received from citizens, stakeholder groups, local government officials and other partners, the Commonwealth of Pennsylvania reviewed its CDBG-DR funding priorities and established the portfolio of recovery programs set forth in the table on pages 4-5. DCED proposes to dedicate funding to programs currently approved by HUD, and for which demand has exceeded available funding. Integrating additional CDBG-DR funds into these established programs is the most efficient and effective way to get the funding spent in the areas of greatest need.

Housing

Housing Repair, Reconstruction and Elevation Program

Funding Amount:	\$5,386,875
National Objective:	LMI Housing; Urgent Need
Eligible Activities:	105(a)(4)
Eligible Applicants:	Units of general local government (UGLG)
Eligible Areas:	36 impacted counties
LMI Estimate:	80%
Estimated Number Served:	75 households

Program Overview

Many homeowner units were flooded as a result of the qualifying disaster events. The Housing Repair, Reconstruction and Elevation Program provides grant assistance to eligible homeowners to rehabilitate or reconstruct their primary residences and improve resiliency against future disasters by elevating homes and/or other mitigation activities. To date, DCED has obligated \$5,386,875 to projects located in Columbia, Snyder and Lycoming Counties. There is no further demand for funding from this program.

Program Administration

Funding is provided to UGLGs who are responsible for administering the program and distributing assistance to households based on need. DCED has standardized the housing rehabilitation process so that all UGLGs that apply for funding follow one process for determining eligibility and use the same property standards for determining assistance. Where applicable, replacement and new construction will meet the 2009 Residential International Code and green building standards by requiring compliance with ENERGY STAR™.

Eligible Beneficiaries

Homeowner households whose primary residence was damaged by Hurricane Irene and/or Tropical Storm Lee are eligible for assistance. Households participating in the PEMA Buyout Program may not receive assistance under this program. Priority will be given to providing funding in the 5 most impacted counties of Bradford, Columbia, Dauphin, Luzerne and Wyoming.

Eligibility Criteria

In order to receive assistance under this program, homeowners must meet all of the following criteria:

1. Home must have served as the owner’s primary residence at the time of the storm event.
2. Households must have registered with FEMA for disaster assistance or have other documentation of storm related damage such as proof of claim against homeowner’s insurance, proof of loss from flood insurance, dated photos of flood waters in the home, or inspections undertaken by local building officials evidencing storm related damage.
3. Improvements must primarily focus on making homes habitable and meeting the applicable building code. Elevation and flood proofing is an eligible use of the funds. Improvements beyond those required to meet building codes may include modest amenities and aesthetic features that are in keeping with housing of similar type in the community and does not include any homeowner selected upgrades or improvements such as air jet tubs, saunas, outdoor spas, and granite countertops.

Eligible Activities

Based on an assessment of the needs of eligible beneficiaries, one or more of the following assistance type of assistance may be provided;

- Funding to rehabilitate or reconstruction the primary residence; and/or
- Elevation or other forms of flood proofing to make the primary residence more resistant to future flooding.

Buyout Program

Funding Amount:	\$1,963,501
National Objective:	LMI Area Benefit; Urgent Need
Eligible Activities:	105(a)(1); 105(a)(2); 105(a)(4); 105(a)(11); FR-5628-N-01
Eligible Applicants:	Units of general local government (UGLG)
Eligible Areas:	Plymouth Township, Luzerne County
LMI Estimate:	0%
Estimated Number Served:	22 households

Program Overview

Many homes and commercial structures damaged in the qualifying 2011 storm events are located in flood-prone areas. Household and businesses located in these areas experience frequent flooding, causing extensive financial losses over time due to property damages, and also putting residents and business owners at a great risk of physical harm. One of the State’s primary goals for recovery is to acquire

property from willing homeowners located in flood-prone and hazardous areas, demolish the structures, and preserve the land as open space available for recreation, passive uses and conservation.

The Buyout Program voluntarily acquired properties from homeowners that were located in Plymouth Township, Luzerne County which were designated by PEMA as substantially flood damaged as a result of Hurricane Irene and/or Tropical Storm Lee. The properties are being permanently converted to open space, accessible to the public for passive recreation and/or conservation purposes. These buyouts were undertaken in coordination with the PEMA’s Unified Hazard Mitigation Grant Program, a Federal Emergency Management Agency (FEMA) funded program. The CDBG-DR funds are paying 100% of the cost to acquire and demolish the properties helping to meet the 3% Global Match requirement for the FEMA Buyout Program. To date, DCED has obligated \$1,954,750 under this program.

Program Administration

The program is administered by Plymouth Township which is using the funding to voluntarily acquire properties, demolish the structures and clear the land as permanent open space.

Eligible Beneficiaries

Homeowners of residential units located in the PEMA-designated area of Plymouth Township and which were substantially flood damaged as a result of Hurricane Irene and/or Tropical Storm Lee were eligible for assistance under this program.

Eligible Applicants

To be eligible for assistance beneficiaries must: (1) be located in Plymouth Township; and (2) have documented proof of an impact that occurred as a result of the Presidential declared disaster in the proposed buyout area, as determined by condemnation, flood levels and/or status as beyond reasonable repair for each property.

New Housing Construction Program

Funding Amount:	\$1,500,000
National Objective:	LMI Housing
Eligible Activity:	105(a)(4); FR-5628-N-01
Eligible Applicants:	Wyoming County
Eligible Areas:	Wyoming County
LMI Estimate:	100%
Estimated Number Served:	16 households

Program Overview

Wyoming County has requested this funding to support the development of up to sixteen units of affordable for sale housing. This units will help to replace housing stock lost in the storm, or units that were demolished under the Buyout Program.

DCED previously awarded funds to Wyoming County to assist with the construction of new housing units. The project, known as Bridgeview Commons, includes sixteen (16) units of affordable housing for residents at 80% AMI or below. Of the sixteen (16) units, three (3) units will be handicapped accessible. The total development cost for the project is \$4,854,840. Previously, the allocation for New Housing Construction was \$500,000; however, due to the cost of the project, Wyoming County has requested

additional funding to support the development. Therefore, an additional \$1,000,000 will be allocated to the New Housing Construction Program. These funds will be re-allocated from the Housing Repair, Reconstruction and Elevation Program.

Program Administration

The program will be administered by Wyoming County. The State has already committed funding to bring infrastructure to the site. Wyoming County has selected a developer for the project and will begin development shortly.

Eligible Beneficiaries

The housing to be developed under this program will be made available to low and moderate-income home buyers. To be eligible to purchase one of these homes, household income must be at or below 80% AMI based on household size.

Eligible Applicants

Eligible applicants for this funding are for-profit and non-profit developers, selected by Wyoming County. The successful applicant has experience in the development of for sale affordable housing and who meet the underwriting requirements of the State.

Multifamily Rental Housing Program

Funding Amount:	\$487,500
National Objective:	LMI Housing
Eligible Activity:	105(a)(4); FR-5628-N-01
Eligible Applicants:	Bradford County
Eligible Areas:	Bradford County
LMI Estimate:	100%
Estimated Number Served:	40 households

Program Overview

This funding was committed to support a Low-Income Housing Tax Credit (LIHTC) project that produced 40 affordable rental units in Bradford County. The total cost of the project was \$487,500, so this amendment reduces the total funding allocated to the program by \$12,500.

Program Administration

Bradford County administered this program.

Eligible Applicants

Bradford County awarded funding to the developer of the LIHTC project to support the long term viability and sustainability of the project, and to reduce the amount of conventional debt financing required of the developer.

Eligibility Criteria

This project addresses the State’s goal of replenishing the supply of affordable rental units lost in the most impacted counties, or other areas deemed priority by the State.

Infrastructure

Infrastructure Program

Funding Amount:	\$15,822,500
National Objective:	LMI Area Benefit; Urgent Need
Eligible Activities:	105(a)(1); 105(a)(2);105(a)(4)
Eligible Applicants:	Units of general local government (UGLG)
Eligible Areas:	36 impacted counties
LMI Estimate:	50%
Estimated Number Served:	28 projects

The Infrastructure Program provides CDBG-DR funding to address unmet infrastructure needs. Units of local government are eligible to apply for CDBG-DR funds for bridge repairs/replacements, storm water/culvert improvements, sanitary sewer improvements, road reconstruction, public facilities, and other improvements to provide mitigation measures and resilience measures for future disasters. To date, DCED has obligated \$9,176,171 to fund infrastructure projects in Columbia, Dauphin, Lackawanna, Luzerne, Northumberland, Schuylkill, Susquehanna and Bradford counties. Due to the level of unmet need identified and based on applications received to date, the following projects will receive additional funding.

Bloomsburg Flood Wall project

The Bloomsburg Floodwall project will protect LMI Benefit Area homes in neighborhoods adjacent to the floodway. One of the benefits of this project, is mitigating the impact of future flooding events, which lessens the need to elevate homes in the surrounding neighborhoods. The project includes sanitary and storm water reconstruction components to aid in the reduction / mitigation of future flooding that impacted the entire Town. **To ensure this project is a success, the Infrastructure Program will commit \$1.2 million to this activity. Specific detail about this activity can be found in Exhibit A: Allocation of Funds.**

Solomon Creek Channel project

The Solomon Creek Channel project, an LMI Area Benefit project in the City of Wilkes-Barre, has received \$2,357,000, and may receive up to approximately \$1.5 million in additional funds if needed to complete the project. Engineering reports confirmed the damage caused by the storms. Hurricane Irene and Tropical Storm Lee battered the city within a span of two weeks. The ground was already saturated when Tropical Storm Less hit on September 9, 2011, which caused nearly 10" of rain to runoff into the watershed. Until the storm subsided, water levels in Solomon Creek overtopped the bridge decks, which are approximately 10' high. Even after the water levels receded in the channel, the water within the saturated soils behind the walls did not draw down at the same rate, this caused the walls to push forward closer to the channel because of excessive hydrostatic pressure. It is impossible for the walls to naturally shift back to their original position once the soil behind the walls settled to fill in the rotation induced space. These retaining walls directly protect approximately 700 to 750 homes and 2,200 people from high water events. The construction phase of the project will involve the reconstruction of the creek's channel walls.

Program Administration

The program will be administered by DCED which will provide, through subrecipient agreements, grants to units of local government for programs meeting the threshold criteria.

Eligible Beneficiaries

Units of general local government and governmental authorities and agencies will be eligible beneficiaries of these funds.

Eligibility Criteria

To be funded, projects will need to meet the following criteria:

- Project will address need arising from Hurricane Irene and Tropical Storm Lee
- Sufficient other local, state, or federal funds are not available

Projects benefiting low and moderate-income communities or neighborhoods will receive priority.

Planning and Administration

Planning

Funding Amount:	\$625,000
National Objective:	Not applicable
Eligible Activity:	105(a) (12)

DCED is allocating planning funds for substantial and non-substantial updates to this Action Plan as well as for developing plans that help impacted communities and the Commonwealth of Pennsylvania become more disaster resilient.

Administration

Funding Amount:	\$1,357,125
National Objective:	Not applicable
Eligible Activity:	105(a) (13)

DCED as the state-designated grantee will oversee all activities and expenditures of the CDBG-DR funds. Existing Commonwealth employees will be used, and additional personnel and contractors have been hired to aid in the administration of, and to carry out, recovery programs. These personnel remain involved in ensuring that there are layers of financial control, they also will undertake administrative and monitoring activities to better assure compliance with applicable requirements, including, but not limited to, meeting the disaster threshold, eligibility, national objective compliance, fair housing, nondiscrimination, labor standards, environmental regulations, and procurement requirements found in the uniform administrative requirements. DCED may also allocate administration funding to partner agencies and units of local government that are assisting with overseeing the activities and expenditures of CDBG-DR funds.

Pennsylvania has implemented oversight and monitoring processes to ensure proficient financial controls and procurement processes; adequate procedures to prevent any duplication of benefits as defined by Section 312 of the Stafford Act; processes to ensure timely expenditure of funds; processes to detect and

prevent waste, fraud, and abuse of funds; and processes ensuring all projects are compliant with the Uniform Act (relocation), Davis-Bacon and other labor standards, fair housing, Section 3, uniform administrative requirements and other applicable federal laws.

DCED will maintain a high level of transparency and accountability by using a combination of risk analysis of programs and activities, desk reviews, site visits, and checklists modeled after HUD's Disaster Recovery Monitoring Checklists and existing monitoring checklists used in monitoring regular program activities. DCED will determine appropriate monitoring of grants, taking into account prior CDBG-DR grant administration performance, audit findings, as well as factors such as the complexity of the project.

Some of the CDBG-DR funding will also be utilized to provide technical assistance to staff and subrecipients receiving CDBG-DR funds so that recovery programs will be implemented efficiently, effectively and in compliance with the federal, state and local regulations.

