

— PENNSYLVANIA. BUILT TO ADVANCE. —

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# Ben Franklin Technology Development Authority Legislative Report

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March 2015

Commonwealth of Pennsylvania  
Tom Wolf, Governor

Department of Community & Economic Development  
Dennis M. Davin, Acting Secretary



[newPA.com](http://newPA.com)



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# Section 1

## Executive Summary

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The mission of the Ben Franklin Technology Development Authority (BFTDA) is to strategically support programs and investments which create a continuum of financial and technical services to advance the creation, growth, and global competitiveness of Pennsylvania’s technology companies and universities.

## Overview

The Department of Community and Economic Development is pleased to submit the 2014 Legislative Report on the activities of the Ben Franklin Technology Development Authority (BFTDA). Governor Wolf’s job creation strategy recognizes that sectors concentrating on science, technology, engineering, and mathematics (STEM) are essential to the economic growth of the commonwealth. The BFTDA’s strategic investments in Pennsylvania’s technology-based companies and in the advancement of new technologies over the past year epitomize the governor’s STEM-related strategy.

Recognized nationally and internationally as a model for technology-based economic development the BFTDA receives an annual appropriation from the legislature. A core function of the BFTDA is to ensure that the variety of technology-based economic development organizations and initiatives throughout the commonwealth are working collaboratively to fully leverage the wealth of research, capital sources, and support services available to build a comprehensive infrastructure that supports company growth.

The BFTDA received an appropriation of \$14.5M for FY 2014-15, bringing the state’s cumulative commitment to the technology sector to nearly \$325.3M. Chart 1 shows the BFTDA’s annual appropriations, and Chart 2 details the BFTDA’s distribution of funds to its core programs since FY 2005-06.

## Core Programs

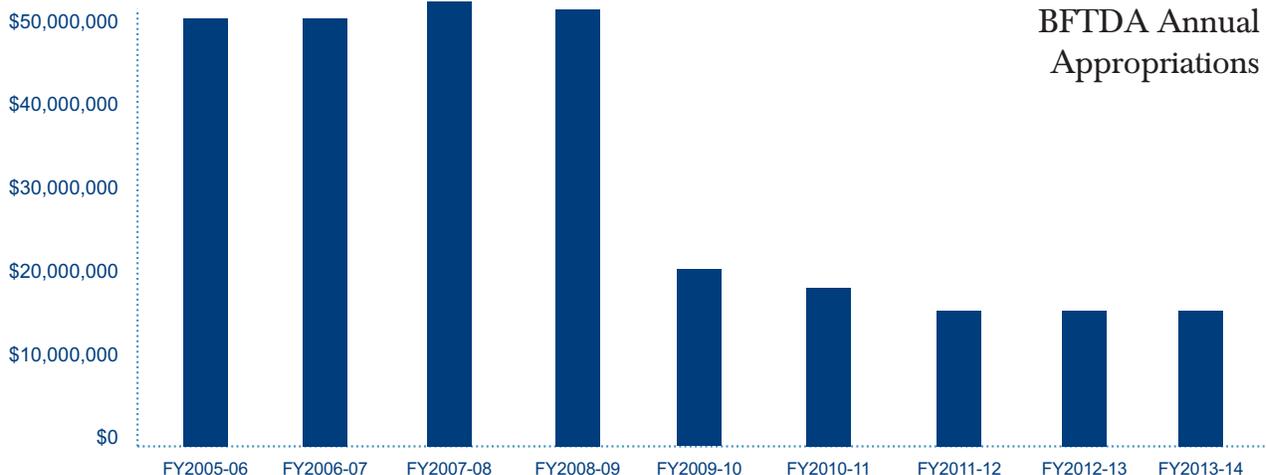
In 2014, the BFTDA approved \$3.5M for each of the four **Ben Franklin Technology Partners (BFTP)** to encourage investments that advance the competitiveness of commonwealth companies in the global economy. The BFTPs are designed to play a leadership role in building the state’s technology economy, strengthening regional economies and creating and retaining high-wage, high-skill jobs.

With four regional offices strategically located across Pennsylvania, the BFTPs provide critical seed and risk capital to start and grow technology firms throughout the commonwealth. An investment made by the BFTPs is respected as a key endorsement for client companies, allowing them to attract follow-on investment from other sources, including venture capital.

The **University Research Commercialization Grant Program** supports Pennsylvania’s world-class, university-based capabilities in nanotechnology, energy, and advanced materials. This component of the BFTDA is designed to advance university/industry relationships and leverage significant federal research funding.\*

The **Keystone Innovation Network (KIN)** program is a competitive grant program that encourages and supports innovation and entrepreneurship in and around Pennsylvania’s colleges and universities. Funding from the KIN program also assists in the development or expansion of technology transfer offices and their programs that support the commercialization of new technologies.\*

Chart 1

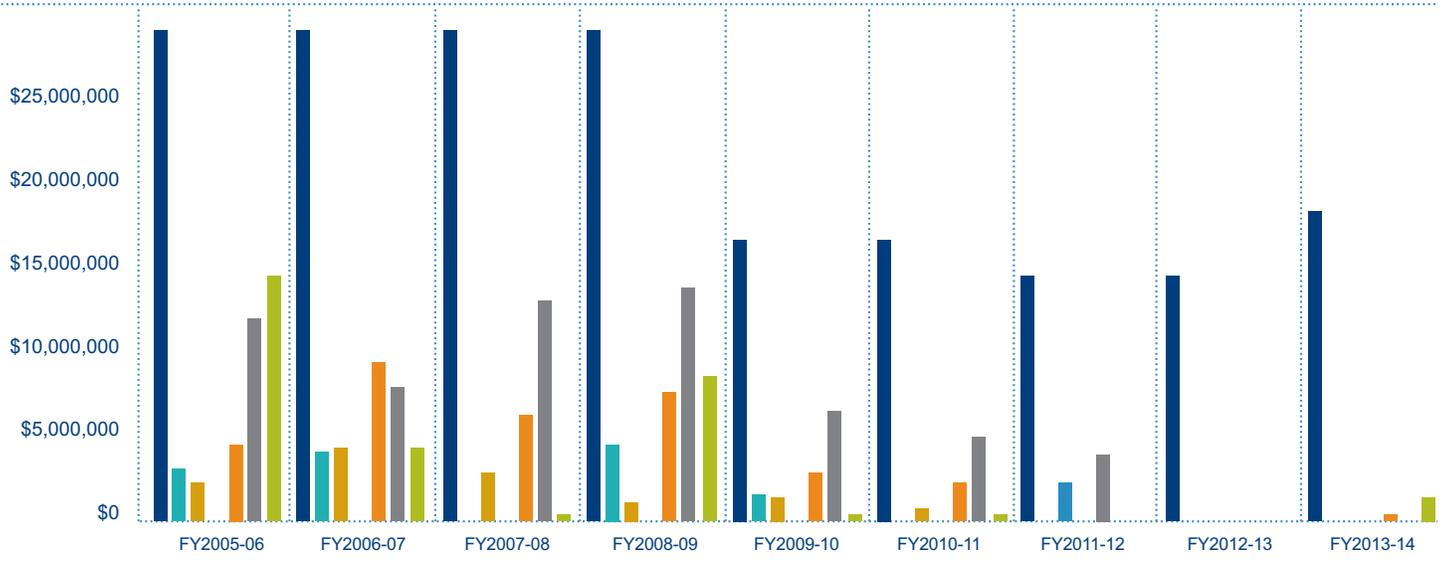


\* Funding for the University Research Commercialization Grant and the Keystone Innovation Network programs has not been available since 2012.



Chart 2

### BFTDA Program Funding by Fiscal Year



- Ben Franklin Technology Partners
- Innovation Grant Program
- Keystone Innovation Zone Program
- Keystone Innovation Network Program\*
- Technology Development Grant Program
- University Research Commercialization Grant Program
- Venture Investment Program

\*The Keystone Innovation Network Program was created in 2012, consolidating the Innovation Grant and Keystone Innovation Zone Programs.



## Impact for Fiscal Year 2013-14<sup>1</sup>

The BFTDA is a powerful economic development tool that has a proven ability to leverage both private growth capital and federal resources for the purpose of making Pennsylvania a leader in the job-creating industries of the future. The following highlights the impact of the BFTDA programs for FY 2013-14.

The four regional BFTPs leveraged more than \$363.2 million in matching and follow-on funding, creating 1,365 jobs and retaining 943 jobs. Ninety-six new companies were launched, through the support of the BFTPs, with an additional 1,275 businesses being assisted.

The University Research Commercialization Grant Program leveraged more than \$60.8 million in outside funds. Assistance was provided to 217 businesses, and two new startup companies were created. In addition, 137 new jobs were created and 152 jobs were retained and nine companies formed.

