

— PENNSYLVANIA. BUILT TO ADVANCE. —

Commonwealth of Pennsylvania Consolidated Plan 2009-2013

FY 2012 Action Plan

Amendment I

June 25, 2016

Commonwealth of Pennsylvania

Tom Wolf, Governor

Department of Community & Economic Development

Dennis Davin, Secretary



newPA.com

Application for Federal Assistance SF-424 Version 02

*1. Type of Submission <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	*2. Type of Application <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	*If Revision, select appropriate letter(s): * Other (Specify)
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*3. Date Received:	4. Application Identifier:
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5a. Federal Entity Identifier:	*5b. Federal Award Identifier:
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State Use Only:

6. Date Received by State:	7. State Application Identifier:
----------------------------	----------------------------------

8. APPLICANT INFORMATION:

* a. Legal Name: Commonwealth of Pennsylvania

* b. Employer/Taxpayer Identification Number (EIN/TIN): 23-6003102	*c. Organizational DUNS: 088115720
---	---------------------------------------

d. Address:

*Street1: Commonwealth Keystone Building
 Street 2: 400 North Street, 4th Floor
 *City: Harrisburg
 County: Dauphin
 *State: PA
 Province:
 Country: USA *Zip/ Postal Code: 17120-0225

e. Organizational Unit:

Department Name: Department of Community and Economic Development	Division Name: Center for Community Financing
--	--

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Ms. First Name: Lauren
 Middle Name: Michele
 *Last Name: Atwell
 Suffix:

Title: Chief, Technical Support and Program Development Division

Organizational Affiliation:
 Center for Community Financing
 Department of Community and Economic Development

*Telephone Number: 717-720-7402	Fax Number: 717-214-5326
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*Email: latwell@pa.gov

Application for Federal Assistance SF-424

Version 02

9. Type of Applicant 1: Select Applicant Type: A. State Government

Type of Applicant 2: Select Applicant Type:

- Select One -

Type of Applicant 3: Select Applicant Type:

- Select One -

*Other (specify):

*10. Name of Federal Agency:

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.228

CFDA Title:

State-Administered Community Development Block Grant

*12. Funding Opportunity Number: N/A

*Title: N/A

13. Competition Identification Number: N/A

Title:

N/A

14. Areas Affected by Project (Cities, Counties, States, etc.):

Non-entitlement Areas of the Commonwealth

*15. Descriptive Title of Applicant's Project:

State-Administered Community Development Block Grant Program

Attach supporting documents as specified in agency instructions.

Application for Federal Assistance SF-424

Version 02

16. Congressional Districts Of:

*a. Applicant
Statewide

*b. Program/Project:
Statewide

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

*a. Start Date: 01/01/2012

*b. End Date: 12/31/2012

18. Estimated Funding (\$):

*a. Federal \$37,541,352.00

*b. Applicant

*c. State

*d. Local

*e. Other

*f. Program Income

*g. TOTAL \$37,541,352.00

***19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

a. This application was made available to the State under the Executive Order 12372 Process for review on

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372

*20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)

Yes No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

**I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: Mr.

*First Name: C.

Middle Name: Alan

*Last Name: Walker

Suffix:

*Title: Secretary, Department of Community and Economic Development

*Telephone Number: 717-787-3405

Fax Number: 717-783-4662

*Email: cawalker@pa.gov

*Signature of Authorized Representative: *C. Alan Walker* Date Signed: *1/30/12*

Application for Federal Assistance SF-424

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input type="checkbox"/> Application <input checked="" type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input type="checkbox"/> New <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Revision	* If Revision, select appropriate letter(s): E: Other (specify) _____ * Other (Specify): Change in Distribut _____
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* 3. Date Received: _____	4. Applicant Identifier: _____
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5a. Federal Entity Identifier: _____	5b. Federal Award Identifier: _____
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State Use Only:

6. Date Received by State: _____	7. State Application Identifier: _____
---	---

8. APPLICANT INFORMATION:

* a. Legal Name: Commonwealth of Pennsylvania	
* b. Employer/Taxpayer Identification Number (EIN/TIN): 38-3849352	* c. Organizational DUNS: 0881157200000

d. Address:

* Street1:	Commonwealth Keystone Building
Street2:	400 North St. 4th Floor
* City:	Harrisburg
County/Parish:	_____
* State:	PA: Pennsylvania
Province:	_____
* Country:	USA: UNITED STATES
* Zip / Postal Code:	17120-0225

e. Organizational Unit:

Department Name: Dept. Community and Econ Dev.	Division Name: Center for Community Financing
--	---

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Ms.	* First Name: Mary
Middle Name: J.	
* Last Name: Smith	
Suffix:	

Title: Chief, Technical Support and Program Develop
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Organizational Affiliation: Government
--

* Telephone Number: 717-214-9754	Fax Number: 717-214-5416
---	---------------------------------

* Email: majsmith@pa.gov

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

A: State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

US Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.239

CFDA Title:

State-Administered HOME Investment Partnership Program

*** 12. Funding Opportunity Number:**

NA

* Title:

NA

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

State-Administered HOME Investment Partnership Program

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="15,554,647.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="15,554,647.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

a. This application was made available to the State under the Executive Order 12372 Process for review on

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative:

* Date Signed:

Application for Federal Assistance SF-424

Version 02

9. Type of Applicant 1: Select Applicant Type: A. State Government

Type of Applicant 2: Select Applicant Type:

- Select One -

Type of Applicant 3: Select Applicant Type:

- Select One -

*Other (specify):

*10. Name of Federal Agency:

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.231

CFDA Title:

HUD Emergency Solutions Grant

*12. Funding Opportunity Number: N/A

*Title: N/A

13. Competition Identification Number: N/A

Title:

N/A

14. Areas Affected by Project (Cities, Counties, States, etc.):

Statewide

*15. Descriptive Title of Applicant's Project:

State-Administered HUD Emergency Shelter Grant

Attach supporting documents as specified in agency instructions.

Application for Federal Assistance SF-424

Version 02

16. Congressional Districts Of:

*a. Applicant
Statewide

*b. Program/Project: Statewide

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

*a. Start Date: 01/01/2012

*b. End Date: 12/31/2012

18. Estimated Funding (\$):

*a. Federal \$5,082,869.00

*b. Applicant

*c. State

*d. Local

*e. Other

*f. Program Income

*g. TOTAL \$5,082,869.00

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b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372

*20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)

Yes No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

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Authorized Representative:

Prefix: Mr. *First Name: C.

Middle Name: Alan

*Last Name: Walker

Suffix:

*Title: Secretary, Department of Community and Economic Development

*Telephone Number: 717-787-3405

Fax Number: 717-783-4662

*Email: cawalker@pa.gov

*Signature of Authorized Representative: *C. Alan Walker*

Date Signed: *1/30/12*

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State Use Only:

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8. APPLICANT INFORMATION:

* a. Legal Name: Commonwealth of Pennsylvania

* b. Employer/Taxpayer Identification Number (EIN/TIN): 23-6003102	*c. Organizational DUNS: DUNS # 08-811-5720
---	--

d. Address:

*Street1: 625 Forster Street
 Street 2: Room 1010, H & W Building, Division of HIV/AIDS
 *City: Harrisburg
 County: Dauphin
 *State: Pennsylvania
 Province:
 Country: United States of America *Zip/ Postal Code: 17120

e. Organizational Unit:

Department Name: Department of Health, Bureau of Communicable Diseases	Division Name: Division of HIV/AIDS
--	--

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: First Name: Joanne
 Middle Name:
 *Last Name: Valentino
 Suffix:

Title: Public Health Program Administrator

Organizational Affiliation:

*Telephone Number: (717) 783-0572 Fax Number: (717) 772-4309

*Email: jvalentin@pa.gov

Application for Federal Assistance SF-424

Version 02

9. Type of Applicant 1: Select Applicant Type: **A. State Government**

Type of Applicant 2: Select Applicant Type:

- Select One -

Type of Applicant 3: Select Applicant Type:

- Select One -

*Other (specify):

*10. Name of Federal Agency:

11. Catalog of Federal Domestic Assistance Number:

14-241

CFDA Title:

HOPWA Program

*12. Funding Opportunity Number: **Formula Grantee**

*Title: **HOPWA Program**

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Commonwealth of Pennsylvania

*15. Descriptive Title of Applicant's Project:

Housing Opportunities for People with AIDS (HOPWA)

Attach supporting documents as specified in agency instructions.

CERTIFICATIONS

General Certifications

In accordance with the applicable statutes and the regulations governing the Consolidated Plan regulations, the state certifies that:

Affirmatively Further Fair Housing

The state will affirmatively further fair housing, which means it has conducted an analysis of impediments to fair housing choice within the state, takes appropriate actions to overcome the effects of any impediments identified through that analysis, and maintains records reflecting that analysis and actions in this regard.