DEFINITION OF "NOT SUITABLE FOR REHABILITATION"

HUD requires that the State define what constitutes a unit "not suitable for rehabilitation" that may be demolished or converted in connection with a CDBG-DR assisted activity without a replacement requirement, consistent with the waiver and allowances in FR-5628-N-01. For these purposes, "not suitable for rehabilitation" is defined as follows:

- Substandard dwellings that cannot be brought into compliance with the Pennsylvania recovery program housing rehabilitation standards and/or applicable state and local code requirements shall be deemed not suitable for rehabilitation and shall not be rehabilitated. The determination may be established if the cost of rehabilitation exceeds 75% of the market value of the property or the property is deemed a blighted structure consistent with state or local ordinance, the property would be a candidate for demolition and/or reconstruction.

OR

- A blighted structure unfit for use, habitation, or dangerous to persons or other property. In addition, a structure is blighted when it exhibits objectively determinable signs of deterioration sufficient to constitute a threat to human health, safety, and public welfare. This includes structures showing evidence of physical decay or neglect, excessive use, or lack of maintenance.

OR

- Residential properties that have experienced repetitive losses under FEMA's National Flood Insurance Program (NFIP) or be located within 50 feet of a FEMA identified special flood hazard area.

GREEN BUILDING

The State will require replacement and new construction to meet green building standards by requiring compliance with ENERGY STAR™. Pennsylvania will further encourage green building practices throughout all other proposed programs.

PROJECTED EXPENDITURES AND ANTICIPATED OUTCOMES

As outlined in the Introduction and Method of Distribution – Overview, DCED plans to expend funding under each program as outlined below and based on these projected expenditures, the table also outlines the anticipated outcomes for each program. These estimates and anticipated outcomes are preliminary and may change. Some of the factors that may impact expenditure projections and outcomes include completing federally-required environmental and historical reviews, contractor availability, weather, and availability of other funding sources.

Table 19: PL 112-55 Funding and Anticipated Outcomes

Program	Total Funding	Anticipated Outcomes
Housing Repair, Reconstruction and Elevation Program	\$5,386,875	75 households
Buyout Program	\$1,963,501	22 households
New Housing Construction Program	\$1,500,000	16 households
Multifamily Rental Housing Program	\$487,500	40 households
Infrastructure Program	\$15,822,500	28 projects
Planning	\$625,000	3 entities assisted
Administration	\$1,357,125	N/A
Total	\$27,142,501	

OUTREACH AND CITIZEN PARTICIPATION

Citizen Participation Plan Requirements

DCED certifies that it is following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105 or 91.115, as applicable (except as provided for in notices providing waivers and alternative requirements for this grant). Each unit of local government receiving assistance from a State grantee must follow a detailed citizen participation plan that satisfies the requirements of 24 CFR 570.486 (except as provided for in notices providing waivers and alternative requirements for this grant) pursuant to FR-5628-N-01. During the term of the grant, the grantee will provide citizens, affected local governments, and other interested parties with reasonable and timely access to information and records relating to the Action Plan and to the grantee's use of grant funds.

Public Notices, Outreach and Comment Period

DCED will continue to conduct extensive public outreach to inform affected individuals, organizations and municipalities of substantial amendments to the Action Plan; and to solicit their input in developing these amendments. The Notice of Availability of the Draft Substantial Amendment for Citizen Comment and Public Hearing was published in the Pennsylvania Bulletin, on **April 14, 2018**. It was also placed on DCED's website on **April 13, 2018** so that citizens, affected local governments, and other interested parties are provided a 30-day opportunity to examine the amendment's contents and make comments. DCED notified affected citizens through their elected officials, contacts with neighborhood organizations, and public libraries with an electronic mail **on April 13, 2018**. This included the following individuals and organizations:

- Chief Elected Officials in 36 disaster-declared counties

- CDBG administrators in federal entitlement counties and municipalities within 36 disaster-declared counties
- CDBG administrators in non-entitlement counties and municipalities statewide
- State associations of Counties, Cities & Municipalities, Boroughs, and Townships
- Local Development Districts & Economic Development Districts in 36 disaster-declared counties
- Members of PA Housing Advisory Committee and Regional Housing Advisory Committees
- Members of Community Development and Housing Practitioners Advisory Committee
- Representatives of Federal Emergency Management Agency and Pennsylvania Emergency Management Agency
- Pennsylvania Association of Housing and Redevelopment Agencies
- Pennsylvania Housing Alliance
- Public Libraries (Statewide)
- Six (6) DCED Regional Offices
- Members of a Stakeholders Group for Fair Housing Issues (Statewide)

DCED ensures that all citizens have equal access to information about the programs, including persons with disabilities and Limited English proficiency. The substantial amendment has been translated into Spanish and both versions of this document has been posted on DCED's website along with the previous Action Plan and Amendments. The Amendments posted on the DCED website are compatible with telecommunication devices to persons with visual impairments.

Public Review and Comment

The substantial amendment to the PL-112-55 Action Plan and supporting documentation was available for a 30-day public comment period (April 15, 2018 through May 14, 2018) and can be viewed on DCED's website: <https://dced.pa.gov/programs/community-development-block-grant-disaster-recovery-cdbg-dr/> or <http://dced.pa.gov> Copies of the Amendments may be found in Library section on the DCED home page or by clicking on the Disaster Recovery Assistance Program. The final version of the Substantial Amendment 6 will be submitted to HUD no later than May 18, 2018.

Public Hearing

The public hearing for the Substantial Amendment was conducted via telephone and via the web on WebEx on Monday, May 14, 2018 at 1pm. This more widely available computer/conference call access replaced the on-site public hearing. The format is more accessible than an in-person meeting because those who wish to make comment or discuss policy may participate directly from their electronic device or from a computer located at their public library.

Any individual or organization was given the opportunity to provide testimony or comments via the web/telephone or in writing about the proposed changes to the Action Plans for the CDBG-DR funding. The Commonwealth encourages public participation in this process.

Those who wanted to participate registered in advance by DCED's public hearing requirements and contacted Megan L. Snyder at 717-720-7404 to receive registration instructions for the web hearing at

least 24 hours prior to the hearing date. During the hearing, if support is required, participants were instructed to call 717-787-5327. The hearing would have been shortened if there is no one to testify or there is minimal response.

Persons with a disability or Limited English Proficiency who wished to participate in the public hearing should have contacted Megan L. Snyder, DCED, Commonwealth Keystone Building, 400 North Street – 4th Floor, Harrisburg, PA 17120-0225 or at (717) 720-7404 or TDD at (717) 346-0308 to discuss how the Department of Community and Economic Development can accommodate his/her needs.

Written Comments

Persons were also afforded the opportunity to provide additional written comment on the Substantial Amendment 6 to the CDBG-DR Action Plan by sending those comments electronically to RA-DCEDcdbghomequestions@pa.gov or mail to the attention of Megan L. Snyder, Department of Community and Economic Development, Center for Compliance, Monitoring and Training, 400 North Street, 4th Floor, Harrisburg, PA 17120. Comments were requested to be received before **4:00 p.m. on Monday, May 14, 2018**. Please indicate which year of funding (PL-112- 55) your comments are addressing.

Mode of Outreach	Summary of response/ attendance	Attendance or Number of comments received	Summary of Comments	URL (if applicable)
PA Bulletin	No response. This mode was used to advertise the public hearing, and the availability of the draft for citizen comment.	None	N/A	See Appendix 6 for copy of the Notice
Internet Outreach	The draft Substantial Amendment #6 was placed on the DCED website	No comments were received via this mode of citizen participation.	N/A	http://dced.pa.gov Scroll to the Library Section of the Home page.
Public Hearing	Held via WebX and conference call on May 14, 2018	2 persons attended via computer/phone	<p>Several community representatives from Bloomsburg wrote to support PL 112-55 funding for the Flood Risk Management Expansion project, including:</p> <p>On May 14, 2018, Senator John Gordner, State Senator wrote to support using funds from PL 112-55 for the Bloomsburg Flood Mitigation Expansion Project. Senator Gordner read from his written statement during the telephone public hearing.</p> <p>On May 14, 2018, Tyler Dombroski, SEDA-COG provided testimony by phone reiterating the comments provided by Senator Gordner and specifically noted that support in the Town for the</p>	Complete Copies of statements, questions and answers are included as Appendix 6 of this document.

Mode of Outreach	Summary of response/ attendance	Attendance or Number of comments received	Summary of Comments	URL (if applicable)
			flood protection project has not waived. He noted that the Town is ready to move with the infrastructure project and adding that the specific allocation of funds will come at a perfect time to keep the project moving forward. The Town will be requesting an extension of the timeline for expenditure.	
Electronic Mailings	All notices of public meetings, public hearings, citizen comment period, and availability of the draft plan on the website are sent out electronically to all of the Con Plan citizen participation contact lists.			DCED mailbox: RA-DCEDcdbg&homequestions@pa.gov Responses are attached in Appendix 6.

APPENDICES

Appendix 1: Unmet Need Methodology

The calculations and assumptions for the unmet needs analysis are based on the methodology used by HUD, outlined in Federal Register 5696 N 03 and modified as reflected in this summary. The estimates use data provided by the Small Business Administration (SBA); Federal Emergency Management Agency (FEMA); the National Flood Insurance Program (NFIP); and the Pennsylvania Department of Community and Economic Development (DCED).

The specific assumptions and calculations are as follows:

Housing

To calculate how many units were damaged, DCED relied on FEMA Individual Assistance (IA) records dated February 6, 2016. In this dataset, FEMA provides damage estimates for all FEMA IA applicants using information collected by FEMA inspectors shortly after the disaster event. This includes damage to the housing unit itself (Real Property Loss or RPL) and damage to contents of the home (Personal Property Loss or PPL). DCED categorizes the damage for each IA applicant that received FEMA funds using the categories outlined below. For example, if FEMA estimated the Real Property Loss for a home at \$4,000, then that home has a damage category of 2, or Minor-Low. Similarly, if FEMA estimated the PPL for a renter to be \$4,000, then that home has a damage category of 4, or Major-High. In total, 95,052 applications were assigned values ranging from 0 (no damage) to 5 (severe damage).

Damage Categories for Owner-Occupied Units Based on FEMA Damage Estimates

Real Property Loss Minimum	Real Property Loss Maximum	Damage Category	Damage Category Classification
\$ -	\$ -	0	None
\$ 1	\$ 2,999	1	Minor - Low
\$ 3,000	\$ 7,999	2	Minor - High
\$ 8,000	\$ 14,999	3	Major - Low
\$ 15,000	\$ 28,800	4	Major - High
\$ 28,801	∞	5	Severe

Damage Categories for Renter-Occupied Units Based on FEMA Damage Estimates

Personal Property Loss Minimum	Personal Property Loss Maximum	Damage Category	Damage Category Classification
\$ -	\$ -	0	None
\$ 1	\$ 999	1	Minor - Low
\$ 1,000	\$ 1,999	2	Minor - High
\$ 2,000	\$ 3,499	3	Major - Low
\$ 3,500	\$ 7,499	4	Major - High
\$ 7,500	∞	5	Severe

DCED adjusts the damage category upwards for homes that flooded more than four feet. Homes that experienced flooding between four and six feet are categorized as having Major-High damage, while homes that experienced flooding in excess of six feet are categorized as having Severe damage, regardless of the FEMA damage estimate.

DCED calculates the damage estimates using SBA loans made to homeowners to cover the cost of damage to their homes. Because the SBA inspects properties and documents the full cost of repair, as opposed to FEMA’s initial estimates, the SBA figures are a more reliable figure for estimating actual damage costs. It should be noted that SBA does not inspect all properties; only a small subset reflecting who actually applied for and received an SBA home loan. Therefore, DCED used the average SBA loan amount by damage category, for those 2,161 FEMA IA registrants that received an SBA loan and a FEMA grant, and assumed the average damage estimate for all homeowners who did not receive an SBA loan. The averages are provided below.

Inflation Rate for Damaged Homes without an SBA loan

Damage Category	FEMA IA Applicants with an SBA loan	Average SBA Loan Amount
Minor-Low	1,093	\$17,773
Minor-High	418	\$23,033
Major-Low	155	\$35,783
Major-High	285	\$65,270
Severe	210	\$98,068

To calculate unmet need, DCED estimates the amount of resources provided by other sources, including FEMA grants, SBA home repair loans, and insurance proceeds. The first two sources – FEMA grants and SBA home repair loans – are provided in the FEMA Individual Assistance dataset. To estimate the amount insurance covers homeowners, DCED assumes a 50% gap for major-high damage and a 70% gap for severe damage. The FEMA grants, SBA home repair loans, and estimated insurance coverage allocated within each Census Tract are subtracted from the damage estimate within each Census Tract, and then aggregated to Place and County level jurisdictions. For renters, the analysis follows HUD methodology, and assumes unmet need is for rental units with major-high to severe damage, and where the renter earns less than \$30,000 per year. For these units, the analysis assumes there is a 75% gap between damage costs and what is covered by insurance.