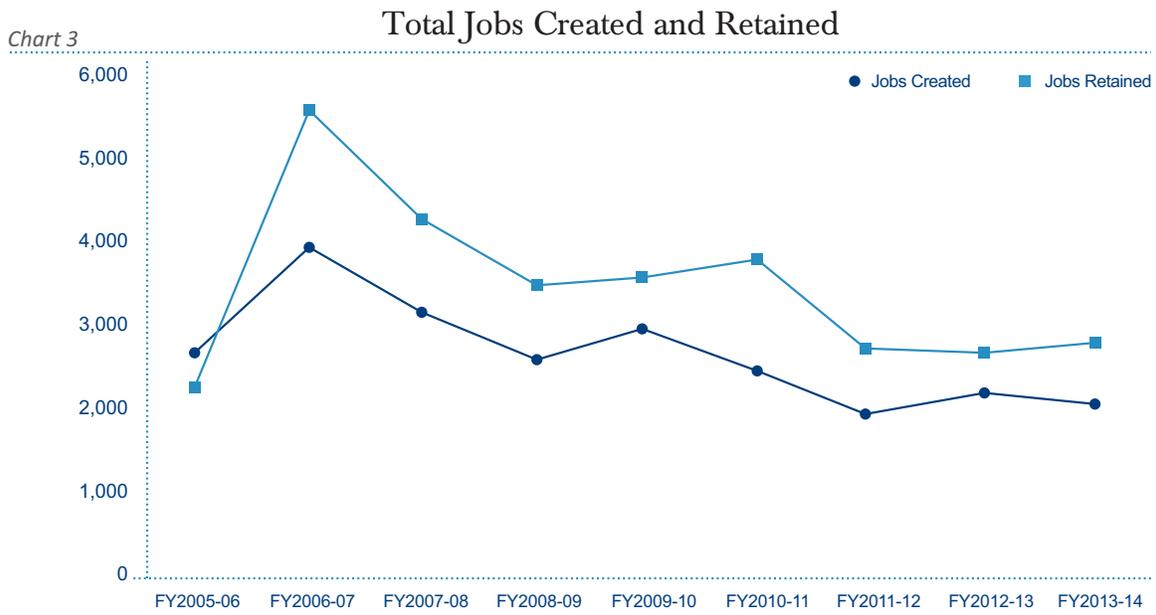
The KIN (formerly KIZ and IG) program attracted more than \$40.1 million of private funding. Additionally, 668 jobs were created, with another 1,920 jobs retained. Seventy-nine new companies were launched, and 561 were assisted through the KIZ program.

## Cumulative Impact Since 2005

The Technology Investment Office's portfolio of programs operated and administered by the BFTDA have been successful at equipping our partners to compete here in Pennsylvania, throughout our nation, and globally.

### Strengthening Economic Growth through Job Creation and Retention

Since 2005, BFTDA programs have been responsible for creating more than 24,000 new jobs in the commonwealth while retaining more than 31,250 additional jobs. Chart 3 details the overall job creation and retention by fiscal year. Charts 4 and 5 highlight the cumulative number of jobs created and retained per program.



<sup>1</sup> The figures contained in the impact section are calculated on a fiscal year basis and cannot be used as a direct comparison to the figures in the overview section which are calculated by calendar year.

Chart 4

### Jobs Created by Program from FY2005-06 to FY2013-14

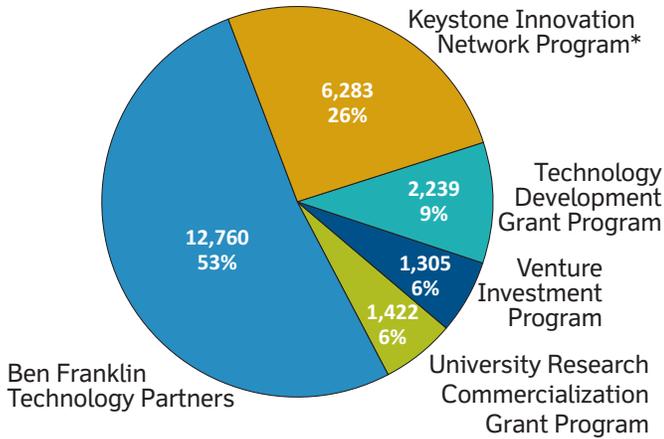
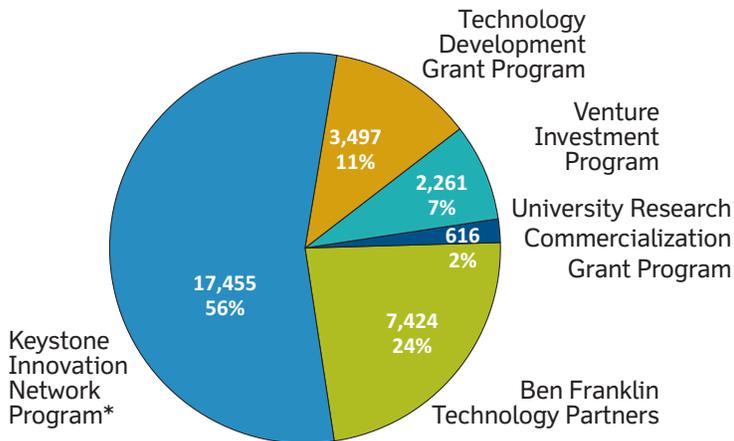


Chart 5

### Jobs Retained by Program from FY2005-06 to FY2013-14



\*The Keystone Innovation Network Program was created in 2012, by consolidating the Innovation Grant and Keystone Innovation Zone Programs.

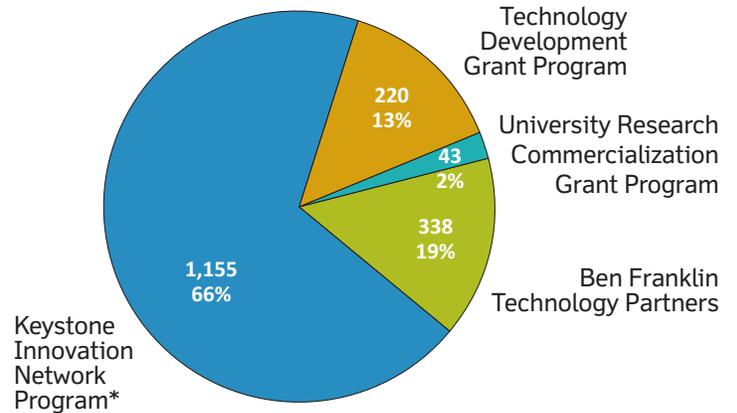


## Accelerating New Company Formation

Since 2005, nearly 1,750 new companies have formed due to support from BFTDA programs. Chart 6 details the cumulative number of new technology companies formed per program.

Chart 6

### New Technology Companies Formed by Program from FY2005-06 to FY2013-14

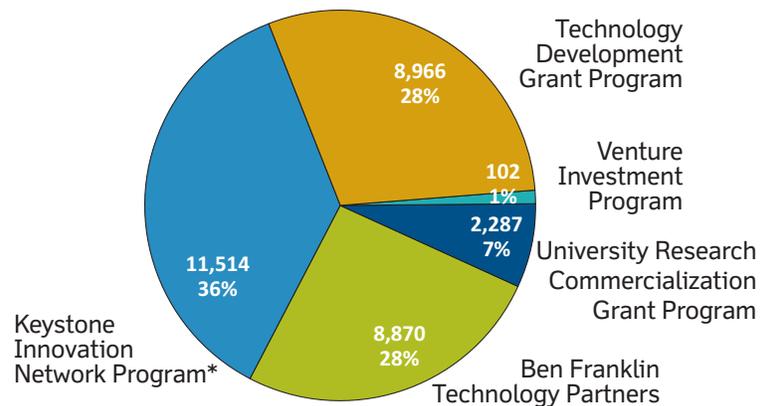


## Assisting Businesses

In the same period, nearly 31,740 Pennsylvania-based businesses have been assisted by BFTDA programs. Chart 7 details the cumulative number of businesses assisted per program.

Chart 7

### Businesses Assisted by Program from FY2005-06 to FY2013-14





Another key program that assists new start-up businesses administered by the BFTDA is the Keystone Innovation Zone (KIZ) Tax Credit program. Cumulatively, more than 1,400 applicants received the KIZ tax credit totaling more than \$103.8M in tax credits awarded. Chart 8 shows the number of awards made to KIZ companies since 2006, while Chart 9 indicates the dollar amount of tax credits awarded to the KIZ companies.