Anti-Displacement and Relocation Plan

The state will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under Section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug-Free Workplace

The state will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition.
2. Establishing an ongoing drug-free awareness program to inform employees about:
 - (a) The dangers of drug abuse in the workplace.
 - (b) The grantee's policy of maintaining a drug-free workplace.
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs.
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.
3. Making it a requirement that each employee engaged in the performance of the grant will receive a copy of the statement required by paragraph 1.
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will:
 - (a) Abide by the terms of the statement.
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction.
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant.
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee whom is so convicted:

- (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (b) Requiring such employee to participate satisfactorily in drug abuse assistance or rehabilitation program approved for such purposes by a federal, state, or local health, law enforcement, or other appropriate agency.
7. Making a good faith effort to continue to maintain a drug-free workplace through the implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying

To the best of the state's knowledge and belief:

1. No federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
2. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
3. It will require that the language of paragraph 1 and 2 of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

Authority of State

The submission of the Consolidated Plan is authorized under state law and the state possesses the legal authority to carry out the programs under the Consolidated Plan for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with Plan

The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3

It will comply with Section 3 of the Housing and Urban Development Act of 1968 and implementing regulations at 24 CFR Part 135.



C. Alan Walker
Secretary
Department of Community and Economic Development

Date 1/30/12



Terri A. Matio
Chief Financial Officer
Department of Health

Date 1/23/12

Specific CDBG Certifications

The state certifies that:

Citizen Participation

It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR §91.115 and each unit of general local government that receives assistance from the state is or will be following a detailed citizen participation plan that satisfies the requirements of 24 CFR §570.486.

Consultation with Local Governments

It has or will comply with the following:

1. It has consulted with affected units of local government in the non-entitlement area of the state in determining the method of distribution of funding.
2. It engages in, or will engage in, planning for community development activities.
3. It provides, or will provide, technical assistance to units of local government in connection with community development programs.
4. It will not refuse to distribute funds to any unit of general local government on the basis of the particular eligible activity selected by the unit of general local government to meet its community development needs, except that a state is not prevented from establishing priorities in distributing funding on the basis of the activities selected.

Local Needs Identification

It will require each unit of general local government to be funded to identify its community development and housing needs, including the needs of low-income and moderate-income families, and the activities to be undertaken to meet such needs.

Community Development Plan

Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objectives of Title I of the Housing and Community Development Act of 1974, as amended. (See 24 CFR 570.2 and 24 CFR part 570).

Use of Funds

It has complied with the following criteria:

1. Maximum Feasible Priority - With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan also includes activities which the grantee certifies are to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available.
2. Overall Benefit - The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year 2011 shall principally benefit persons of low-income and moderate-income in a manner that ensures that at least 70% of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments - The state will require units of general local government that receive CDBG funds to certify to the following:

It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 Loan Guarantee funds, by assessing any amount against properties owned and occupied by persons of low-income and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force

It will require units of general local government that receive CDBG funds to certify that they have adopted and are enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in nonviolent civil rights demonstrations; and
2. A policy of enforcing applicable state and local laws against physically barring entrance to or exit from a facility or location which is the subject of such nonviolent civil rights demonstrations within its jurisdiction.

Compliance with Anti-Discrimination

The grant will be conducted and administered in conformity with Title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Compliance with Laws

It will comply with applicable laws.

C. Alan Walker
C. Alan Walker
Secretary
Department of Community and Economic Development

1/30/12
Date

Specific HOME Certifications

The state certifies that:

Tenant-Based Rental Assistance

If it intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the state's Consolidated Plan.

Eligible Activities and Costs

It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §92.205 through §92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Appropriate Financial Assistance

Before committing any funds to a project, the state or its recipients will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other federal assistance than is necessary to provide affordable housing.