Businesses

To calculate unmet needs for businesses, DCED relied on SBA Physical Disaster Business loan information dated March 20, 2014. The intention of this program is to fund the repair or replacement of damage not covered by insurance. The calculation follows the general methodology outlined by HUD in Federal Register 5696 N 03, using the estimated sum of real property and real content loss of small businesses that did not receive an SBA disaster loan. SBA did not calculate content and property loss for loans that were denied based on the applicants’ poor credit or inability to repay the loan. The methodology assumes businesses that completed the paperwork for a business loan but were denied have unmet needs. The total estimated damage to small businesses is equal to the average small business award by county, multiplied by SBA business loan applicants that were denied a loan within each county, plus the value of all SBA business loans. For example, in Lycoming County, 35 businesses applied for an SBA loan, and 11 were approved. The average loan amount for those 11 approved applicants is \$138,945. The total damage equals $(1 + (24 / 35)) \times (11 \times \$138,945)$. The unmet need equals this value $(11 \times \$138,945)$. The analysis applied the calculation to each county and summed all counties to calculate state unmet business needs.

Infrastructure

DCED determined infrastructure damage using the FEMA Public Assistance (PA) dataset dated January 3, 2016 and the results of a survey DCED administered between April 6 and April 16, 2014 to assess unmet infrastructure needs for projects or costs that do not qualify for FEMA PA assistance. This survey garnered 163 responses; 37 responses from counties and 119 from boroughs, townships and cities. The estimated infrastructure damage equals the funds FEMA obligated for the PA Program for permanent work (Categories C through G) + FEMA PA match requirements paid for by the Commonwealth + additional cost estimates for projects not covered by the FEMA PA program as described by counties, boroughs and townships + the CDBG-DR amount allocated to infrastructure projects by Luzerne and Dauphin Counties. The unmet need equals the costs of projects described in the survey results that are not covered by the FEMA PA program. The estimated damages used as the baseline to calculate remaining unmet need do not include damages covered by insurance.

Appendix 2: Impacted Communities

Note: Tables represent housing units in Pennsylvania that experienced major to severe damage from the 2011 nationally declared disasters (April 2011 storms, Hurricane Irene, and Tropical Storm Lee).

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Adams	Gettysburg		1	1
	NA	3	1	4
Adams Total		3	2	5

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Berks	Frystown	1		1
	NA	8	5	13
	Reading	1		1
	Robesonia	1	1	2
	Shoemakersville		1	1
Berks Total		11	7	18

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Bradford	Athens	157	74	231
	Canton	2		2
	Greens Landing	2	1	3
	Le Raysville	2		2
	Monroe	4	3	7
	NA	232	37	269
	New Albany	2		2
	Rome	7	1	8
	Sayre	37	4	41
	Towanda	5		5
	Troy		1	1
	Wyalusing	1		1
	Bradford Total		121	572

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Bucks	Bristol		1	1
	Churchville	1		1
	Cornwells Heights		2	2
	Croydon	1		1
	Hulmeville	1		1
	Langhorne Manor	1		1
	Levittown	13	4	17
	NA	85	19	104
	New Hope	2	1	3
	Penndel	1		1
	Quakertown	2	1	3
	Treose	1	1	2
	Warminster Heights	1		1

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
	Woodbourne	1		1
	Yardley	4	8	12
Bucks Total		114	37	151

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Chester	Avondale		4	4
	Exton	3		3
	NA	17		17
	Paoli		1	1
	Parkesburg	1		1
Chester Total		21	5	26

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Columbia	Almedia	20	2	22
	Benton	6	1	7
	Berwick	6	1	7
	Bloomsburg	211	148	359
	Briar Creek	10	1	11
	Catawissa	11	8	19
	Espy	108	33	141
	Fernville	46	14	60
	Iola	1	1	2
	Jamison City	2		2
	Jonestown	6		6
	Lightstreet	1	1	2
	Lime Ridge	4	3	7
	Mifflinville	1	1	2
	NA	81	29	110
	Orangeville	1		1
	Rohrsburg	1		1
	Rupert	5		5
	Slabtown	1		1
	Stillwater	2		2
Columbia Total		524	243	767

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Cumberland	Camp Hill	3		3
	Lemoyne		1	1
	NA	4	1	5
	New Cumberland	12	12	24
	West Fairview	2		2
	Wormleysburg	2		2
Cumberland Total		23	14	37

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Dauphin	Colonial Park	3	1	4
	Harrisburg	67	8	75
	Hershey	19	6	25
	Highspire	8	8	16
	Hummelstown	23	8	31
	Lawnton	5	5	10
	Lenkerville	3		3
	Linglestown	2	1	3
	Lykens	2		2
	Middletown	159	19	178
	Millersburg	2		2
	NA	289	61	350
	Palmdale	15	1	16
	Paxtonia	3		3
	Royalton	25	3	28
	Rutherford	2	3	5
	Skyline View	6		6
	Steelton	3	1	4
	Union Deposit	19	9	28
	Dauphin Total		655	134

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Delaware	Chester	5	9	14
	Clifton Heights	1		1
	Collingdale	1	1	2
	Colwyn	6	1	7
	Darby	3	1	4
	Drexel Hill	3		3
	East Lansdowne	1		1
	Folcroft		2	2
	Folsom	2		2
	Lansdowne	1		1
	Media	1		1
	NA	5	10	15
	Sharon Hill	1		1
	Upland		2	2
	Yeadon	2		2
	Delaware Total		32	26

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Huntingdon	NA	5		5
	Saltillo	1		1
Huntingdon Total		6		6

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Lackawanna	Moosic		1	1
	Taylor		1	1
Lackawanna Total			2	2

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Lancaster	Akron	3		3
	Bainbridge	1		1
	Brickerville	1		1
	Brownstown	1		1
	Clay	1		1
	Denver	2		2
	Elizabethtown	2	3	5
	Ephrata	24	8	32
	Falmouth	1		1
	Lancaster		22	22
	Landisville		1	1
	Leola	6	2	8
	Manheim	45	17	62
	Marietta	27	1	28
	Maytown	1		1
	Mount Joy	4	1	5
	Mountville	1		1
	NA	81	36	117
	Salunga	1		1
	Willow Street	2		2
Lancaster Total		204	91	295

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Lehigh	Ancient Oaks	2		2
	Emmaus		1	1
	Fullerton	1		1
	NA	2		2
Lehigh Total		5	1	6

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Lebanon	Annvile	45	14	59
	Avon	14	1	15
	Cleona	5		5
	Cornwall	3	1	4
	Fort Indiantown Gap		4	4
	Hebron	2		2
	Jonestown	4	3	7

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
	Lebanon	23	13	36
	Lebanon South	7		7
	Myerstown	7	2	9
	NA	166	27	193
	Palmyra	2	1	3
	Pleasant Hill	1		1
	Sand Hill	5	2	7
Lebanon Total		284	68	352

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Lycoming	Garden View	18	7	25
	Hughesville	22	2	24
	Montgomery	1		1
	Montoursville	15	14	29
	Muncy	16	2	18
	NA	231	41	272
	Picture Rocks	3		3
	Williamsport		1	1
Lycoming Total		306	67	373

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Luzerne	Duryea	76	27	103
	Exeter	13	1	14
	Harveys Lake	3	1	4
	Hilldale	2		2
	Hughestown	1	1	2
	Larksville	3	1	4
	Mocanaqua	51	18	69
	Mountain Top	2		2
	NA	169	47	216
	Nanticoke	3		3
	Nescopeck	7	2	9
	Nuangola	1		1
	Pittston	7	1	8
	Plains	17	7	24
	Plymouth	8		8
	Pringle		1	1
	Sheatown	1	1	2
	Shickshinny	50	22	72
	Silkworth	1		1
	Upper Exeter	6		6
	West Nanticoke	47	22	69
	West Pittston	350	87	437
	Wilkes-Barre	17	1	18
	Wyoming	2		2
Luzerne Total		837	240	1,077

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Monroe	Mountainhome	1		1
	NA	9	1	10
Monroe Total		10	1	11

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Montgomery	Ambler	16		16
	Ardmore		1	1
	Collegeville	4	1	5
	Evansburg	1		1
	Flourtown	1		1
	Fort Washington		1	1
	Glenside	5		5
	Hatboro	27	6	33
	Horsham	6	1	7
	NA	106	13	119
	Norristown	2	5	7
	Oreland	3		3
	Penn Wynne	1		1
	Plymouth Meeting	1		1
	Pottsgrove		1	1
	Pottstown		1	1
	Trappe	3	2	5
	Willow Grove	1		1
	Wyncote	2		2
Montgomery Total		179	32	211

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Montour	Danville	8		8
	NA	13	2	15
Montour Total		21	2	23

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Northampton	Bethlehem	2	1	3
	Easton	1		1
	Glendon	2		2
	NA	8	1	9
Northampton Total		13	2	15

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Northumberland	Dewart	3		3
	Herndon	5		5
	Kapp Heights	1		1
	Milton	4	1	5
	Mount Carmel	2		2
	NA	76	6	82
	Northumberland	2		2
	Ranshaw	2		2
	Riverside	1		1
	Shamokin	16	1	17
	Sunbury	2		2
	Tharptown (Uniontown)	5	1	6
	Trevorton	5		5
Northumberland Total		124	9	133

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Perry	Duncannon	8	3	11
	Marysville	7	1	8
	NA	11	1	12
	New Buffalo	1		1
Perry Total		27	5	32

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Philadelphia	Philadelphia	117	176	293
Philadelphia Total		117	176	293

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Schuylkill	Klingerstown	20		20
	Minersville	1		1
	NA	27	1	28
	Pine Grove	64	13	77
	Ravine	2		2
	Shenandoah	1		1
	Tremont	2	1	3
	Valley View	1		1
Schuylkill Total		118	15	133

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Snyder	Freeburg	1		1
	Hummels Wharf	4		4
	NA	8	5	13
	Port Trevorton	1		1
	Selinsgrove	37	11	48
	Shamokin Dam	5		5
Snyder Total		56	16	72

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Sullivan	Dushore	2		2
	Forksville	2		2
	NA	50	8	58
Sullivan Total		54	8	62

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Susquehanna	Great Bend	1	1	2
	Lanesboro	5		5
	Little Meadows	6	1	7
	NA	43	27	70
	New Milford	3		3
	Susquehanna Depot	5		5
Susquehanna Total		63	29	92

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Union	Lewisburg	2	3	5
	Linntown	3		3
	NA	8	2	10
	New Columbia	1		1
	West Milton	1		1
	Winfield	4		4
Union Total		19	5	24

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
Wyoming	Laceyville	4	5	9
	Meshoppen	4	2	6
	NA	170	29	199
	Noxen	19	1	20
	Tunkhannock	5	13	18
Wyoming Total		202	50	252

County	Census-Designated Place	Owner - Major and Severe Damage	Renter - Major and Severe Damage	All Major and Severe Damage
York	Dover	2		2
	Glen Rock		2	2
	Goldsboro	1		1
	Hallam	2	10	12
	Manchester	1		1
	Mount Wolf		1	1
	NA	71	8	79
	New Freedom	2		2
	New Market	4	2	6
	Pennville	1		1
	Shiloh	3		3
	Stonybrook	1		1
	Valley Green	4	1	5
	Weigelstown	8	10	18
	West York	2		2
	York	4	2	6
York Total		106	36	142