Chart 8 Number of KIZ Companies Awarded KIZ Tax Credits by Year

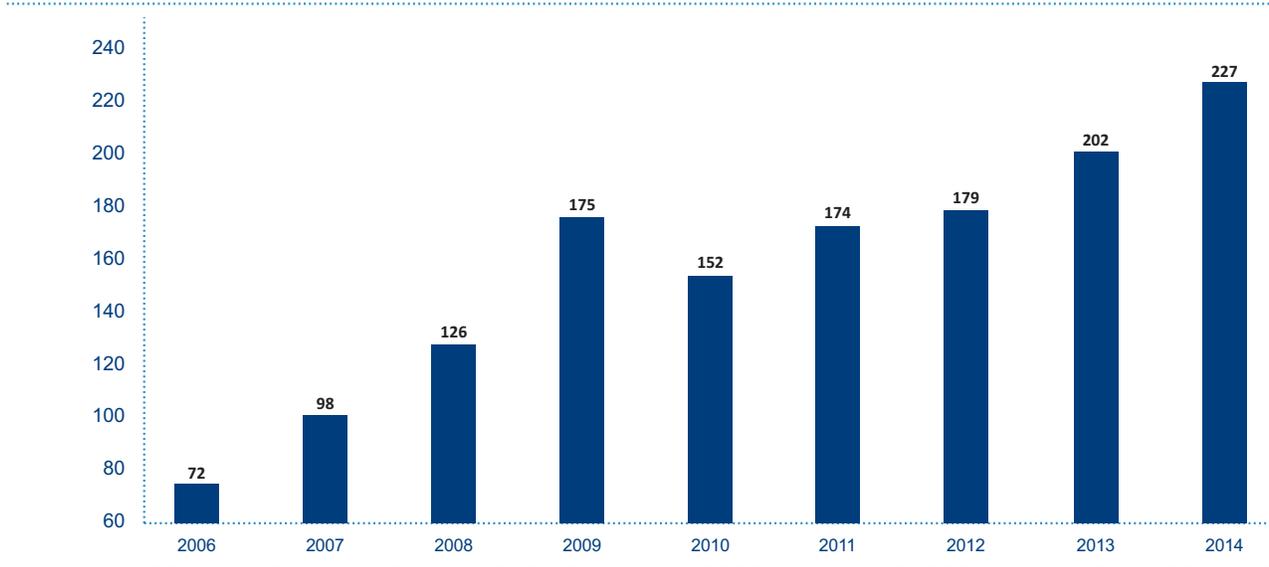
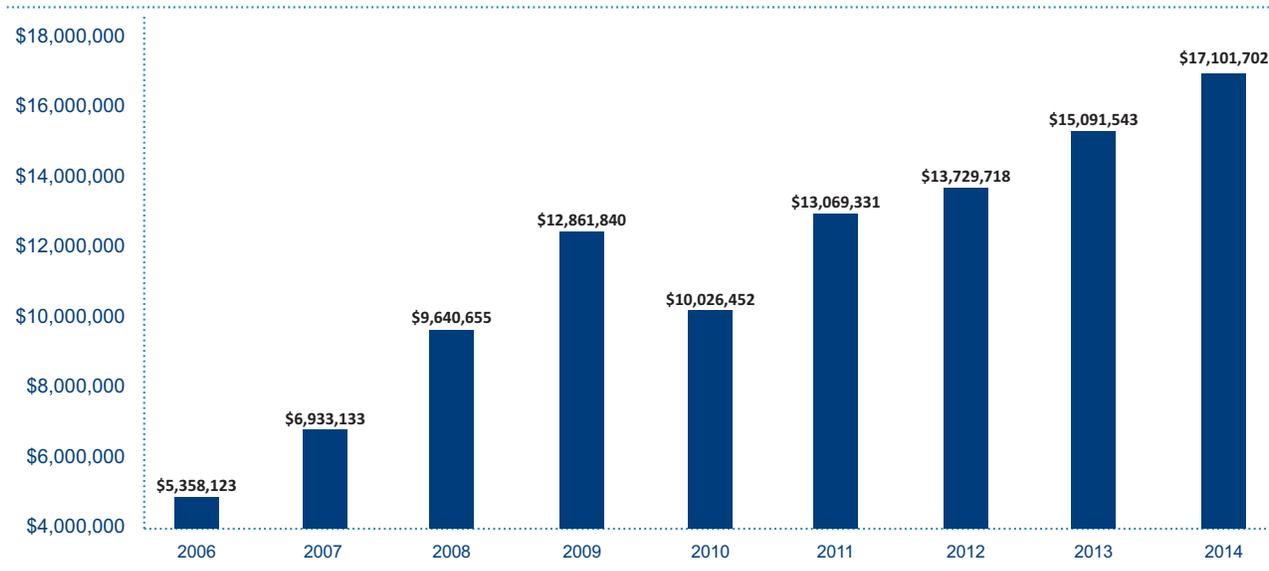


Chart 9 Amount of KIZ Tax Credits Awarded by Year



## Supporting Innovation

BFTDA programs have helped contribute to Pennsylvania's businesses securing patents, and creating/developing other non-patented Intellectual Property (i.e., copyright protected, new processes that enhance their efficiency and productivity). Since 2005, nearly 1,940 new patents have been issued, and more than 2,520 non-patented Intellectual Properties have been created/developed for projects funded through BFTDA programs. Chart 10 details patents issued, and Chart 11 highlights non-patented intellectual property per program.

Chart 10

### Patents Issued to Projects Funded by Program from FY2005-06 to FY2013-14

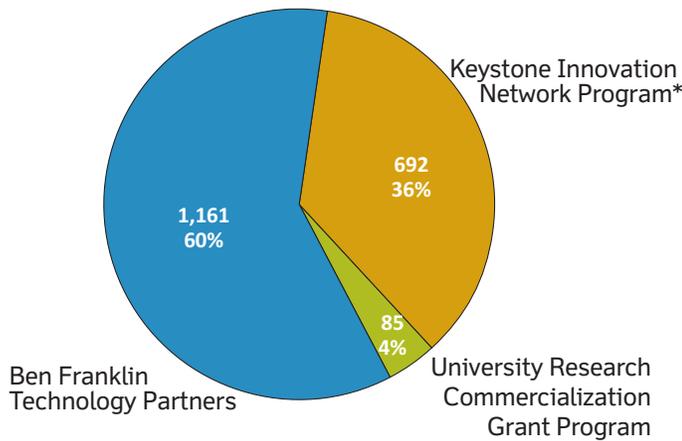
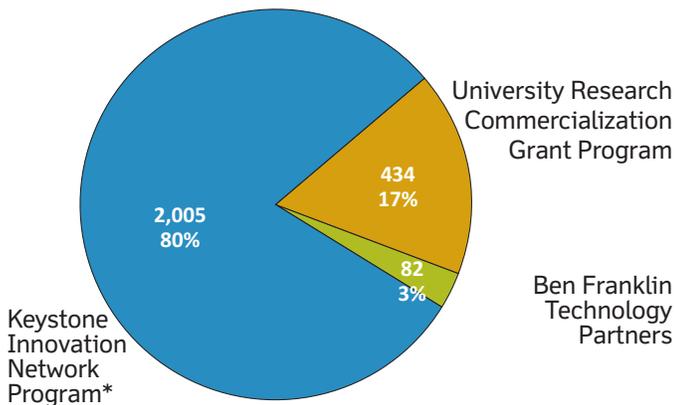


Chart 11

### Intellectual Property Created by Program from FY2005-06 to FY2013-14



## Driving Innovation into the Marketplace

BFTDA's programs have been a driving force in getting new technology products into the marketplace. 8,200 new technologies licenses have been granted, and 2,770 new products have been commercialized since 2005. Chart 12 indicates the number of new technologies licensed, and Chart 13 details the number of new products commercialized per program.

Chart 12

### Technologies Licensed by Program from FY2005-06 to FY2013-14

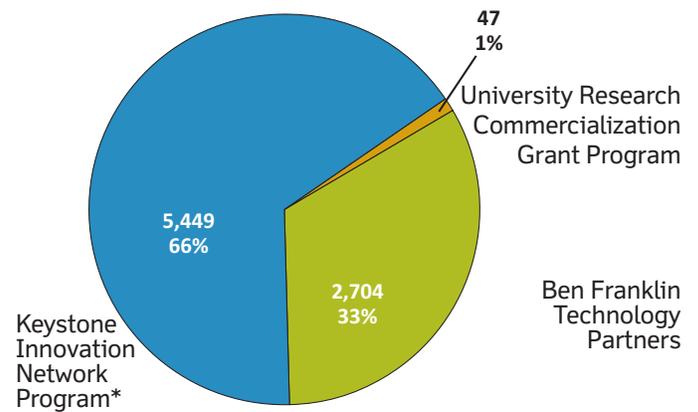
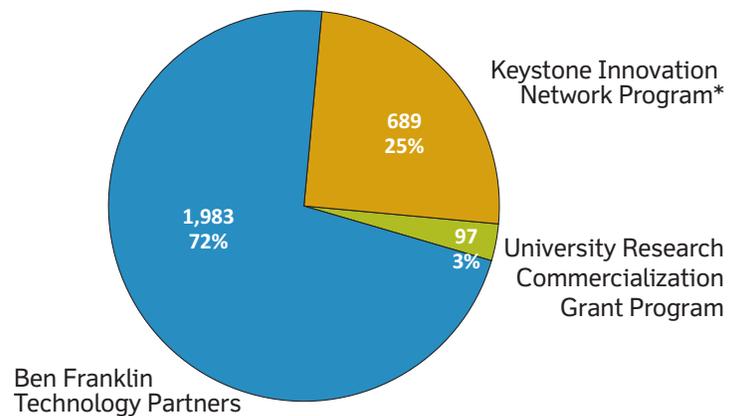


Chart 13

### New Products Commercialized by Program from FY2005-06 to FY2013-14



\*The Keystone Innovation Network Program was created in 2012, by consolidating the Innovation Grant and Keystone Innovation Zone Programs.



## Board Meeting Activities

### **January 30, 2014**

- 👑 Venture Investments – approved \$1.5M for the Riverfront Ventures Fund.
- 👑 Technology Development – approved \$225,000 for the Innovation Partnership.