C. Alan Walker

C. Alan Walker

Secretary

Department of Community and Economic Development

Date

6/25/14

Specific ESG Certifications

The Emergency Solutions Grantee certifies that:

1. The requirements of 24 CFR 576.21(a)(4), which provide that the funding of homeless prevention activities for families that have received eviction notices or notices of termination of utility service meet the following standards: (A) that the inability of the family to make the required payments must be the result of a sudden reduction in incomes; (B) that the assistance must be necessary to avoid eviction of the family or termination of the services to the family; (C) that there must be a reasonable prospect that the family will be able to resume payments within a reasonable time; and (D) that the assistance must not supplant funding for pre-existing homelessness prevention activities from any source.
2. The requirements of 24 CFR 576.25(b)(2) concerning the submission by nonprofit organizations applying for funding of a certification of approval of the proposed projects(s) from the unit of local government in which the proposed project is located.
3. The requirements of 24 CFR 576.53 concerning the continued use of buildings for which the ESG funds are used for rehabilitation or conversion of the buildings for use as emergency shelters for the homeless; or when funds are used solely for operating costs or essential services, concerning the population to be served.
4. The building standards requirement of 24 CFR 576.55.
5. The requirements of 24 CFR 576.56, concerning assistance to the homeless.
6. The requirements of 24 CFR 576.57, other appropriate provisions of 24 CFR Part 576, and other applicable federal law concerning nondiscrimination and equal opportunity.
7. The requirements of 24 CFR 576.59(b) concerning the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.
8. The requirements of CFR 576.59 concerning minimization of the displacement of persons as a result of a project assisted with these funds.
9. The requirements of 24 CFR 576.56(a) and 576.65(b) that grantees develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under the project assisted under the ESG Program and that the address or location of any family violence shelter project assisted with ESG funds will not be made public, except with written authorization of the person or persons responsible for the operation of the shelter.
10. The requirement of that recipients involve, to the maximum extent practicable, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG Program, and in providing services for occupants of these facilities as provided by 24 CFR 576.56(b)(2)
11. The new requirement of the McKinney Act (42 USC 11352) to develop and implement, to the maximum extent practicable and where appropriate, policies and protocols for the

discharge of persons from publicly-funded institutions of systems of care (such as healthcare facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons. Further understand that state and local governments are primarily responsible for the care of these individuals, and that ESG funds are not to be used to assist such persons in place of state and local resources.

I certify that the Commonwealth will comply with the requirements of 24 CFR Part 24 concerning the Drug Free Workplace Act of 1988.

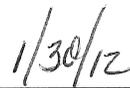
I certify that the Commonwealth will comply with the provisions of, and regulations and procedures applicable under 24CFR 576.57(e) with respect to the environmental review responsibilities under the National Environmental Policy Act of 1969 and related authorities as specified in 24 CFR Part 58 as applicable to activities of nonprofit organizations funded directly by the Commonwealth. The Commonwealth agrees to assume the Department's responsibility and authority as set forth in 24 CFR 576.57(e) for acting on the environmental certifications and requests for the release of funds submitted to the Commonwealth by local government recipients.

I certify that the Commonwealth will ensure the provision of the matching funds required by 24 CFR 576.561 and 42 USC 11375, including a description of the sources and amounts of such supplemental funds, as provided by the Commonwealth, units of general local government or nonprofit organizations.

I further certify that the submission of a complete and approved Consolidated Plan and its relevant certifications, which is treated as the application for an Emergency Solutions Grant, is authorized under Commonwealth law, and that the Commonwealth possesses legal authority to fund the carrying out of grant activities by units of general local government and nonprofit organizations in accordance with applicable laws and regulations of the Department of Housing and Urban Development.



C. Alan Walker
Secretary
Department of Community and Economic Development



Date

HOPWA Certifications

The state HOPWA grantee certifies that:

Activities

Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building

Any building or structure assisted under the program shall be operated for the purpose specified in the Plan:

1. For at least ten (10) years in the case of any building or structure purchased, leased, rehabilitated, or converted with HOPWA assistance;
2. For at least three (3) years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.



Terri Matio
Chief Financial Officer
Department of Health

Date 1/23/12

Table of Contents

SF424s – Commonwealth of Pennsylvania

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Amendment I – 6-25-2016 A minor amendment is being made to the 2012 Action Plan as submitted. This amendment does not constitute a substantial amendment as described in the Commonwealth of Pennsylvania’s Citizen Participation Plan to Develop the Consolidated Plan and Related Documents. This has been determined because the amendment only identifies \$200,000 from PHFA’s allocation being re-directed from their Multi-family rental program to the Tenant Based Assistance Program, under the \$1 million threshold for a substantial amendment. The activity has been part of the agency’s rental program tool box since 2008, thus not a new method of distribution. This activity was covered in the 2009-2013 Consolidated Plan and has been a continuous program of PHFA

Substantial Amendment I – May, 2014 The Commonwealth has increased its allocation to PHFA for the HOME funds to not more than 75% of the entire 2012 allocation. As the Method of Distribution of the HOME funding has changed due to needing to meet the deadline of commitment of HOME funding by June 30, 2014, changes need to be made to those areas in the original Action Plan. These changes, based on the Pennsylvania Citizen Participation Plan for the Consolidated Plan, constitute a significant change in the distribution of funds under the program and thus necessitate a substantial amendment to the original action plan.