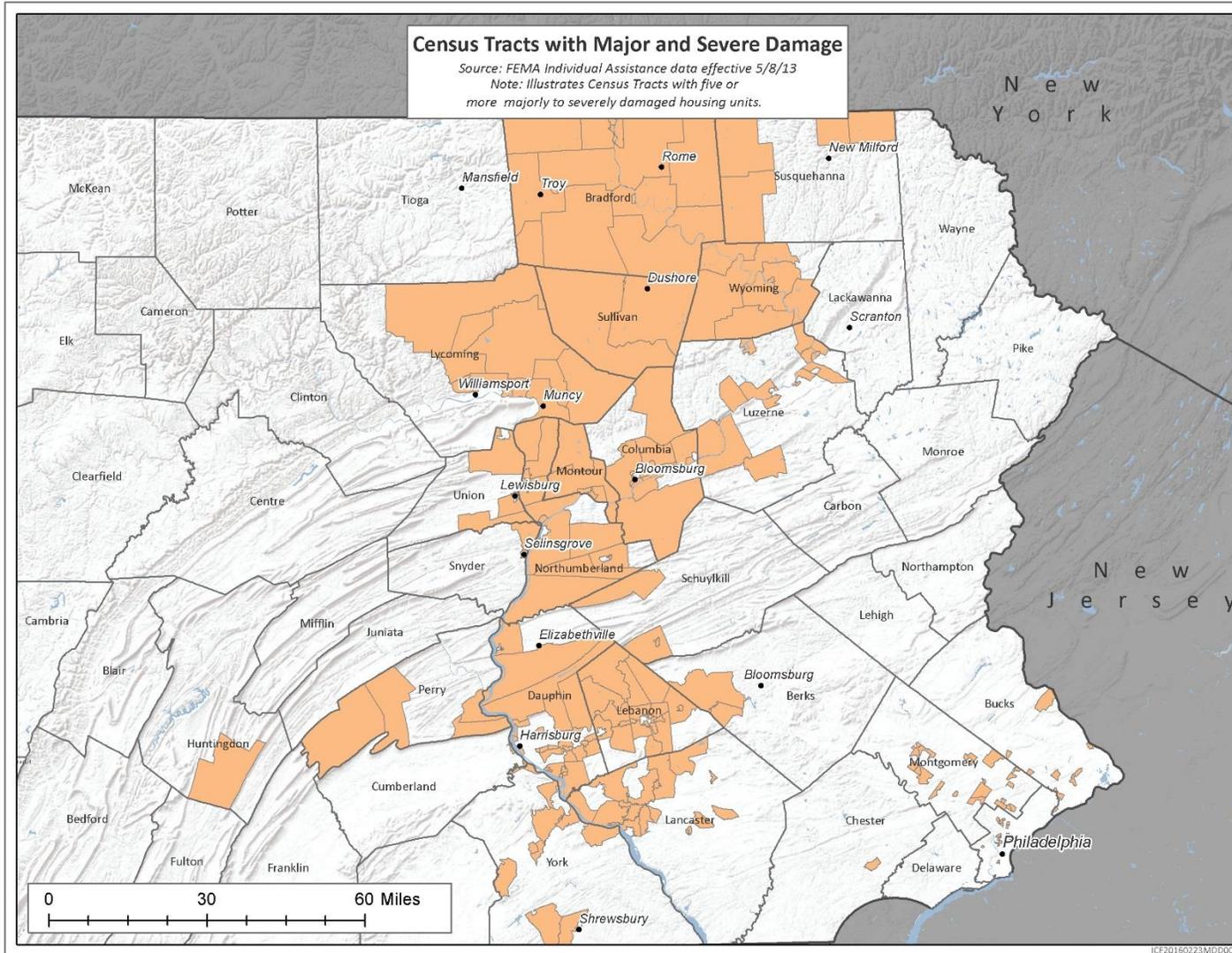
Appendix 3: Vulnerable Populations

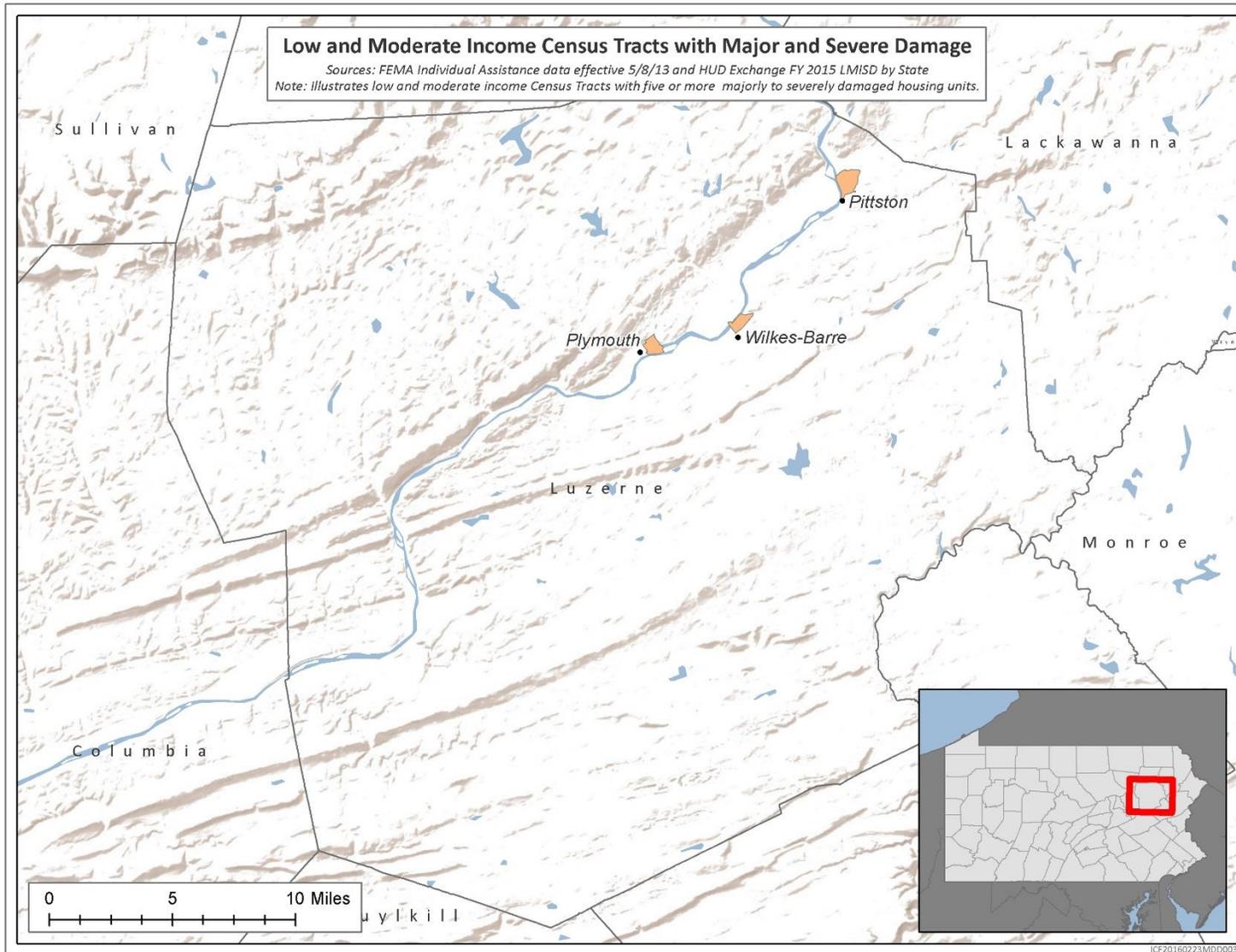
Census Tract Name	Owner-occupied homes with Major and Severe Damage	Rentals with Major and Severe Damage	All Homes, Major and Severe Damage	% Low and Moderate Income	% Persons in Poverty	% Persons with a Disability	% Persons 65+ Years Old
Census Tract 2110, Luzerne County	397	132	691	31.5%	4.9%	18.4%	25.3%
Census Tract 9504, Bradford County	173	140	376	46.2%	18.7%	14.6%	17.8%
Census Tract 508, Columbia County	155	40	259	36.3%	14.0%	13.0%	18.6%
Census Tract 512, Columbia County	102	95	257	67.0%	40.7%	7.9%	9.0%
Census Tract 510, Columbia County	148	76	253	38.4%	22.4%	9.3%	13.8%
Census Tract 239, Dauphin County	136	9	209	38.0%	10.7%	12.0%	19.1%
Census Tract 236.02, Dauphin County	101	19	198	26.1%	4.5%	9.0%	16.6%
Census Tract 105, Lycoming County	116	19	198	25.0%	6.5%	11.2%	14.9%
Census Tract 2105, Luzerne County	107	37	172	34.1%	12.2%	15.6%	17.7%
Census Tract 503, Columbia County	99	35	160	25.1%	10.2%	10.6%	14.9%
Census Tract 2117.01, Luzerne County	57	21	146	29.6%	6.7%	18.6%	26.2%
Census Tract 2139, Luzerne County	60	41	126	28.2%	10.4%	19.2%	19.9%
Census Tract 4001, Wyoming County	30	10	124	46.7%	17.0%	15.7%	12.5%
Census Tract 2162, Luzerne County	82	32	120	42.9%	10.7%	15.5%	17.3%
Census Tract 38, Schuylkill County	112	31	119	27.5%	7.4%	11.3%	17.9%
Census Tract 4006, Wyoming County	63	23	114	32.9%	10.9%	17.2%	20.1%
Census Tract 228, Dauphin County	58	24	110	28.9%	7.8%	9.5%	11.1%
Census Tract 2160, Luzerne County	59	42	107	45.4%	12.7%	25.2%	24.2%

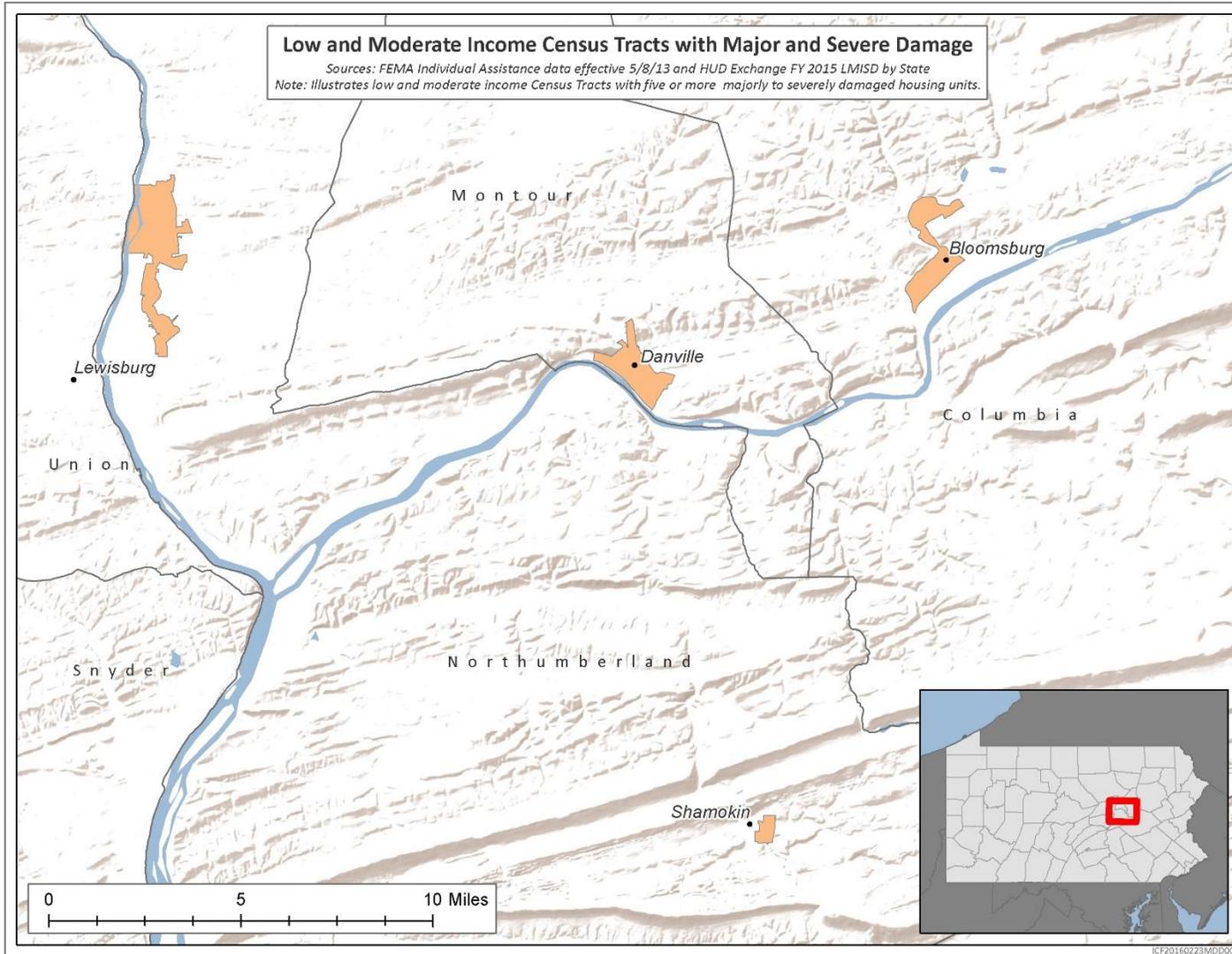
Census Tract Name	Owner-occupied homes with Major and Severe Damage	Rentals with Major and Severe Damage	All Homes, Major and Severe Damage	% Low and Moderate Income	% Persons in Poverty	% Persons with a Disability	% Persons 65+ Years Old
Census Tract 245.03, Dauphin County	52	18	103	18.8%	5.3%	13.2%	12.2%
Census Tract 2119, Luzerne County	42	16	98	27.5%	7.7%	18.4%	22.4%
Census Tract 25, Lebanon County	87	17	97	25.6%	11.2%	7.1%	12.7%
Census Tract 4005, Wyoming County	54	3	97	31.1%	12.4%	15.0%	20.3%
Census Tract 104, Lancaster County	92	31	90	37.5%	9.6%	8.6%	15.2%
Census Tract 9512, Bradford County	59	6	89	38.0%	16.4%	12.9%	18.3%
Census Tract 9602, Sullivan County	71	12	87	44.6%	9.1%	20.7%	27.8%
Census Tract 104, Lycoming County	70	15	84	29.6%	6.5%	11.6%	15.8%
Census Tract 2111.02, Luzerne County	51	15	81	21.7%	2.1%	11.9%	12.7%
Census Tract 108, Lycoming County	93	9	78	38.8%	8.2%	13.6%	19.2%
Census Tract 9503, Bradford County	54	7	75	41.5%	11.1%	14.8%	15.9%
Census Tract 240.01, Dauphin County	69	5	74	40.6%	10.4%	15.1%	14.3%
Census Tract 4002, Wyoming County	42	21	70	36.9%	13.4%	18.1%	13.8%
Census Tract 9505, Bradford County	44	15	64	39.0%	12.5%	12.5%	18.9%
Census Tract 9511, Bradford County	56	13	62	34.3%	9.2%	12.7%	19.1%
Census Tract 9509, Bradford County	51	8	62	37.1%	11.1%	18.4%	20.3%
Census Tract 22, Lebanon County	30	4	59	33.9%	9.5%	8.9%	10.3%
Census Tract 9502, Bradford County	39	6	59	38.4%	11.3%	13.2%	16.2%