### **July 31, 2014**

- 👑 BFTP – approved \$14M in Challenge Grant funds to the four regional BFTPs.

# Section 2

## Roster of Board Members

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# **Roster of Board Members**

## **Ben Franklin Technology Development Authority Board Membership *January 1, 2014***

Honorable C. Alan Walker, Chairman  
Secretary, Department of Community and Economic Development  
400 North Street, 4<sup>th</sup> Floor  
Harrisburg, PA 17120

Tom Balderston  
Balderston Capital, LLC  
SustainVC, LLC  
G.P. of Patient Capital  
Collaborative Funds  
330 King of Prussia Road  
Radnor, PA 19087

Frederick J. Beste, III  
President  
Mid-Atlantic Venture Funds  
116 Research Drive  
Bethlehem, PA 18015

Kenneth E. Davis  
Senior Director  
Duane Morris Government Affairs  
30 South 17<sup>th</sup> Street  
Philadelphia, PA 19103-4196

Carolyn Dumaresq, Secretary  
Department of Education  
333 Market Street  
Harristown 2, 10<sup>th</sup> Floor  
Harrisburg, PA 17126

John A Fry  
President Drexel University  
3141 Chestnut Street  
Philadelphia, PA 19104

Honorable Doyle Heffley  
PA House of Representatives  
423 Irvis Office Building  
PO Box 202122  
Harrisburg, PA 17120-2122

Honorable Bryan Barbin  
PA House of Representatives  
4 East Wing  
P.O. Box 202071  
Harrisburg, PA 17120

Austin J. Burke  
President  
Greater Scranton Chamber of Commerce  
222 Mulberry Street  
P. O. Box 431  
Scranton, PA 18501

Honorable Andrew E. Dinniman  
Senate of Pennsylvania  
Senate Box 203019 – Room 183  
Harrisburg, PA 17120

S. Thomas Emerson, Ph.D.  
Carnegie Mellon University  
CMU-Q, SMC 1070  
5032 Forbes Avenue  
Pittsburgh, PA 15213-3890

Honorable John R. Gordner  
Senate of Pennsylvania  
351 Main Capitol  
Harrisburg, PA 17120

Brian Kennedy  
V Pres. for Strategic Services and  
Government Relations  
Pittsburgh Technology Council  
200 Technology Drive  
Pittsburgh, PA 15219

Ellen Kite  
Community Development Representative  
100 Rawlins Run Road  
Pittsburgh, PA 15238

Kelly P. Logan, Secretary  
Office of Administration  
207 Finance Building  
Harrisburg, PA 17120

Robert J. Schena, Chairman  
Rajant Corporation  
400 East King Street  
Malvern, PA 19355

Naomi Wyatt  
Director of Legal and Governmental Affairs  
Camden Coalition of Healthcare Providers  
808 Market Street, 2nd Floor  
Camden, NJ 08102

Robert Kumpf, Ph.D.  
Chief Operating Officer  
Plextronics  
2180 William Pitt Way  
Pittsburgh, PA 15238

Charles J. Peters  
Managing Member  
Altair Holdings LLC  
1001 State St., Suite 307  
Erie, PA 16501

Peter Tonia  
Coordinator of Benefits  
Carpenters Benefit Funds  
1811 Spring Garden Street  
Philadelphia, PA 19130

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# Section 3

## BFTDA Board Meeting Minutes

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# BEN FRANKLIN TECHNOLOGY DEVELOPMENT AUTHORITY

## Board Meeting Minutes

January 30, 2014

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A meeting of the Ben Franklin Technology Development Authority Board (BFTDA) was held at the Hershey Center for Applied Research at 10:00 a.m. on January 30, 2014.

Board members and designees in attendance:

- R. Michael Cortez, DCED Senior Advisor to Secretary C. Alan Walker
- Tom Balderston
- Representative Bryan Barbin (phone)
- Frederick J. Beste, III
- Austin J. Burke
- John Clark for Secretary Kelly P. Logan
- Sheri Collins, Executive Director of BFTDA
- S. Thomas Emerson (phone)
- President John A. Fry (phone)
- Senator John R. Gordner (phone)
- Representative Doyle Heffley (phone)
- Martin Indars for Senator Andrew E. Dinniman
- Ellen Kight
- Charles J. Peters
- Robert J. Schena
- Peter Tonia (phone)

DCED staff and guests in attendance:

- David Bookspan, DreamIT Ventures
- Steve Brawley, Ben Franklin Technology Partners, Central and Northern PA
- Sanna Gaspard, Rubitection
- Gary Glusser, Innovation Works
- Ryan Glenn, BFTDA Assistant Treasurer, TIO
- Karen Griffin Gryga, DeamIT Ventures
- Christopher Houston, DCED Office of Chief Counsel
- Jay Katarincic, Draper Triangle
- Mary Keenan
- Jennifer Leinbach, BFTDA Assistant Secretary, TIO
- Rich Lunak, Innovation Works
- William Michalerya, Lehigh University
- Chad Paul, Ben Franklin Technology Partners, Northeastern PA
- Max Perelman, Bio Meme
- RoseAnn B. Rosenthal, Ben Franklin Technology Partners, Southeastern PA
- John Sider, BFTP-Managing Director for Statewide Initiatives
- Jeff Snellenburg, PA Angel Network

# BEN FRANKLIN TECHNOLOGY DEVELOPMENT AUTHORITY

## Board Meeting Minutes

January 30, 2014

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- Janet Stainbrook, Ben Franklin Technology Partners, Northeastern PA
- Bob Starzynski, Innovation Works
- Colton Weber, TIO
- Kelly Wylam, Innovation Partnership

### I. Welcome and Opening Remarks

R. Michael Cortez, Senior Advisor to Secretary C. Alan Walker called the meeting to order at 10:03 a.m.

### II. Approval of October 31, 2013 and December 23, 2013 Meeting Minutes

Robert Schena made a motion to approve the minutes as written, seconded by Austin Burke, motion carried.

### III. BFTDA Executive Director's Report

A. Sheri Collins, Executive Director, BFTDA, updated members on Innovate in PA.

B. Ryan Glenn, Assistant Treasurer, BFTDA reviewed financial statements and provided a history and update of the Venture Investment program.

### IV. New Business

#### A. BFTDA Venture Investment Program Presentations:

1. DreamIT Ventures, Partners Karen Griffing Gryga and David Bookspan, and Portfolio Company BioMeme, Max Perelman, Co-founder
2. Draper Triangle Ventures, Jay Katarincic
3. Riverfront Ventures Fund, Rich Lunak

Chuck Peters made a motion to invest \$1.5 million in Riverfront Ventures Fund, seconded by Ellen Kight, motion carried.

#### B. Technology Development Grant Program Presentations:

1. Innovation Partnership, Director Kelly Wylam gave a presentation.

Fred Beste made a motion to award a grant of \$225,000.00 for three fiscal years of operations (starting with Fiscal Year 2013/2014) to Innovation Partnership, seconded by Austin Burke, motion carried.

**BEN FRANKLIN TECHNOLOGY DEVELOPMENT AUTHORITY**

Board Meeting Minutes

January 30, 2014

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2. Pennsylvania Angel Network, Executive Director Jeff Snellenburg provided a program update.

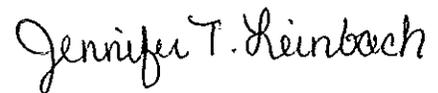
V. Old Business

Idea Foundry – Sheri Collins reported that the BFTDA received a \$50,000 check from Idea Foundry and the restructured loan agreement, as previously approved by the BFTDA, has been finalized.

The next meeting of the Board is scheduled for April 3, 2014 in Harrisburg with the exact location yet to be determined.

Tom Balderston made a motion to adjourn, Austin Burke seconded. Motion carried and the meeting was adjourned at 1:58 p.m.

Respectfully submitted,



Jennifer T. Leinbach  
Assistant Secretary, BFTDA

# BEN FRANKLIN TECHNOLOGY DEVELOPMENT AUTHORITY

## Board Meeting Minutes

July 31, 2014

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A meeting of the Ben Franklin Technology Development Authority Board (BFTDA) was held at the Murata Business Incubator in Carlisle at 10:00 a.m. on July 31, 2014.