Executive Summary

The Consolidated Plan for the Commonwealth of Pennsylvania (or Consolidated Plan) describes the efforts of the Commonwealth in addressing the housing, community and economic development needs of its constituents. The Consolidated Plan is intended to outline the goals, strategies and resources to be utilized in addressing those needs as well as related information on performance in realizing these goals.

National Objectives

The Commonwealth of Pennsylvania Consolidated Plan is mandated by the U.S. Department of Housing and Urban Development (HUD). The Consolidated Plan affirms and addresses the objectives under:

1. Title I of the Housing and Community Development Act of 1974, as amended.
2. National Affordable Housing Act of 1990, which includes the needs of persons living with HIV/AIDS.
3. Stewart B. McKinney Homeless Assistance Act of 1987.

Lead Agency Designation and Organizational Structure

The Consolidated Plan describes the priorities and guidelines of five federally mandated programs, administered by the Pennsylvania Department of Community and Economic Development (DCED) and the Pennsylvania Department of Health, namely: the Community Development Block Grant (CDBG), HOME Investment Partnerships program and the Emergency Shelter Grant (ESG) program, the Neighborhood Stabilization Program and the Housing Opportunities for Persons with AIDS (HOPWA) program. DCED administers the CDBG, HOME, ESG, HPRP and NSP programs. The CDBG, HOME, H-ESG, HPRP and NSP programs are administered and monitored from the main office of DCED in addition to its regional field offices. A map of the service area of each regional office is illustrated in [Appendix J](#). The HOPWA Program is administered and monitored by the Pennsylvania Department of Health.

Fiscal Year 2012 Allocation

Community Development Block Grant	\$37,539,123
HOME Investment Partnership	\$15,554,647
HEARTH -Emergency Solutions Grants Program	\$ 5,816,323
Housing Opportunities for Persons with AIDS	<u>\$ 1,910,722</u>
TOTAL HUD Funding	\$60,820,815

Commonwealth Policy

Pennsylvania's Consolidated Plan provides a strategy and describes how federal funds will be used to meet community development and housing needs. This document serves as both a plan and an annual application to the U.S. Department of Housing and Urban Development (HUD) for CDBG, HOME, ESG and HOPWA programs. The program year for the Community Development Block Grant, HOME and Emergency Shelter Grant begins on January 1 of each year. The HOPWA program year start date is July 1.

The Commonwealth's overarching direction for its Consolidated Plan is outlined in the mission of DCED. The mission is applicable to the Commonwealth's efforts to provide housing and community development through both federal and state resources.

“The mission is to foster opportunities for communities and businesses to succeed and thrive in a global economy, thereby enabling Pennsylvanians to achieve a superior quality of life.”

In order to execute this mission for housing and community development programs, the Consolidated Plan establishes 13 goals. In pursuing these goals, the Commonwealth has also established priorities for the use of its resources. Those priorities emphasize targeting of activities, leveraging other resources and public investments, and promoting community-changing impact.

The Commonwealth has been a leader in developing and utilizing performance measures, serving as a pilot state to develop outcome measures in 2004. DCED also participated in a national effort to develop performance and outcome measures, led by the Council of State Community Development Agencies (COSDA) and staff from HUD, which developed a realistic and effective set of performance measures for community development and housing programs. That effort helped establish HUD Performance Measures that were published as final in early 2006.

Pennsylvania became the first state in the nation to implement the use of HUD's Performance Measures, ahead of HUD's mandated implementation date. DCED implemented these performance measures for all projects and activities funded under the CDBG, HOME and ESG programs as of January 1, 2006.

This Plan focuses on outcome measures – factors that can be qualified and monitored in a manner that makes sense to program administrators, grantees, and the public. These outcome measures go beyond just workload measures, and demonstrate results that are achieved by housing and community development activities. The objectives and outcomes for the programs funded under the 2009-2013 Consolidated plan formula funding are identified in detail in the 2012 Action Plan – Performance Measurement Section.