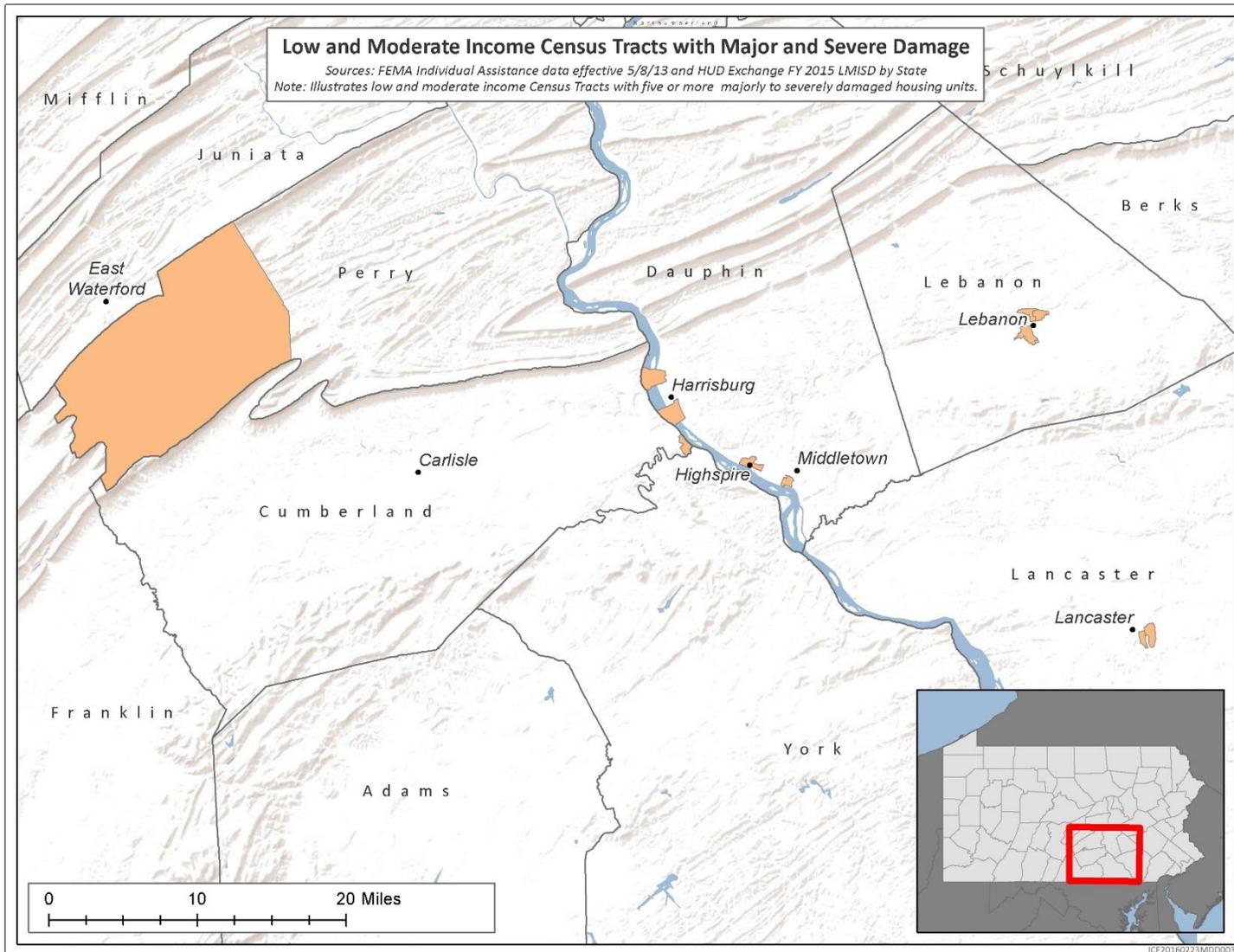
Census Tract Name	Owner-occupied homes with Major and Severe Damage	Rentals with Major and Severe Damage	All Homes, Major and Severe Damage	% Low and Moderate Income	% Persons in Poverty	% Persons with a Disability	% Persons 65+ Years Old
Census Tract 201, Dauphin County	82	9	59	63.7%	27.0%	19.8%	14.1%
Census Tract 707.01, Snyder County	51	13	58	44.5%	16.4%	12.6%	21.1%
Census Tract 24, Lebanon County	24	5	56	35.1%	2.8%	6.7%	20.0%
Census Tract 501, Columbia County	112	12	53	40.0%	9.9%	12.2%	21.2%