### Board members and designees in attendance:

- DCED Deputy Secretary Carolyn Boser Newhouse
- Tom Balderston
- Frederick J. Beste, III
- Austin J. Burke
- Sheri Collins, Executive Director of BFTDA
- Kenneth E. Davis (phone)
- Martin Indars for Senator Andrew E. Dinniman (phone)
- Dale Hamby for Secretary Carolyn Dumaresq, Department of Education
- S. Thomas Emerson
- President John A. Fry (phone)
- William Joraskie for Senator John R. Gordner
- Brain Kennedy
- Ellen Kight
- Dr. Robert Kumpf (phone)
- John Clark for Secretary Kelly P. Logan, Office of Administration (phone)
- Charles J. Peters
- Robert J. Schena
- Peter Tonia

### DCED staff and guests in attendance:

- Jason Barnes, Ben Franklin Technology Partners Southeastern PA
- Dave Black, Harrisburg Regional Chamber & CREDC
- Matt Bloom, Crime Watch
- Steve Brawley, Ben Franklin Technology Partners Central and Northern PA
- Jill Busch, DCED Office of Chief Counsel
- William Craig, Webpage FX
- Yvonne Dunst, Ben Franklin Technology Partners Central and Northern PA
- Bill Dye, Z-Band
- Sowanner Eckenvode, Menu Drive
- Jill Edwards, Ben Franklin Venture Investment Forum
- Brittany Farrands, Synergy Homecare
- Adrian Fary, Menu Drive
- Ross Gibson, Ben Franklin Technology Partners Central and Northern PA
- Ryan Glenn, Ben Franklin Technology Partners Statewide Coordinator
- Linda Goldstein, Harrisburg Regional Chamber & CREDC

# BEN FRANKLIN TECHNOLOGY DEVELOPMENT AUTHORITY

## Board Meeting Minutes

July 31, 2014

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- Michael Grouz, Crime Watch
- Richard (Dick) Heddleson, Ben Franklin Technology Partners Central and Northern PA
- Mary Keenan, Webber Associates
- Michelle Lisk, Synergy Homecare
- Rich Lunak, Innovation Works
- Pam Martin, Ben Franklin Technology Partners Central and Northern PA
- Kathy Matason, Ben Franklin Technology Partners Central and Northern PA
- Justin McCarthy, Tex Visions
- Adare McMillan, Ben Franklin Technology Partners Southeastern PA
- Heather Mowers, 717 Studios
- Nick Mudgett, Go Green IT
- Chad Paul, Ben Franklin Technology Partners Northeastern PA
- Chris Resses, Ephyra Group
- RoseAnn B. Rosenthal, Ben Franklin Technology Partners Southeastern PA
- Commissioner Paul Rudy, Perry County
- Matt Swertzer, Ephyra Group
- Dick Snyder, Z-Band
- Peter Sobotta, Return Logic
- Janet Stainbrook, Ben Franklin Technology Partners Northeastern PA
- Susan Vyowski, Innovation Works
- Colton Weber, DCED TIO
- Kelly Wylam, Innovation Partnership

### I. Welcome and Opening Remarks

Carolyn Boser Newhouse, Deputy Secretary, Office of Innovation & Investment called the meeting to order at 10:05 a.m.

### II. Approval of January 30, 2014 Meeting Minutes

A motion was made to approve the minutes from the January 30, 2014 meeting, as presented, and seconded. The motion carried.

### III. Approval of FY14-15 Budget

The four Ben Franklin Technology Partner CEOs (R. Rosenthal, C. Paul, R. Lunak and S. Brawley) participated in a moderated panel, highlighting both their successes from the prior year and their targeted approach for investing the Fiscal Year 2014-2015 Challenge Grants.

A motion was made to approve a grant to each one of the four Ben Franklin Technology Partners in the amount of \$3.5MM (for a total of \$14MM) from the Fiscal Year 14-15 budget and seconded. The motion carried.

**BEN FRANKLIN TECHNOLOGY DEVELOPMENT AUTHORITY**

Board Meeting Minutes

July 31, 2014

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IV. BFTDA Executive Director's Report

Sheri Collins, Executive Director, BFTDA, updated members on the current status of Innovate in PA. Ms. Collins informed the board that the Department had been sued in Commonwealth Court by a Pennsylvania vendor who had not been secured to sell the tax credits in conjunction with Innovate in PA.

DCED Legal Counsel Jill Busch provided some additional information on the legal aspects of the hearing which is scheduled to be held on September 11, 2014.

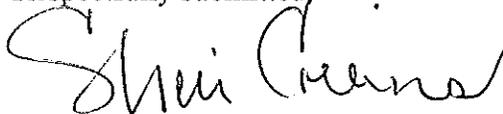
Board member Brian Kennedy inquired about the commission for Atex Petros and suggested that they be invited to attend the [October 2014] meeting of the board should they be cleared to sell the credits on behalf of the Department.

V. New Business

VI. Old Business

VII. A motion to adjourn the meeting was made and seconded. Motion carried and the meeting adjourned at 2:00 p.m.

Respectfully submitted,



Sheri Collins  
Executive Director

# Section 4

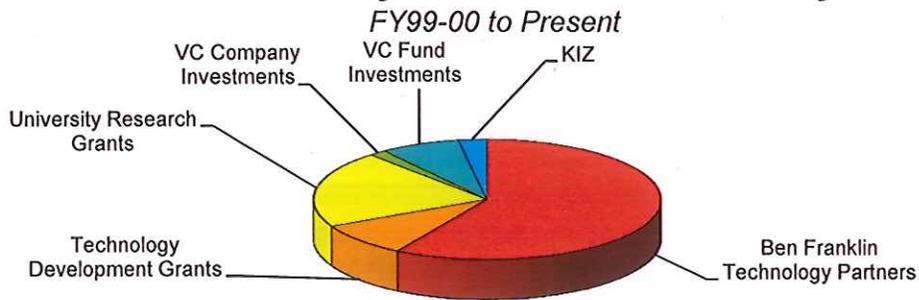
## BFTDA Portfolio

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# BFTDA Project Portfolio Summary

# BFTDA Project Portfolio Summary



PROGRAM	# OF PROJECTS	\$ AMOUNT
<b>Ben Franklin Technology Partners</b>		
Challenge Grant Program	4	\$ 353,723,000
Alternative Energy Development Program	4	39,596,000
<b>Total</b>	<b>8</b>	<b>\$ 393,319,000</b>
<b>Technology Development Grants</b>		
Active Projects	6	\$ 20,275,000
Completed Projects	66	40,317,349
<b>Total</b>	<b>72</b>	<b>\$ 60,592,349</b>
<b>University Research Grants</b>		
Active Projects	11	\$ 77,839,000
Completed Projects	20	60,976,284
<b>Total</b>	<b>31</b>	<b>\$ 138,815,284</b>
<b>Venture Capital Company Investments</b>		
Active Projects	0	\$ -
Completed Projects	12	11,135,000
<b>Total</b>	<b>12</b>	<b>\$ 11,135,000</b>
<b>Venture Capital Fund Investments</b>		
Active Funds	14	\$ 27,000,000
Active Special Projects	0	-
Inactive Funds	2	6,000,000
Inactive Special Projects	9	16,750,000
<b>Total</b>	<b>25</b>	<b>\$ 49,750,000</b>
<b>Keystone Innovation Zone (KIZ) and Keystone Innovation Network Programs</b>		
Active Projects	29	\$ 14,370,025
Planning Grants	10	210,500
KIZ Competitive Grant Program	12	1,000,000
KIN Grant Program	10	1,900,000
<b>Total</b>	<b>61</b>	<b>\$ 17,480,525</b>
<b>TOTAL BFTDA INVESTMENTS</b>	<b>201</b>	<b>\$ 671,092,158</b>

## OTHER KIZ-RELATED INVESTMENTS (ADMINISTERED BY TIO)

<b>Keystone Innovation Grant (KIG) Program</b>		
Active Projects	0	\$ -
Completed Projects	58	9,864,427
<b>Total</b>	<b>58</b>	<b>\$ 9,864,427</b>
<b>Keystone Innovation Starter Kit (KISK) Program</b>		
Active Projects	0	\$ 4,952,264
Completed Projects	63	6,547,965
<b>Total</b>	<b>63</b>	<b>\$ 11,500,229</b>
<b>TOTAL OTHER KIZ-RELATED INVESTMENTS</b>	<b>121</b>	<b>\$ 21,364,656</b>