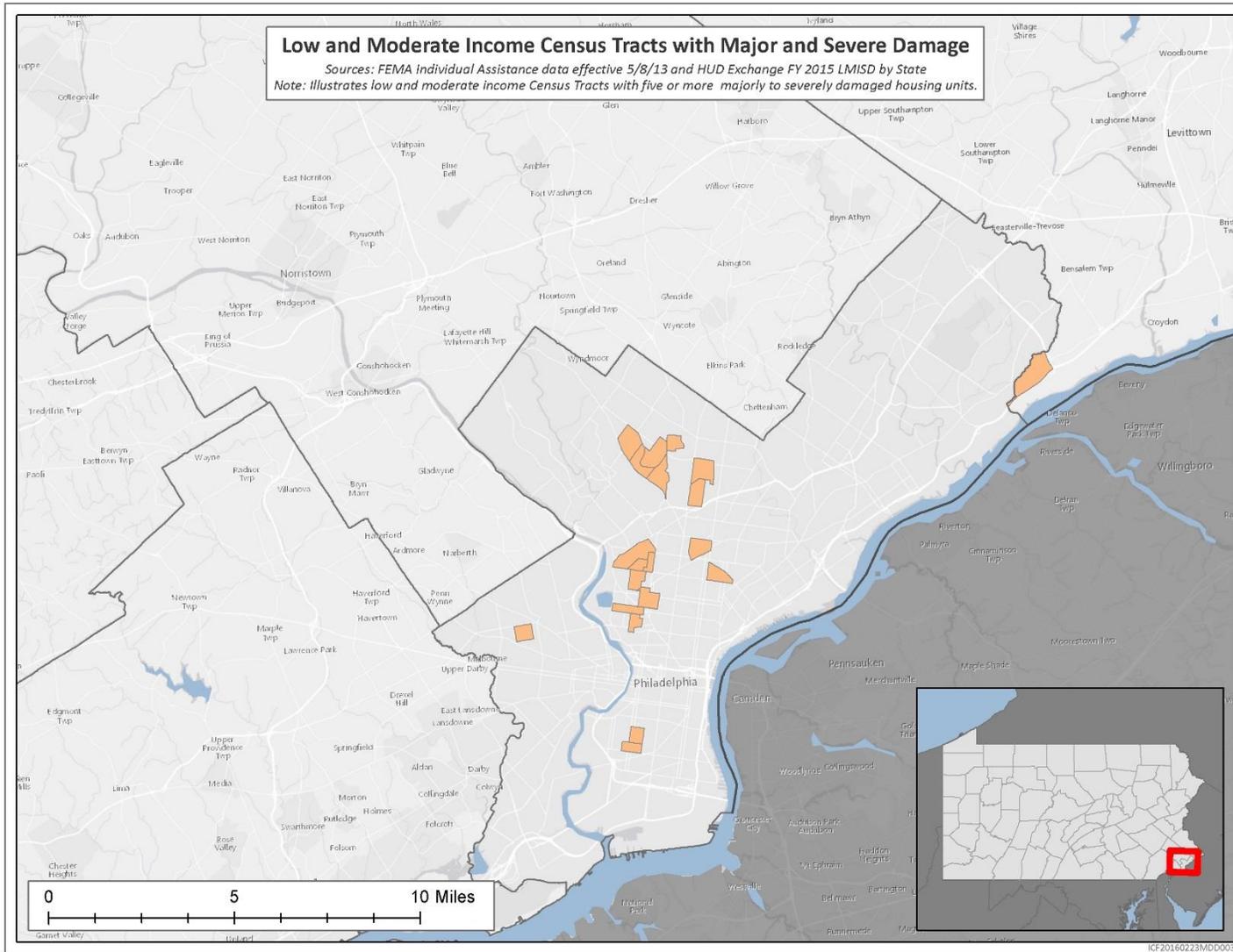
Appendix 4: Map Series

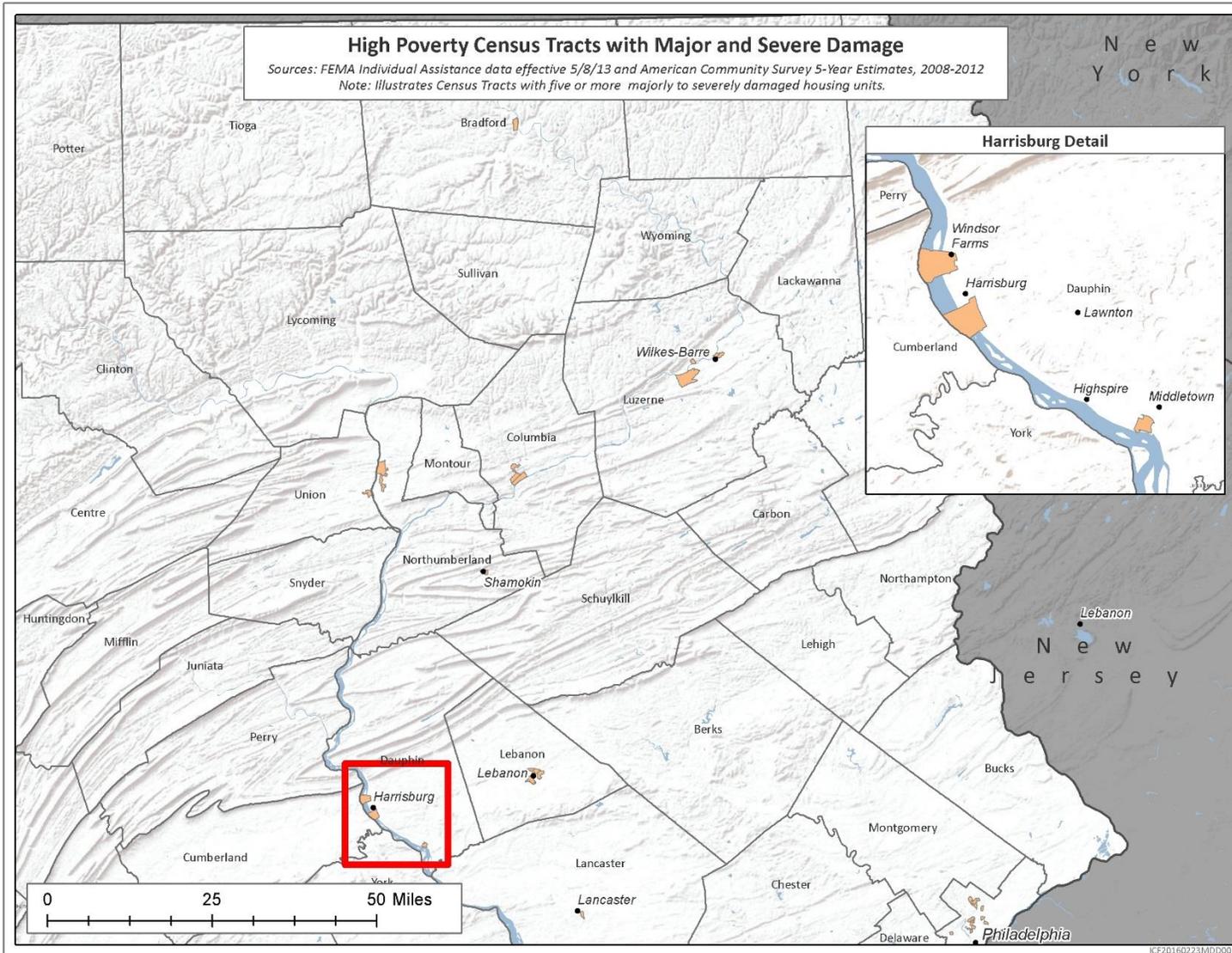


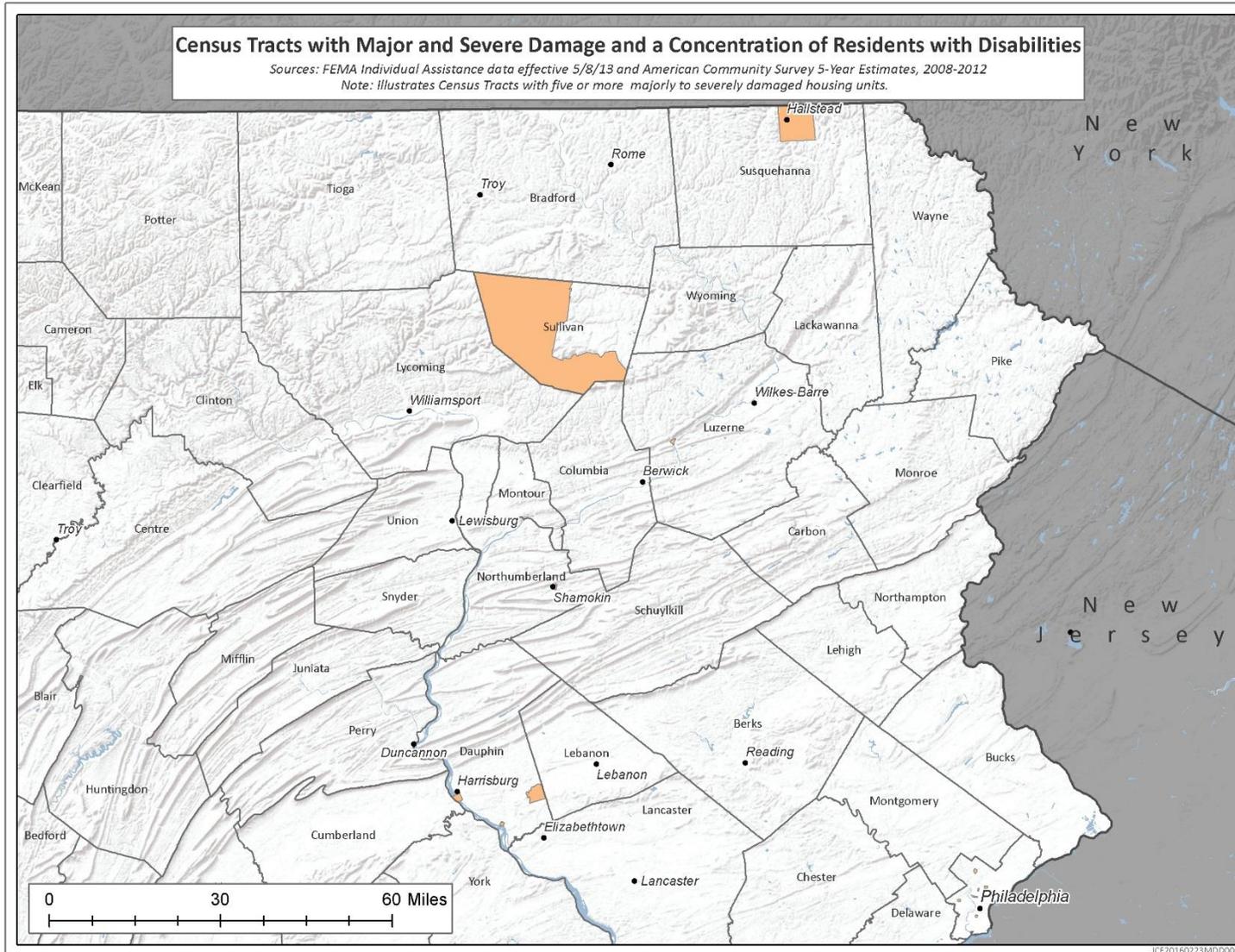


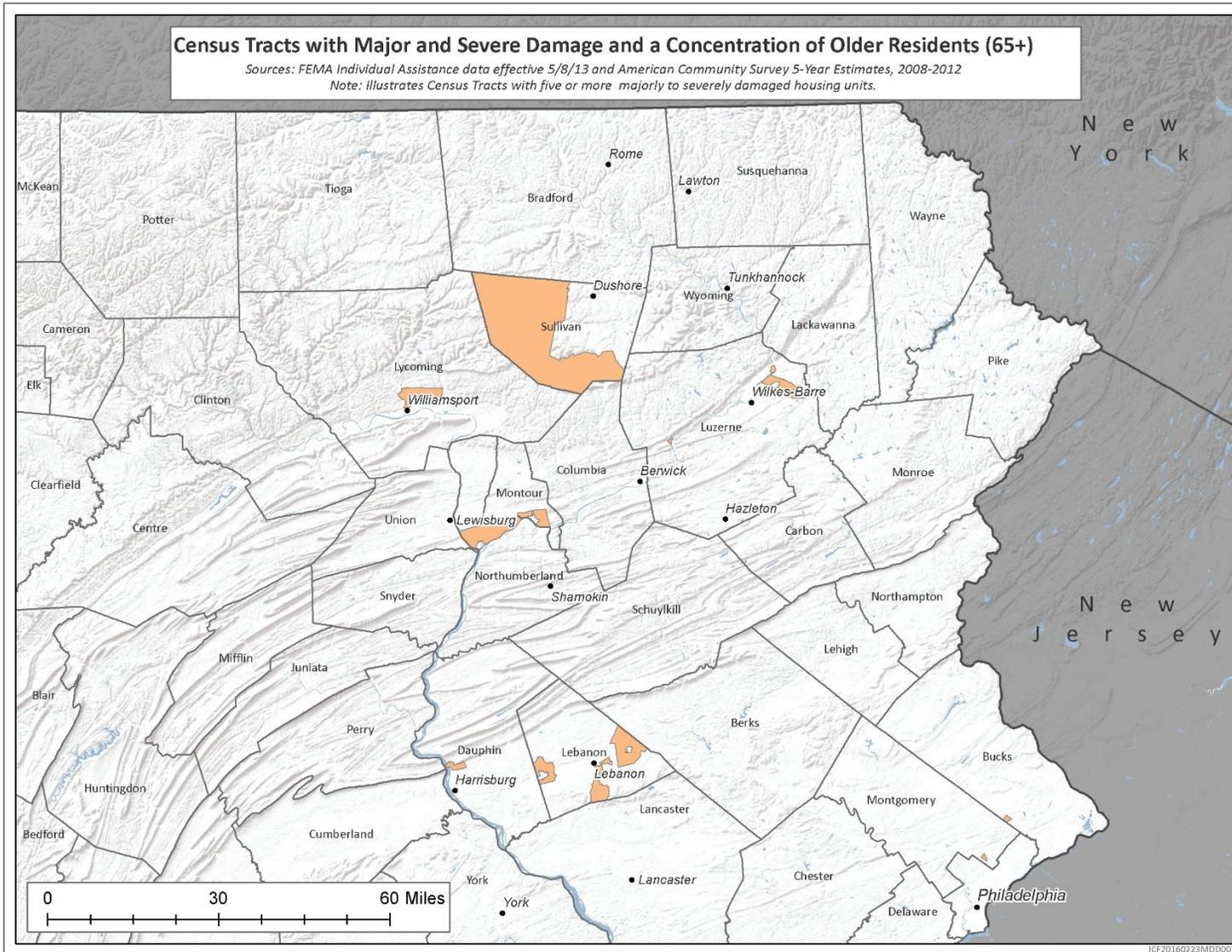












Appendix 5: Image References

Image 1: (2011, September 9). *Flooding at the Bloomsburg Fairgrounds bordering the Susquehanna* [digital image]. Retrieved June 21, 2016 from <http://www.PA Matters.com>.

Image 2: Photo courtesy of WNEP (2012, May 12). *Home in Bloomsburg damaged from Tropical Storm Lee*. [digital image]. Retrieved January 14, 2016 from <http://bloomtoday.com/help-for-flood-victims-in-bloomsburg-p1807-1.htm>.

Image 3: May, Jimmy (Photographer). (2011, September 29). *The ramps from Route 42 going on and off Route 11 in Bloomsburg, PA* [digital image]. Retrieved January 14, 2016 from http://www.cleveland.com/nation/index.ssf/2011/09/flood_waters_in_new_york_penns.html.

Image 4: Rourke, Matt (Photographer). (2011, September 9). *Floodwaters of the Susquehanna River submerge a fence in West Pittston, PA*. [digital image]. Retrieved January 14, 2016 from <http://en.occa.mard.gov.vn/crawl-content/floods-swamp-east-coast-standardnet/2011/9/10/61958.news>.

Image 5: Photo courtesy of PA State Police. (2011, September 9). *The washed out PA 973 bridge over Loyalsock Creek near Loyalsockville*. Retrieved January 14, 2016 from <http://www.weather.gov/ctp/TSLeeFlooding>.

Image 6: Simmers, Sean (Photographer). (2011, September 9). *The waters receded in Hershey Park after the remains of Tropical Storm Lee caused flooding in the area*. [digital image]. Retrieved January 14, 2016 from http://blog.pennlive.com/patriotnewssports/2011/09/flooding_strikes_penn_hotel_sp.html.

Image 7: Baker, Christine (Photographer courtesy of AP). (2011, September 8). *Mobile homes on Lake Drive in Lower Swatara Twp., Pa., are flooded on Sept. 8*. [digital image]. Retrieved January 14, 2016 from <http://www.csmonitor.com/Photo-Galleries/In-Pictures/Tropical-storm-Lee-flooding>.

Appendix 6: Citizen Participation Documents, Comments, Questions and Responses

From April 15, 2018 through May 14, 2018, The Commonwealth of Pennsylvania through the Department of Community and Economic Development (DCED) conducted a period of citizen participation for the Substantial Amendment (6) for the PL – 112-55 Action Plan. In this section the reader will find:

- PA Bulletin Notice of Availability of the Draft and Public Hearing
- CD & H Alert April 13, 2018 Availability of the Draft for Comment and Public Hearing
- Registration list of Attendees to Public Hearing on the Phone
- Opening of Public Hearing by DCED
- Citizen Comments



NOTICES

DEPARTMENT OF COMMUNITY AND ECONOMIC DEVELOPMENT

Substantial Amendments for the Community Development Block Grant— Disaster Recovery; 2012 and 2013 Allocations; Public Hearing

[48 Pa.B. 2142]

[Saturday, April 14, 2018]

A public comment period to review the proposed substantial amendments to the Commonwealth for Fiscal Year (FY) 2012 Community Development Block Grant—Disaster Recovery (CDBG-DR) (PL 112-55) funds and FY 2013 CDBG-DR (PL 113-2) funds. The public comment period is from April 15, 2018, through May 14, 2018.

PL 112-55

The United States Department of Housing and Urban Development (HUD) issued a notice at 77 FR 22583 (April 16, 2012), which awarded \$27,142,501 of CDBG-DR funds to the Commonwealth to be administered by the Department of Community and Economic Development (Department). This was the first allocation of CDBG-DR funding to assist the Commonwealth with recovery from 2011 storm events (April 2011 Storms—FEMA-4003-DR; Hurricane Irene—FEMA-4025-DR; and Tropical Storm Lee—FEMA-4030-DR). An Action Plan for these funds was submitted to HUD on July 23, 2012, and subsequently approved. Since then the Department has published and received approval for five Substantial Action Plan Amendments to this first allocation of CDBG-DR funding under PL 112-55. Priorities for funding were identified in the last Substantial Amendment which was approved by HUD on March 2, 2018.

The Commonwealth is hereby notifying the public of the award of specific projects in the affected municipalities identified and prioritized and soliciting comment on the announcements. The following awards detail awards under PL 112-55 appropriation to reflect this new analysis as well as the strategic priorities of the Commonwealth.

The changes proposed by the Sixth Substantial Amendment (HUD DRGR # 14), to be dated May 18, 2018, are as follows:

Infrastructure

- City of Wilkes-Barre—Solomon Creek Infrastructure Restoration Project—\$3,818,890 to restore the damaged flood wall in the low-moderate income area (Brook Street from Waller Street to Barney and Vulcan Street).
- Town of Bloomsburg—Flood Hazard Mitigation Project—\$1,208,079 Flood Wall Construction and Related Storm and Sanitary Sewer Restoration Project in the Town of Bloomsburg providing benefit to entire low-moderate income town. The flood wall will span the southern sector of town

from Railroad Street to Catherine Street, and sanitary and storm sewer improvements will benefit the entire town.

PL 113-2

The Commonwealth received \$29.986 million from HUD under The Disaster Relief Appropriations Act, 2013 (Pub.L. No. 113-2), approved January 29, 2013. This was the second allocation of CDBG-DR funding to assist the Commonwealth with recovery from 2011 storm events (April 2011 Storms—FEMA-4003-DR; Hurricane Irene—FEMA-4025-DR; and Tropical Storm Lee—FEMA-4030-DR). The Action Plan guiding the distribution of the \$29.986 million appropriation was published on August 30, 2013. Since then the Department has published and received approval for three Substantial Action Plan Amendments to this first allocation of CDBG-DR funding under PL 113-2. Priorities for funding of specific project types were identified in the last Substantial Amendment which was approved by HUD on March 2, 2018.

The Commonwealth is hereby notifying the public of the award of specific projects in the affected municipalities identified and prioritized and soliciting comment on the announcements. The following awards detail awards under the PL 113-2 appropriation to reflect this new analysis as well as the strategic priorities of the Commonwealth.