# University Research Commercialization Grants

**BFTDA University Research Commercialization Grant Projects**  
FY99-00 to Present

STATUS	PROJECT NAME	TOTAL AMOUNT	FY99-00	FY00-01	FY01-02	FY02-03	FY03-04	FY04-05	FY05-06	FY06-07	FY07-08	FY08-09	FY09-10	FY10-11	FY11-12
Completed	Penn State University / Engineering Research Center for Innovative Sintered Products	3,000,000	\$ 1,000,000	\$ 1,000,000											
Completed	University of Pittsburgh / N/ Vinyl Formamide Consortium	320,000	150,000	170,000											
Completed	Penn State University / Semiconductor Manufacturing Technology Initiative	2,000,000	2,000,000												
Active	Lehigh University, Corning Glass / University of Pittsburgh / MRSEC	8,987,950	50,000	850,000	900,000	900,000	900,000	900,000	900,000	900,000	900,000	900,000	700,000	600,000	487,950
Completed	Technology Collaborative (Pittsburgh, www.techcollab.com/02/02/99)	3,750,000	1,500,000	2,250,000											
Completed	Technology Collaborative	14,500,000	1,500,000	3,250,000	2,750,000	2,500,000	2,500,000	1,000,000	1,000,000	1,000,000					
Completed	Penn State University / Semiconductor Manufacturing Technology Initiative (Nonrefrigeration Cantons)	1,000,000		1,000,000											
Completed	Lehigh University, Penn State University and Vidicon Systems LLC	2,000,000		1,000,000											
Completed	Philadelphia University - Consortium for Sustainable Design & Research	2,250,000	230,000	210,000											
Completed	Center for Optical Technologies (COT)/Lehigh University, PSU, Northampton Comm College, Lehigh Carbon Comm College & Ben Franklin Technology Partners of Northampton PA	20,000,000	1,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	1,700,000	1,900,000	1,000,000			
Active	Penn State Univ. Nanotechnology Research & Commercialization	17,206,100		3,500,000	3,500,000	3,500,000	3,500,000	1,750,000	1,750,000	750,000	2,500,000	1,500,000	1,500,000		206,100
Active	NTI/Drexel University / University of Pennsylvania / Ben Franklin, SEI	23,157,550	2,000,000	3,500,000	3,500,000	3,500,000	3,500,000	2,750,000	2,750,000	750,000	3,500,000	1,500,000	1,500,000		1,157,550
Completed	Keystone Alliance for Homeland Security - PSU	1,200,000		1,200,000											
Completed	Swanson Institute for Technical Excellence (SITE) - Univ. Pittsburgh	232,508		232,508											
Active	MMT Partnership (PSU - Administrator)	7,309,500		7,309,500											
Active	PA Nanomaterials Commercialization Center	3,427,900		3,427,900											
Completed	Lehigh University - Nanomaterials (COT)	1,300,000		1,300,000											
Completed	PSU Transportation Institute Hybrid & Hydrogen Vehicle Research Center	273,278		273,278											
Completed	University of Pittsburgh Power & Energy Initiative	125,000		125,000											
Completed	Penn State Institutes for Energy and Environment Energy Faculty Recruitment Program	1,600,000		1,600,000											
Completed	Philadelphia University, Drexel & BFTP/ISEP - PATRIC	1,255,500		1,255,500											
Completed	University of Pittsburgh: The Next Generation Technologies for the Armed Forces Institute for Regenerative Medicine	2,500,000		2,500,000											
Completed	Temple University: Pennsylvania Environmental Technologies for Pharmaceutical Industry (PETPI)	2,200,000		2,200,000											
Active	Energy Commercialization Institute: Ben Franklin Technology Partners/ISEP (Administrator, University of Pennsylvania, Drexel University)	2,200,000		2,200,000											
Active	Lehigh University - Energy and Environmental Research Initiative	1,300,000		1,300,000											
Completed	Pennsylvania State University - Distributed Power Management at the Philadelphia Navy Yard	1,300,000		1,300,000											
Active	University of Pittsburgh-Power and Energy: Interfaces of Nuclear, Mining and Electric Power Engineering Initiative	1,300,000		1,300,000											
Active	PA Nanomaterials Commercialization Center - Energy Project	950,000		950,000											
Completed	Drexel University-Transitional Research Partnership Institute	150,000		150,000											
<b>TOTAL</b>		<b>\$ 125,816,284</b>	<b>\$ 4,700,000</b>	<b>\$ 11,000,000</b>	<b>\$ 16,420,000</b>	<b>\$ 12,750,000</b>	<b>\$ 15,852,506</b>	<b>\$ 7,150,000</b>	<b>\$ 11,400,000</b>	<b>\$ 7,473,278</b>	<b>\$ 11,975,000</b>	<b>\$ 13,655,500</b>	<b>\$ 5,839,000</b>	<b>\$ 4,550,000</b>	<b>\$ 3,150,000</b>
<b>SPECIAL PROJECTS</b>															
Active	Keystone Innovation Starter Kit (KISK) Grants	10,000,000													
Active	Innovation Grant (IG)	3,000,000													
<b>TOTAL</b>		<b>\$ 13,000,000</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$ 2,500,000</b>	<b>\$ 2,500,000</b>	<b>\$ 1,000,000</b>	<b>\$ 1,500,000</b>	<b>\$ 1,500,000</b>
<b>TOTAL</b>		<b>\$ 13,000,000</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$ 2,500,000</b>	<b>\$ 2,500,000</b>	<b>\$ 1,000,000</b>	<b>\$ 1,500,000</b>	<b>\$ 1,500,000</b>

# KIZ Program

## KIZ Competitive Grants

## BFTDA Keystone Innovation Zone (KIZ) Projects

FY99-00 to Present

PROJECT NAME	TOTAL AMOUNT	FY03-04	FY04-05	FY05-06	FY06-07	FY07-08	FY08-09	FY09-10
Northwest Lancaster City KIZ	437,500	\$ 250,000					\$ 187,500	
Southside Bethlehem KIZ	625,000	250,000		187,500	125,000	62,500		
Lackawanna County KIZ	625,000		250,000	187,500	125,000	62,500		
Luzerne County KIZ	625,000		250,000	187,500	125,000	62,500		
Greater Oakland KIZ	625,000		250,000	187,500	125,000	62,500		
Harrisburg Market KIZ	625,000		250,000	187,500	125,000	62,500		
University City KIZ	625,000		250,000	187,500	125,000	62,500		
Greater Johnstown KIZ	625,000		250,000	187,500	125,000	62,500		
Pocono Mountains KIZ	500,000		200,000	150,000	100,000	50,000		
i-99 Innovation Corridor KIZ	625,000		250,000	187,500	125,000	62,500		
Williamsport/Lycoming KIZ	607,250		242,900	182,175	121,450	60,725		
Greater Susquehanna KIZ	450,000		200,000	150,000	100,000	50,000		
Navy Yard KIZ	175,000		100,000	75,000				
Beaver County KIZ	625,000		250,000	187,500	125,000	62,500		
Huntingdon County KIZ	450,000		200,000	150,000	100,000	50,000		
Erie KIZ	562,500			250,000	187,500	125,000		
Delaware County KIZ	562,500			250,000	187,500	125,000		
611 Corridor KIZ	625,000			250,000	187,500	125,000		
Bucks County Biotechnology KIZ	562,500			250,000	187,500	125,000		
York County KIZ	625,000			250,000	187,500	125,000		
Greater Reading KIZ	411,250			235,000	176,250			
Chester County KIZ	537,500			225,000	312,500			
Pittsburgh Central KIZ	415,275			237,300	177,975			
Waynesburg KIZ	200,000			200,000				
Indiana County KIZ	200,000			200,000				
Tri-County KIZ	393,750			175,000				
Fayette/Washington KIZ	225,000					225,000		
Northwest Pennsylvania KIZ	437,500					250,000		
Westmoreland County KIZ	367,500					210,000		
<b>TOTAL</b>	<b>\$14,370,025</b>	<b>\$500,000</b>	<b>\$2,942,900</b>	<b>\$2,062,500</b>	<b>\$3,604,475</b>	<b>\$2,531,450</b>	<b>\$1,284,225</b>	<b>\$1,444,475</b>

# BFTDA KIZ Competitive Grant Program

*FY99-00 to Present*

PROJECT NAME	TOTAL AMOUNT	FY10-11
Chester County/Delaware KIZ	100,000	100,000
Greater Oakland KIZ	95,000	95,000
Greater Reading KIZ	50,000	50,000
Greater Susquehanna KIZ	100,000	100,000
Harrisburg Market KIZ	85,000	85,000
Lackawanna/Luzerne County KIZ	100,000	100,000
Pittsburgh Central KIZ	100,000	100,000
Southside Bethlehem KIZ	100,000	100,000
Tri-County KIZ	25,000	25,000
University City KIZ	85,000	85,000
Westmoreland County KIZ	60,000	60,000
York County KIZ	100,000	100,000
<b>TOTAL</b>	<b>\$1,000,000</b>	<b>\$1,000,000</b>

# Technology Development Grants

**BFTDA Technology Development Grant Projects**  
FY99-00 to Present

STATUS	PROJECT NAME	TOTAL AMOUNT	FY99-00	FY00-01	FY01-02	FY02-03	FY03-04	FY04-05	FY05-06	FY06-07	FY07-08	FY08-09	FY09-10	FY10-11	FY11-12	FY12-13	FY13-14
Completed	3 Rivers Connect / Smart Buildings	500,000	\$ 500,000														
Completed	Apollon Vets / Lighting Manufacturing	500,000	500,000														
Completed	Bon Franklin Tech Partners / EC Southwest Research	60,000	60,000														
Completed	Bloomsburg, Telecommunications Consortium / Digital Community	254,500	254,500														
Completed	Industrial Modernization Center / Regional E-Commerce Center	130,000	130,000														
Completed	KCARE Health Education and Systems Alliance	250,000	250,000														
Completed	Local Development Districts	604,650	604,650														
Completed	Millin County / Millin County Municipal Area Network	251,750	251,750														
Completed	Penn State University / BerksNet	87,712	87,712														
Completed	University Comm Homes / Univ. Technology Center	100,000	100,000														
Completed	University City Science Center / Port of Technology	750,035	250,035	500,000													
Completed	3 Rivers Connect	370,000	370,000														
Completed	Bedford County Regional Education Foundation	400,000	400,000														
Completed	Community College of Beaver County/Beaver Technology Education Cntr	238,406	238,406														
Completed	Gerry Area Redevelopment Corp/Mirabeau	75,000	75,000														
Completed	Greenfield County Regional Alliance GCRA/Junk to Learn	112,250	112,250														
Completed	Forest County Industrial Development Corporation	30,000	30,000														
Completed	Luzerne-Lackawanna County Street Valley (SVTI)	505,000	505,000														
Completed	Maritime Exchange for Duane River & Bay/Electronic Port Community Information Services (Y1 & Y2)	250,230	250,230	200,000													
Completed	Marinet County / Allegheny Advisory Council, Inc.	27,100	27,100														
Completed	Northwest Pa. Regional E-Rushmore Network	50,000	50,000														
Completed	PA Bio-Connect-90 Community of Interest	75,000	75,000														
Completed	PEPA / Technology Training	73,000	73,000				31,897										
Completed	Sutton Hill E-Magnify / Woman's Entrepreneur Workshop	200,000	200,000														
Completed	Woman's Opportunity Resource Center (WORC)	150,000	150,000														
Completed	Carnegie Mellon University's Software Engineering Institute/AICERT	1,098,778	488,000	548,778													
Completed	Carnegie Mellon University / Software Industry Center	500,000	500,000														
Completed	Penn State - PENNTAP/SBOC on Top Technical Assistance	330,000	330,000														
Completed	Lehigh Valley Economic Development Corp./Allentown Digital District 2 vents	1,878,014	655,014	330,000	330,000	330,000	563,000										
Completed	KeyNet Alliance	500,000	500,000														
Completed	Arnie Foundation (Art Biz/PA Angel Business Training & Mktg Program)	50,000	50,000														
Completed	1-80 Connector Project (Allentown Blair County Development Corporation)	405,000	405,000														
Active	Idea Foundry	850,000		500,000	500,000	500,000	750,000	750,000	1,250,000	1,000,000	1,000,000	1,800,000	1,000,000	1,000,000			100,000
Active	The Technology Collaborative (formerly the Pittsburgh Digital Greenhouse & Robotics Foundry)	10,800,000															
Completed	Venture Investment Forum of Central Pennsylvania (VIF) (Bon Franklin Tech Center of Central/Northern PA)	300,000		150,000													
Completed	Collaborative Technology Network (DuBois Regional Medical Center)	80,000		80,000													
Completed	KeyStone Community Network, Inc.(KSCN)	70,000		70,000													
Completed	North-Central Six-County Telecom Infrastructure Development Project (North Central PA Regional Planning & Development Commission) aka Rplgwy Center for Business & Advanced Information Technology (CBAIT)	135,000		135,000													
Completed	Northwestern PA Angel Network (NEPAN)	15,800,000			3,000,000	3,000,000	3,000,000	2,500,000	2,500,000	1,500,000	1,500,000	1,500,000	850,000	850,000			
Completed	PA Assistive Technology Foundation (Triangle University)	104,609		104,609													
Completed	Bon Franklin Investment Partners(BFIP) Bon Franklin Technology Partners of S.E. PA	650,000		400,000	400,000		260,000										
Completed	Reading Community Foundation / Reading Digital District	280,000															
Completed	Brookland Rural Area Information (BRAN)	387,000			173,184												
Active	Innovation Partnership (IPart) - Bon Franklin Technology Partners Corporation	175,881															
Completed	PA Angel Network (PAN)	2,465,000															
Completed	Capital Area Intermediate Unit (CAIU)	480,000															
Completed	Great Valley Technology Institute (GVTI)	500,000															
Completed	PSU - Hydrogen Fueling Station	240,000															
Completed	Bucks County Housing Group (BSCG) Networking Academy	243,828															
Completed	Philadelphia Commercial Development Corp. (PCDC)	200,000															
Completed	Project THE/CDI, IPA State System of Higher Ed Foundation)	210,510															
Completed	Bedford County Drop Assoc. (Bedford Cnry. Veterans)	436,888															
Completed	Electronics Applications Center (EAC/NGCC)	398,000															
Completed	Information Entrepreneur Technology Initiative	500,000															
Completed	Green/Pesque Tolson	225,000															
Completed	Nanotechnology Commercialization Center	200,000															
Completed	Wall Street West (WSWV) - A WIRED North East PA (Bon Franklin Partners of NE PA (BF/PNEP))	3,000,000															
Completed	PENNTAP - Cluster-focused Technology Subcenter	1,425,000															
Completed	PA Cell War. Trails - The National Civil War Museum	285,000															
Completed	Geological Clinic - Geolink Educational Initiative	500,000															
Active	Pennsylvania Green Growth Partnership (Green Building Alliance & Philadelphia Univ)	4,500,000															
Completed	Radio Frequency Identification Center of Excellence (RFID CoE) - Penn State Erie, The Behrend College	500,000															
Active	PENNTAP - Emerging Enarow. Technologies-focused Assistants?	1,500,000															
Completed	PA Angel Network (PAN)	85,000															
Active	Idea Foundry	360,000															

**BFTDA Technology Development Grant Projects**  
*FY99-00 to Present*

STATUS	PROJECT NAME	TOTAL AMOUNT	FY99-00	FY00-01	FY01-02	FY02-03	FY03-04	FY04-05	FY05-06	FY06-07	FY07-08	FY08-09	FY09-10	FY10-11	FY11-12	FY12-13	FY13-14
Completed	Translational Medicine Commercialization Fund ("TransComm") / Institute for Hepatitis and Virus Research (IHVR)	100,000										100,000					
Completed	University City Science Center / QED Proof of Concept Pilot Program	400,000										200,000	100,000	100,000			
Completed	Southern Biobehavioral Knowledge Innovation Zone - Fifth Funding Cycle	100,000										100,000					
Completed	Greater Oakland Knowledge Innovation Zone - Fifth Funding Cycle	100,000										100,000					
Committed	Luckenwiese and Luzerne Knowledge Innovation Zone - Fifth Funding Cycle	200,000										200,000					
<b>TOTAL</b>		<b>\$67,692,449</b>	<b>\$ 2,998,637</b>	<b>\$ 4,988,405</b>	<b>\$ 2,884,776</b>	<b>\$ 5,882,003</b>	<b>\$ 7,310,832</b>	<b>\$ 6,957,896</b>	<b>\$ 3,889,000</b>	<b>\$ 4,615,000</b>	<b>\$ 5,873,000</b>	<b>\$ 6,245,000</b>	<b>\$ 2,500,000</b>	<b>\$ 2,250,000</b>	<b>\$</b>	<b>\$</b>	<b>\$ 223,000</b>

Ben Franklin Technology  
Partners Challenge Grants  
and Alternative Energy  
Development Program  
Grants

**Ben Franklin Technology Partners  
Challenge Grant and Alternate Energy Development Program Grant  
FY99-00 to Present**

STATUS	PROJECT NAME	TOTAL AMOUNT	FY00-01	FY01-02	FY02-03	FY03-04	FY04-05	FY05-06	FY06-07	FY07-08	FY08-09	FY09-10	FY10-11	FY11-12	FY12-13	FY13-14
Active	Ben Franklin Technology Partners of Central and Northern PA (BFTP/CNTP)-Challenge Grant	\$8,430,750	\$6,877,000	\$7,127,000	\$7,127,000	\$6,902,750	\$6,902,750	\$6,902,750	\$6,902,750	\$6,902,750	\$6,902,750	\$4,000,000	\$4,000,000	\$3,500,000	\$3,500,000	\$4,250,000
Active	Ben Franklin Technology Partners of Northeastern PA (BFTP/NEP)-Challenge Grant	\$8,430,750	\$6,877,000	\$7,127,000	\$7,127,000	\$6,902,750	\$6,902,750	\$6,902,750	\$6,902,750	\$6,902,750	\$6,902,750	\$4,000,000	\$4,000,000	\$3,500,000	\$3,500,000	\$4,250,000
Active	Ben Franklin Technology Partners of Southeastern PA (BFTP/CNTP)-Challenge Grant	\$8,430,750	\$6,877,000	\$7,127,000	\$7,127,000	\$6,902,750	\$6,902,750	\$6,902,750	\$6,902,750	\$6,902,750	\$6,902,750	\$4,000,000	\$4,000,000	\$3,500,000	\$3,500,000	\$4,250,000
Active	Innovation Works, the Ben Franklin Technology Partners of Southwestern PA (BFTP/CNTP)-Challenge Grant	\$8,430,750	\$6,877,000	\$7,127,000	\$7,127,000	\$6,902,750	\$6,902,750	\$6,902,750	\$6,902,750	\$6,902,750	\$6,902,750	\$4,000,000	\$4,000,000	\$3,500,000	\$3,500,000	\$4,250,000
Active	Ben Franklin Technology Partners of Central and Northern PA (BFTP/CNTP)-Alternative Energy Development Program	\$9,900,000									\$2,900,000		2,900,000	4,500,000		
Active	Ben Franklin Technology Partners of Northeastern PA (BFTP/NEP)-Alternative Energy Development Program	\$10,458,246									\$3,792,000		2,859,246	3,807,000		
Active	Ben Franklin Technology Partners of Southeastern PA (BFTP/CNTP)-Alternative Energy Development Program	\$11,145,603									2,796,000		3,293,000	5,055,603		
Active	Innovation Works, the Ben Franklin Technology Partners of Southwestern PA (BFTP/CNTP)-Alternative Energy Development Program	\$8,092,151									3,377,198		1,486,199	3,248,754		
<b>TOTAL</b>		<b>\$33,319,000</b>	<b>\$27,608,000</b>	<b>\$28,608,000</b>	<b>\$28,608,000</b>	<b>\$27,611,000</b>	<b>\$27,611,000</b>	<b>\$27,611,000</b>	<b>\$27,611,000</b>	<b>\$27,611,000</b>	<b>\$40,476,198</b>	<b>\$16,000,000</b>	<b>\$26,118,445</b>	<b>\$30,612,357</b>	<b>\$14,000,000</b>	<b>\$17,000,000</b>