The changes proposed by the Fourth Substantial Amendment (HUD DRGR # 10), to be dated May 18, 2018, are as follows:

Infrastructure

- Town of Bloomsburg, Columbia County—Flood Hazard Mitigation project—\$9.550 million Flood Wall Construction and Related Storm and Sanitary Sewer Restoration Project in the Town of Bloomsburg providing benefit to entire low-moderate income town. The flood wall will span the southern sector of town from Railroad Street to Catherine Street, and sanitary and storm sewer improvements will benefit the entire town.
- Luzerne County Redevelopment Authority—\$1 million demolition of a public facility—Coxton Bridge spanning the Susquehanna River between Exeter and Duyrea, Luzerne County. Urgent need.
- Schuylkill County—Pine Grove—\$3 million Wetlands Restoration Project, urgent need benefit located to create 10.2 acres of wetland habitat at the Swatara Creek beginning at East Pottsville Street.

These substantial amendments to the PL 112-55 and PL 113-2 Action Plans and supporting documentation will be available for a 30-day public comment period and can be viewed on the Department's web site at <http://dced.pa.gov>. Copies of the Substantial Amendments may be found in the Library section on the home page or by clicking "Disaster Recovery Assistance Program." The text is available to visual impaired persons on the Department's web site at the previously listed link. A translation into Spanish will also be available on the Department's web site at the same link. Public comment will close on Monday, May 14, 2018, and the final version of the Substantial Amendment will be submitted to HUD no later than May 18, 2018.

Public Hearing

The public hearing for the Substantial Amendments will be conducted by the telephone/webinar on Monday, May 14, 2018, at 1 p.m. This more widely available computer/conference call access will replace the onsite public hearing. The format will be more accessible than an in-person meeting because those who wish to make comment or discuss policy may participate directly from their electronic device or from a computer located at their public library.

Any individual or organization may give testimony or comments by the web/telephone about the proposed changes to the Action Plans for the CDBG-DR funding. The Commonwealth encourages public participation in this process.

Anyone who wants to participate must register in advance. Contact Megan L. Snyder at (717) 720-7404 to receive registration instructions for the web hearing at least 24 hours prior to the hearing date. During the hearing, if support is required, call (717) 787-5327. The hearing will be shortened if there is no one to testify or there is minimal response.

Persons with a disability or limited English proficiency who wish to participate in the public hearing should contact Megan L. Snyder, Department of Community and Economic Development, Commonwealth Keystone Building, 400 North Street, 4th Floor, Harrisburg, PA 17120-0225, (717) 720-7404 or TDD at (717) 346-0308 to discuss how the Department can accommodate their needs.

Written Comments

Persons who would like to provide written comment on the substantial amendments to the CDBG-DR Action Plan may send those comments electronically to RA-DCEDcdbghomequestions@pa.gov or mail to the attention of Megan L. Snyder, Department of Community and Economic Development, Center for Compliance, Monitoring and Training, 400 North Street, 4th Floor, Harrisburg, PA 17120. Comments must be received before 4 p.m. on Monday, May 14, 2018. Indicate which year of funding (PL 112-55 or PL 113-2) the comments are addressing.

DENNIS M. DAVIN,
Secretary

[Pa.B. Doc. No. 18-569. Filed for public inspection April 13, 2018, 9:00 a.m.]

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webmaster@PaBulletin.com

Possinger, Kathy

From: DC, CDHALERTUPDATE
Sent: Monday, April 16, 2018 8:30 AM
Subject: CD&H Alerts and Updates - Notice of Substantial Amendments to the CDBG-DR 2012 (P.L. 112-55) and 2013 (P.L. 113-2) Action Plans (CDBG A-H)

Importance: High



**Commonwealth of Pennsylvania
Department of Community and Economic Development
Notice of Substantial Amendments for the
Community Development Block Grant – Disaster Recovery
2012 and 2013 Allocations
And Public Hearing**

Please be advised notice was provided in the April 14, 2018 *Pennsylvania Bulletin* for a public comment period to review the proposed substantial amendments to the Commonwealth of Pennsylvania for FY 2012 Community Development Block Grant – Disaster Recovery (PL-112-55) funds and FY 2013 Community Development Block Grant – Disaster Recovery (PL-113-2) funds. The public comment period is from April 15, 2018 through May 14, 2018.

PL 112-55

The U.S. Department of Housing and Urban Development (HUD) issued a notice in the Federal Register, on April 16, 2012, which awarded \$27,142,501 of CDBG-DR funds to the Commonwealth to be administered by DCED. This was the first allocation of CDBG-DR funding to assist the State with recovery from 2011 storm events (April 2011 Storms – FEMA-4003-DR; Hurricane Irene – FEMA-4025-DR; and Tropical Storm Lee – FEMA-4030-DR). An Action Plan for these funds was submitted to HUD on July 23, 2012 and subsequently approved. Since then DCED has published and received approval for five Substantial Action Plan Amendments to this first allocation of CDBG-DR funding under Public Law 112-55. Priorities for funding were identified in the last Substantial Amendment which was approved by HUD on March 2, 2018.

The Commonwealth of Pennsylvania is hereby notifying the public of the award of specific projects in the affected municipalities identified and prioritized and soliciting comment on such announcements. The awards below detail awards under PL 112-55 appropriation to reflect this new analysis as well as the strategic priorities of the Commonwealth.

The changes proposed by the Sixth Substantial Amendment (HUD DRGR #15), to be dated May 18, 2018 is as follows:

INFRASTRUCTURE

- City of Wilkes Barre – Solomon Creek Infrastructure Restoration Project - \$3,818,890 to restore the damaged flood wall in the low-moderate income area (Brook Street from Waller Street to Barney and Vulcan Street)
- Town of Bloomsburg – Flood Hazard Mitigation project - \$1,208,079 Flood Wall Construction and Related Storm and Sanitary Sewer Restoration Project in Town of Bloomsburg providing benefit to entire low-moderate income town. The flood wall will span the southern sector of town from Railroad Street to Catherine Street and sanitary and storm sewer improvements will benefit the entire town.

PL 113-2

The Commonwealth of Pennsylvania received \$29,986,000 from the Department of Housing and Urban Development (HUD) under The Disaster Relief Appropriations Act, 2013 (Public Law 113-2, approved January 29, 2013). This was the second allocation of CDBG-DR funding to assist the State with recovery from 2011 storm events (April 2011 Storms – FEMA-4003-DR; Hurricane Irene – FEMA-4025-DR; and Tropical Storm Lee – FEMA-4030-DR). The Action Plan guiding the distribution of the \$29,986,000 appropriation was published on August 30, 2013. Since then DCED has published and received approval for three Substantial Action Plan Amendments to this first allocation of CDBG-DR funding under Public Law 113-2. Priorities for funding of specific project types were identified in the last Substantial Amendment which was approved by HUD on March 2, 2018.

The Commonwealth of Pennsylvania is hereby notifying the public of the award of specific projects in the affected municipalities identified and prioritized and soliciting comment on such announcements. The awards below detail awards under PL 113-2 appropriation to reflect this new analysis as well as the strategic priorities of the Commonwealth.

The changes proposed by the FOURTH Substantial Amendment (HUD DRGR #11), to be dated May 18, 2018 is as follows:

INFRASTRUCTURE

- Town of Bloomsburg, Columbian County PA – Flood Hazard Mitigation project - \$9,550,000 Flood Wall Construction and Related Storm and Sanitary Sewer Restoration Project in Town of Bloomsburg providing benefit to entire low-moderate income town. The flood wall will span the southern sector of town from Railroad Street to Catherine Street and sanitary and storm sewer improvements will benefit the entire town.
- Luzerne County Redevelopment Authority –\$1,000,000 Demolition of a Public Facility – Coxton Bridge spanning the Susquehanna River between Exeter and Duyrea, Luzerne County, PA. Urgent Need.
- Schuylkill County – Pine Grove - \$3,000,000 Wetlands Restoration Project, urgent need benefit located to create 10.2 acres of wetland habitat at the Swatara Creek beginning at East Pottsville Street.

These substantial amendments to the PL-112-55 and PL-113-2 Action Plans and supporting documentation will be available for a 30-day public comment period and can be viewed on DCED's website: <http://dced.pa.gov> Copies of the Substantial Amendments may be found in Library section on the Home page or by clicking on the Disaster Recovery Assistance Program. The text is available to visual-impaired persons via DCED's website at the link listed above. A translation into Spanish will also be available on the Department's website at the same link. Public comment will close on Monday, May 14 and the final version of the Substantial Amendment will be submitted to HUD no later than May 18, 2018.

Public Hearing

The public hearing for the Substantial Amendments will be conducted via the telephone/webinar on Monday, May 14, 2018 at 1:00pm. This more widely available computer/conference call access will replace the on-site public hearing. The format will be more accessible than an in-person meeting because those who wish to make comment or discuss policy may participate directly from their electronic device or from a computer located at their public library.

Any individual or organization may give testimony or comments via the web/telephone about the proposed changes to the Action Plans for the CDBG-DR funding. The Commonwealth encourages public participation in this process.

Anyone who wants to participate must register in advance. Contact Megan L. Snyder at 717-720-7404 to receive registration instructions for the web hearing at least 24 hours prior to the hearing date. During the hearing, if support is required, please call 717-787-5327. The hearing will be shortened if there is no one to testify or there is minimal response.

Persons with a disability or Limited English Proficiency who wish to participate in the public hearing should contact Megan L. Snyder, DCED, Commonwealth Keystone Building, 400 North Street – 4th Floor, Harrisburg, PA 17120-0225 or at (717) 720-7404 or TDD at (717) 346-0308 to discuss how the Department of Community and Economic Development can accommodate his/her needs.

Written Comments

Persons who would like to provide written comment on either/or both substantial amendments to the CDBG-DR Action Plan may send those comments electronically to RA-DCEDcdbghomequestions@pa.gov or mail to the attention of Megan L. Snyder, Department of Community and Economic Development, Center for Compliance, Monitoring and Training, 400 North Street, 4th Floor, Harrisburg, PA 17120. Comments must be received before 4:00 p.m. on Monday, May 14, 2018. Please indicate which year of funding (PL-112-55 or PL-113-2) your comments are addressing.

Centers for Community Development Operations and Compliance, Monitoring and Training

PA Department of Community & Economic Development
Commonwealth Keystone Building
400 North Street, 4th Floor | Harrisburg, PA 17120-0225
Phone: 717-720-7315 | kapossinge@pa.gov
dced.pa.gov | www.visitPA.com



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Registered Attendees: CDBG-DR Public Hearing
Session On Monday, May 14, 2018 1:00 pm

First Name	Last Name	Email	Phone Number	Will you be attending in person
John	Gordner	pdunn@pasen.gov	1-7177207350	Phone/Computer
Tyler	Dombroski	tdombroski@seda-cog.org	1-5705244491	Phone/Computer

DCED Staff

Kathy	Possinger	kapossinge@pa.gov		In person
Donna	Enrico	denrico@pa.gov		In person
Jonathan	Cherry	jcherry@pa.gov		In person
MJ	Smith	majsmith@pa.gov		In person
Christine	Howe	chrhowe@pa.gov		In person
Barry	Wickes	bwickes@pa.gov		In person
Theresa	Brennan	thebrennan@pa.gov		In person
Robert	Miller	roimiller@pa.gov		In person

Session Concluded Monday, May 14, 2018 1:25pm

Intro. Public Hearing

May 14, 2018 1:00pm

2012 & 2013 Substantial Amendments to CDBG-DR Action Plans

Good Afternoon. It is 1:00 pm and I will call the May 14, 2018 public hearing for the 2012 and 2013 Substantial Amendments to the Community Development Block Grant – Disaster Recovery (CDBG-DR) Action Plans to order.

Before we get started, I am going to call upon MJ Smith, to call roll for those attending by phone. Those participating in person, we will ask that you be certain to sign the sign in sheet that was located at the entrance.

I am Kathy Possinger, Director of the Centers for Development Operations and Compliance Monitoring and Training of the Pennsylvania Department of Community and Economic Development. We are here to accept comment and testimony on the proposed amendments to the two action plans which provide the framework for the Commonwealth's use of the CDBG-Disaster Recovery funding.

The Centers are responsible for the consolidated planning process which allows the Commonwealth to receive funding under the US Department of Housing and Urban Development's Community Development Block Grant, HOME Investment Partnerships, Emergency Solutions Grant, and the Housing Opportunities for People with AIDS Programs and National Housing Trust Fund. Additionally, from time to time, the Commonwealth is the recipient of special purpose funding to meet specific identified needs. Most recently these have included the Neighborhood Stabilization Program, and CDBG-Disaster Recovery grants, which will be our focus today. We also prepare the substantial amendments to these applications as the change warrants and in accordance with the Commonwealth of Pennsylvania Citizen Participation Plan and Consultation for the Consolidated Plan, Amendments, and Performance Report.

The purpose of this public hearing is to provide individuals and organizations the opportunity to comment on the draft Substantial Amendments and to provide testimony about issues related to the activities proposed in the amendments.

Notice of today's public hearing appeared in the April 14, 2018 edition of the *Pennsylvania Bulletin*.

The notice of the availability of the substantial amendments have been distributed throughout the Commonwealth for public review and comment during the period of April 15, 2018 through May 14, 2018.

All comments will be considered and the Substantial Amendments to the 2012 and 2013 CDBG-DR Action Plans will be finalized and submitted to HUD by May 18, 2018.

The Commonwealth's last approved amendments to the CDBG-Disaster Recovery Action Plans identifying priorities for funds was approved on March 2, 2018 by HUD. The Commonwealth now seeks to provide the public with the opportunity to comment on the specific projects and activities identified for funding under the previously amended priority areas of Infrastructure and housing. These allocations under the PL 112-55 and PL 113-2 appropriations to reflect the demand for disaster recovery funding and the strategic priorities of the Commonwealth based on HUD requirements for amendments to the approved action plans.

PL 112-55

The U.S. Department of Housing and Urban Development (HUD) issued a notice in the Federal Register, on April 16, 2012, which awarded \$27,142,501 of CDBG-DR funds to the Commonwealth to be administered by DCED. This was the first allocation of CDBG-DR funding to assist the State with recovery from 2011 storm events (April 2011 Storms – FEMA-4003-DR; Hurricane Irene – FEMA-4025-DR; and Tropical Storm Lee – FEMA-4030-DR). An Action Plan for these funds was submitted to HUD on July 23, 2012 and subsequently approved. Since then DCED has published and received approval for five Substantial Action Plan Amendments to this first allocation of CDBG-DR funding under Public Law 112-55. Priorities for funding were identified in the last Substantial Amendment which was approved by HUD on March 2, 2018.

The Commonwealth of Pennsylvania is hereby notifying the public of the award of specific projects in the affected municipalities identified and prioritized and soliciting comment on such announcements.

The awards below detail awards under PL 112-55 appropriation to reflect this new analysis as well as the strategic priorities of the Commonwealth.

The changes proposed by the Sixth Substantial Amendment (HUD DRGR #14), to be dated May 18, 2018 is as follows:

INFRASTRUCTURE

- City of Wilkes Barre – Solomon Creek Infrastructure Restoration Project - \$3,818,890 to restore the damaged flood wall in the low-moderate income area (Brook Street from Waller Street to Barney and Vulcan Street)
- Town of Bloomsburg – Flood Hazard Mitigation project - \$1,208,079 Flood Wall Construction and Related Storm and Sanitary Sewer Restoration Project in Town of Bloomsburg providing benefit to entire low-moderate income town. The flood wall will

span the southern sector of town from Railroad Street to Catherine Street and sanitary and storm sewer improvements will benefit the entire town.

PL 113-2

The Commonwealth of Pennsylvania received \$29,986,000 from the Department of Housing and Urban Development (HUD) under The Disaster Relief Appropriations Act, 2013 (Public Law 113-2, approved January 29, 2013). This was the second allocation of CDBG-DR funding to assist the State with recovery from 2011 storm events (April 2011 Storms – FEMA-4003-DR; Hurricane Irene – FEMA-4025-DR; and Tropical Storm Lee – FEMA-4030-DR). The Action Plan guiding the distribution of the \$29,986,000 appropriation was published on August 30, 2013. Since then DCED has published and received approval for three Substantial Action Plan Amendments to this first allocation of CDBG-DR funding under Public Law 113-2. Priorities for funding of specific project types were identified in the last Substantial Amendment which was approved by HUD on March 2, 2018.

The Commonwealth of Pennsylvania is hereby notifying the public of the award of specific projects in the affected municipalities identified and prioritized and soliciting comment on such announcements. The awards below detail awards under PL 113-2 appropriation to reflect this new analysis as well as the strategic priorities of the Commonwealth.

The changes proposed by the **FOURTH** Substantial Amendment (HUD DRGR #10), to be dated May 18, 2018 is as follows:

INFRASTRUCTURE

- Town of Bloomsburg, Columbia County PA – Flood Hazard Mitigation project - \$9,550,000 Flood Wall Construction and Related Storm and Sanitary Sewer Restoration Project in Town of Bloomsburg providing benefit to entire low-moderate income town. The flood wall will span the southern sector of town from Railroad Street to Catherine Street and sanitary and storm sewer improvements will benefit the entire town.
- Luzerne County Redevelopment Authority –\$1,000,000 Demolition of a Public Facility – Coxtan Bridge spanning the Susquehanna River between Exeter and Duyrea, Luzerne County, PA. Urgent Need.
- Schuylkill County – Pine Grove - \$3,000,000 Wetlands Restoration Project, urgent need benefit located to create 10.2 acres of wetland habitat at the Swatara Creek beginning at East Pottsville Street.

Introductions:

Any comments:

At this time any and all issues dealing with the 2012 and 2013 CDBG-DR grants are open for comments, but your comments should be primarily directed at the content of the Substantial Amendments for the Action Plans. Comments shall be limited to three minutes and if stating the same items that were already discussed we ask that you limit your response in an effort to save time. Any person having their comments written, DCED would appreciate having the copy for the amendments records.

I am first going to call upon Senator John Gordner to provide remarks...

Other elected officials...

COMMENTS from the audience

(Can be reversed if more in the audience than callers)

COMMENTS from the call

Has everyone who is interested in speaking on the plans had a chance to make their comment? The thirty-day comment period continues to be open until 4pm today for any further comments. Written testimony, in lieu of Web testimony, must be submitted by 4:00 PM today, May 14, 2018. Please submit comments to Megan Snyder, Department of Community and Economic Development, Center for Compliance, Monitoring and Training, 400 North Street, 4th Floor, Commonwealth Keystone Building, Harrisburg, PA 17120-0225 or via the Department's mail box at: RA-DCEDcdbg&homequestions@pa.gov

Thank you.

Pennsylvania Department of Community and Economic Development's
Public Hearing for the Substantial Amendments to
Community Development Block Grant – Disaster Recovery Action Plan
May 14, 2018

It floods in the Town of Bloomsburg. A lot.

Since 1972, the Susquehanna River has exceeded the flood crest stage of 19 feet 14 different times.

Hurricane Agnes in 1972 was devastating. It caused tens of millions of dollars in damage to the Bloomsburg area and affected thousands of residents of the area. It shut down businesses and dramatically affected people's lives.

The mighty Susquehanna River then exceeded flood levels again in 1975 and 1979 and 1983 and 1984.

The January 1996 flood did more damage. Occurring in the middle of winter after a thaw and a lot of precipitation, I still remember the continually rising waters and the ice chunks floating down from New York and northeastern Pennsylvania. The flood stage of 19 feet was met and exceeded and reached 26.76 feet again causing damage and destruction in the Bloomsburg area.

In 1996, the Pennsylvania General Assembly had a special legislative session to address the January 1996 storm. Several bills were passed and signed into law including a Capital Budget bill that included monies for the Town of Bloomsburg for flood protection. That line item would serve as a starting point for the state share of the Flood Control Project that was recently completed to protect Autoneum and the 700 jobs there.

The Susquehanna River crested above 19 feet again in 2004, several times in 2005, in 2006, and then twice in 2011 before the disastrous flood of September 2011.

Tropical Storm Lee and Hurricane Irene caused the Susquehanna River to crest at 32.75 feet which bested the historical 1904 flood when the river crested at 32.70 feet. The 1904 flood was a winter one that took out numerous bridges along the Susquehanna River from Berwick to Catawissa, with the ice chunks destroying the wooden bridges. People died, hundreds of businesses were disrupted for weeks and sometimes months, and thousands of residents were displaced.

The 2011 flood was devastating as well. Homes swept off their foundations, airplanes swept up and tossed around, schools closed, sewage and water plants inoperable, and hundreds of residents left with flood waters and mud and muck. My legislative office was flooded and for

close to 11 weeks we operated out of temporary quarters till we could return around December 1st.

I am thankful to President Obama and Congress for sending funding to Pennsylvania in 2012 and 2013 through the CDBG-DR program. And I am aware that a significant amount of these funds were to be used in those 5 counties that had the most devastating damage with Columbia County being one of those counties. Bloomsburg is located in Columbia County.

In late 2011 and early 2012, a good number of individuals, businesses and municipalities took advantage of FEMA and PEMA monies and programs to get back in their homes and to restore services. A number of buyouts of homes did occur in multiple areas.

But CDBG-DR funding remains. I am aware of the efforts over the past year or two to direct these monies towards raising and elevating homes and other projects. These types of programs have been widely advertised and our legislative office has helped with that. But time has passed, and years have passed, and the demand is just not there anymore.

For a long time, the Town of Bloomsburg has been the largest community along the Susquehanna River without any significant flood protection system.

However, after 2011, I was able to help obtain state monies from the Commonwealth Financing Authority to help to build flood protection around Kawneer in Bloomsburg to protect hundreds of good paying jobs that would otherwise have left the area. That flood protection project is complete and this large business is protected.

More recently, capital budget monies from the Commonwealth along with federal EDA funds and private sources were used to build a significant flood wall around Autoneum in order to protect over 700 very good paying jobs there. So this international business that has been in this location for over 100 years is now protected.

Which brings us to today and this public hearing. I am here to offer my strong support for Substantial Amendment 5 to Public Law 112-55 and Substantial Amendment 3 to Public Law 113-2.

I believe that these Substantial Amendments will redirect valuable funds away from programs where the demand is just not there and to projects that will dramatically affect in a positive way the communities devastated by the 2011 natural disaster.

Using CDBG-DR monies to extend the now-existing limited flood wall in Bloomsburg to protect public schools, water and sewage infrastructure, and over 100 residences will dramatically reduce the devastating effect of future flooding that will certainly occur again in this area.

Over the years, DCED has worked alongside HUD to determine the best use of these important funds. At this point, the very best way to allocate a substantial amount of the remaining monies is toward an extension of the now-existing flood wall that will provide permanent protection to a significant amount of property for a long time.

In my legislative district is the City of Sunbury. A flood wall was built there in the 1950's to protect the city where the West Branch and the Susquehanna River come together. As a result of that flood wall, this large city was protected from the floods of 1972 and 1996 and 2011. A large city and little, to no, damage. Therefore, that flood protection has saved hundreds of millions of dollars of damage over that 60 plus years.

I urge adoption of these Substantial Amendments so that the Town of Bloomsburg and the public schools that will be protected, and the residences that will be protected, and the businesses that will be protected and the municipal infrastructure that will be protected can see similar permanent relief and the savings of tens of millions of dollars of damage in the future.

EXHIBITS

Exhibit A: Allocation of Funds

PL 112 Infrastructure Projects

Grantee:	Town of Bloomsburg
Project:	Bloomsburg Flood Wall
TOTAL PROJECT COST	\$15,151,504
P.L. 112-55 Funding Amount:	\$1,208,079.20
Other CDBG-DR Funds (P.L. 113-2)	\$9,550,000
Other Funds:	\$4,393,424.8
National Objective:	LMA Area Benefit
Eligible Activities:	105(a)(1); 105(a)(2); 105(a)(4)
Eligible Applicants:	Units of general local government
Eligible Areas:	Columbia County
LMI Estimate:	100%
Estimated Number Served:	1 project

Admin: \$19,963.03 Project: 1,188,116.17

Location: Town of Bloomsburg, Columbia County, PA

The Town of Bloomsburg is undertaking a comprehensive flood protection project to mitigate damages from future flooding from the Susquehanna River. The Town will construct approximately 4,700 lf of earthen levy; installation of several street closure and control systems, installation of new storm water and sanitary sewer pumping stations and necessary improvements to the storm water and sanitary sewer systems necessary to effectively control flooding from future events. The levy will be constructed primarily on land owned by the Town in the southern sector north of Fort McClure Boulevard. The levy wall will extend from the existing levy wall located near Barton Street, surround the middle school and extend from Railroad to Center Street as the end-point.

The entire project will benefit the entire Town as well as the local high school and other facilities within

the Town. The levy will also directly protect between 105 to as many as 150 homes. The school district is a partner in the project also providing financing for the portion of the wall that will protect the school.

Grantee:	City of Wilkes-Barre
Project:	Solomon Creek Channel Restoration
TOTAL PROJECT COST	\$4,617,247.00
P.L. 112-55 Funding Amount:	\$3,818,890.00
Other Funds:	\$798,357
National Objective:	LMA Area Benefit
Eligible Activities:	105(a)(1); 105(a)(2); 105(a)(4)
Eligible Applicants:	Units of general local government
Eligible Areas:	Luzerne County
LMI Estimate:	100%
Estimated Number Served:	5030 (58.64% LMI)

Admin: \$23,570 Project: \$3,795,320

Location: City of Wilkes-Barre, Luzerne County

The city will rehabilitate and or replace certain sections of the retaining walls along Solomon Creek and Mill Creek areas. The structural integrity of some sections of the retaining walls in these areas has been compromised. Solomon Creek is located in South Wilkes-Barre and Mill Creek is in North Wilkes-Barre. Both of these creeks wind their way through very populated, residential sections of the City of Wilkes-Barre. During Hurricane Irene and Tropical Storm Lee, the high water and pressure of the excessive water in the creeks caused wall breaches and collapses in certain areas. The most impacted section of the Solomon Creek is at Brookside Street between Barney and Regent Streets.

This project will involve the relocation of storm sewer relocation, underground utilities relocation and other related road reconstruction related to the excavation, reinforcements and rebuilding of the channel walls as well as repair to the Waller Street Floodgate damaged from Irene and Lee.