# Venture Capital Company Investments

**BFTDA Venture Investment Projects (Companies)**

*FY99-00 to Present*

STATUS	PROJECT NAME	TOTAL AMOUNT	FY99-00	FY00-01	FY01-02	FY02-03	FY03-04	FY04-05	FY05-06	FY06-07	FY07-08	FY08-09
Completed	Pgh Digital Greenhouse/EDTDP	\$ 5,250,000	\$ 500,000	\$ 250,000	\$ 750,000	\$ 1,250,000	\$ 1,250,000	\$ 1,250,000				
Completed	Apile Web/Lighting Manufacturing	1,600,000		1,600,000								
Completed	BioMed Sciences	200,000		200,000								
Completed	e-Cruise, Inc.	250,000		250,000								
Completed	eschoolmail.com	500,000		500,000								
Completed	Salvage Direct	500,000		500,000								
Completed	Body Media	500,000			500,000							
Completed	Exscribe, Inc.	200,000			200,000							
Completed	MedCases, Inc.	500,000			500,000							
Completed	Wisdom Technologies Corp.	385,000			385,000							
Completed	RedSiren	1,000,000			500,000							
Completed	8TC Networks	250,000			250,000							
<b>TOTAL</b>		<b>\$11,185,000</b>	<b>\$ 600,000</b>	<b>\$ 3,600,000</b>	<b>\$ 2,835,000</b>	<b>\$ 2,000,000</b>	<b>\$ 1,250,000</b>	<b>\$ 1,250,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

# Venture Investments

**BFTDA Venture Investment Projects (Funds)**  
FY99-00 to Present

STATUS	PROJECT NAME	FY99-00	FY00-01	FY01-02	FY02-03	FY03-04	FY04-05	FY05-06	FY06-07	FY07-08	FY08-09	FY10-11	FY11-12	FY12-13	FY13-14
TOTAL AMOUNT															
Completed Special Project	Birchmere Ventures I, L.P.		3,000,000												
Completed Special Project	Commonwealth Capital Group, LLC		1,500,000												
Completed Special Project	Anthem Capital Management, Inc.		4,000,000												
Active Fund	SCV Management Corp./Select Capital Ventures I, L.P.			1,000,000	1,000,000										
Active Fund	Edison Partners V, LP			1,000,000	4,000,000										
Active Fund	Penn Venture Partners, L.P. (formerly called Pennsylvania Rural Opportunities Fund (PRO), L.P.), Team PA Foundation				500,000	500,000									
Active Fund	Murex Investments I, L.P.				2,500,000										
Active Fund	(PA Early Stage Fund Partners III) Novitas III				3,000,000										
Completed Special Project	Inverness Capital Partners				4,000,000										
Active Fund	Draper Triangle Partners II, LLC				500,000										
Active Fund	IP - Mid-Atlantic Angel Group I, L.P.				2,500,000										
Active Fund	Zon Capital Partners, L.P.				3,000,000										
Active Fund	Newspring Mezzanine Capital, L.P.				500,000		3,000,000								
Active Fund	Pittsburgh Equity Partners				1,000,000			500,000							
Inactive Fund	Plum Capital LLC (rescindible)*				3,000,000		1,000,000		1,500,000	1,500,000					
Inactive Fund	SVM Pennsylvania-Israel Development Fund (rescindible)*				1,000,000				1,000,000						
Active Fund	Fanston Capital Partners, LLC				1,000,000				1,000,000						
Active Fund	SJF Ventures				1,000,000					175,000					
Active Fund	Dreamt Ventures I-IV				2,000,000					250,000					
Inactive Fund	Leurel Capital				500,000					2,000,000					
Inactive Fund	Novitas Capital IV IP				4,000,000					4,000,000					
Active Fund	Mid-Atlantic Angel Group II, L.P.				1,000,000					1,000,000					
Active Fund	Riverfront Ventures Fund				1,500,000										1,500,000
<b>SPECIAL PROJECTS</b>															
Completed Special Project	Kathvet		1,000,000												
Completed Special Project	LaunchCyle, LLC			250,000				500,000							
Completed Special Project	Idea Foundry							250,000							
Completed Special Project	Phoenix IP Ventures/Ban Franklin Partners of Southeastern PA														
<b>TOTAL</b>			\$ 6,500,000	\$ 6,250,000	\$ 9,000,000	\$ 12,750,000	\$ 3,000,000	\$ 17,500,000	\$ 2,500,000	\$ 125,000	\$ 5,250,000	\$ 125,000	\$ -	\$ -	\$ 1,500,000

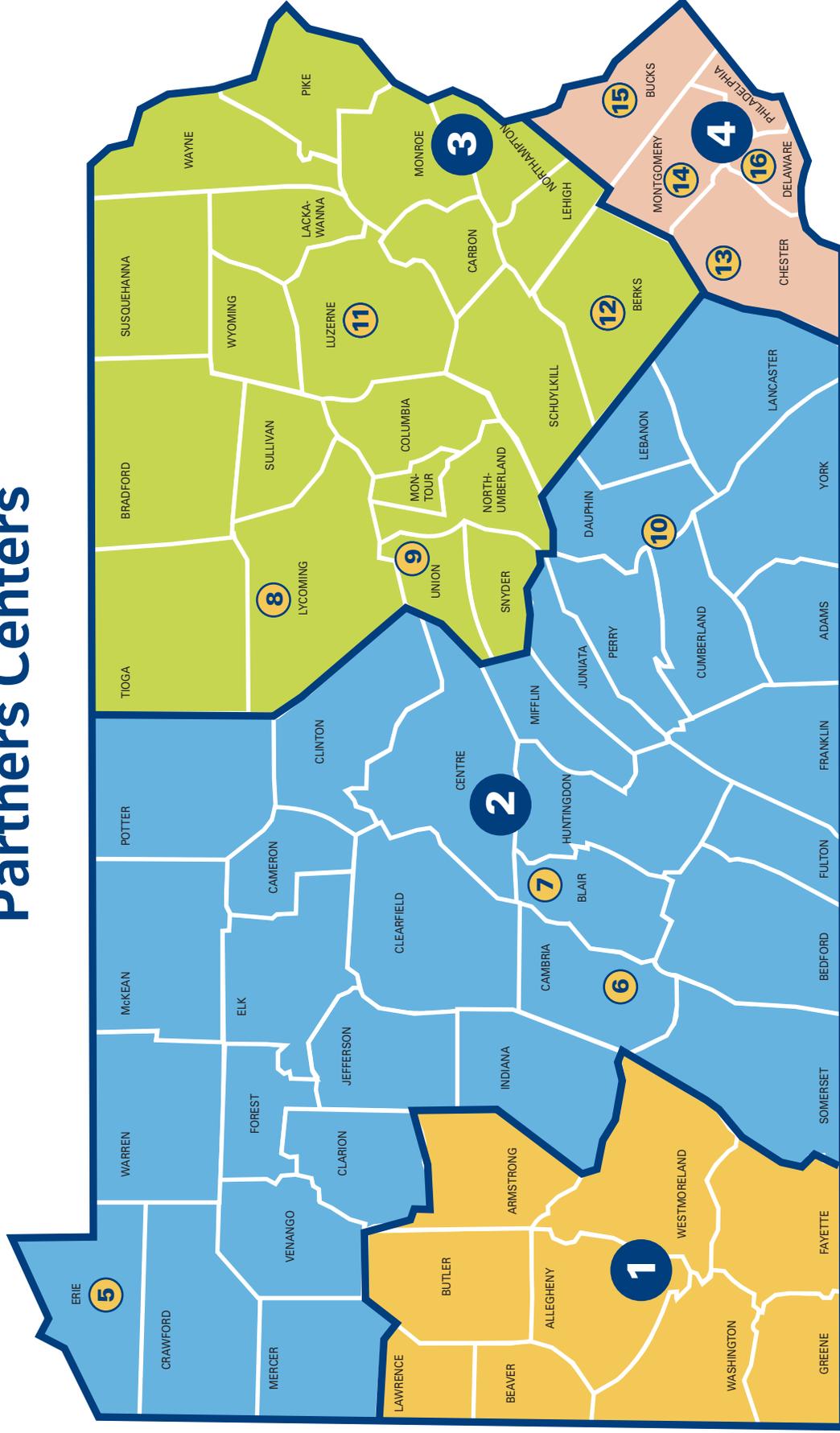
# Section 5

## BFTDA Map of Primary Service Territories

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# Ben Franklin Technology Partners Centers



Regional Offices	Satellite Offices
1. Innovation Works, Inc.	9. Lewisburg
2. BFTP of Central & Northern PA	10. Harrisburg
3. BFTP of Northeastern PA	11. Wilkes-Barre
4. BFTP of Southeastern PA	12. Reading
	13. Exton
	14. East Norriton
	15. Doylestown
	16. Chester