Community Development Block Grant

DCED will administer the CDBG Program by allocating 85 percent of the funds through a formula established by state Act 179. Another 13 percent will be allocated through a competitive process. The balance of the funds (up to 2 percent) will be used for DCED's administrative expenses, including support for the Commonwealth's

Section 108 loan initiative. Of the funds used for housing, 6 percent will be used for single-family rehabilitation assistance and 1 percent may be used for support services. DCED's public input process for the Consolidated Plan includes involvement and consideration of needs of persons with disabilities, consistent with the New Freedom Initiative. DCED directs its grantees to also address these needs in considering use of CDBG funds.

HOME Program

DCED will administer the HOME Program but will transfer a portion of the Commonwealth's allocation to the Pennsylvania Housing Finance Agency (PHFA) as a sub-recipient to administer large (ten units or more) rental projects and homebuyer projects. PHFA will not receive more than 75 percent of the 2012 HOME allocation funds for rental housing construction and development. *As part of its rental program tools, PHFA will utilize 2012 funding for Tenant Based Rental Assistance to aid income qualified tenants find and/or stay in affordable rental units.* Fifteen percent (15%) of the Commonwealth's allocation will be used for rental housing that is owned, sponsored or developed by Community Housing Development Organizations (CHDO). This CHDO set aside is included in the 75% rental housing allotment that will be administered by PHFA. PHFA will also administer a predevelopment loan initiative for CHDOs, making available \$250,000 for feasibility, market analysis and site control costs. PHFA will perform the necessary underwriting reviews on all HOME rental projects and perform all subsidy layering reviews required by the HOME final rule of July 24, 2013. The balance of the HOME funds will be administered by DCED through a competitive application process performed by DCED. The capacity of the applicant, nature of the project, and compliance with the targeting, leverage, and impact requirement will be determining factors in the recommendation for funding. Ten percent of HOME funds may be used for administrative costs. DCED will share these costs with PHFA and the local administrators.

HEARTH-Emergency Solutions Grant Program

DCED will administer the new HEARTH-Emergency Solutions Grant (H-ESG) Program through a competitive application process. H-ESG funds will provide Homelessness Prevention, Rapid Re-housing, Street Outreach, Shelter, Homeless Management Information System (HMIS) activities and program administration. DCED will reserve half of the 7.5 percent maximum allowed for administration to be used for state-level administration purposes.

Once HUD issues supplemental guidance on the H-ESG Program, DCED will provide a substantial amendment to this Action Plan.

American Recovery and Reinvestment Act and Related Activities

Since July 2008, DCED received significant new resources for housing and community development activities to address the housing and economic decline occurring in Pennsylvania as well as the nation. The Housing and Economic Recovery Act of 2008 provided funding through the Neighborhood Stabilization Program (NSP); the American Recovery and Reinvestment Act of 2009 (ARRA) provided DCED with a supplemental allocation of Community Development Block Grant – Recovery (CDBG-R) funds and Homelessness Prevention and Rapid Re-housing Program (HPRP) funds. The Commonwealth intends to apply for an additional allocation of NSP3 funds provided under the Dodd-Frank Act. The Pennsylvania Housing Finance Agency will either serve as the applicant or play a lead role in implementing the use of NSP3 funds. These funds, governed by previously submitted Action Plan amendments, will continue to be spent during 2012.

DCED received an allocation of \$59.6 million in 2009 from NSP and anticipates receiving an additional \$5 million in 2011 to address the housing foreclosure and mortgage crisis due to problematic lending

practices. These NSP funds will be used for a variety of activities from acquisition to rehabilitation or new construction, and resale of foreclosed, vacant, and blighted properties, as well as revitalization of rental housing to stabilize those neighborhoods in greatest need. DCED will continue to administer its allocation of NSP funds in accordance with the Action Plan approved for NSP funds. DCED has 18 months to initially use these funds, and will allow grantees to use program income funds for similar purposes in subsequent years.

DCED's allocation of \$12.4 million in 2009 from CDBG-R will allow local governments to undertake a variety of housing and community development activities to stimulate economic recovery and job creation/retention. These funds are being used over a three-year period for housing; water, sanitary sewer, and storm water infrastructure; street, road and streetscape/sidewalk infrastructure; public facilities; recreation improvements; and, economic development activities.

DCED received an allocation of \$23.4 million in 2009 from HPRP to support financial assistance and services to prevent individuals and families from becoming homeless or help those who are experiencing homelessness to be quickly re-housed and stabilized. Funds will be used for the following activities to address the jurisdiction's homelessness needs: financial assistance with rental payments; security deposits; utility payments or deposits; arrearages; moving costs; and, motel/hotel vouchers; and housing relocation and Stabilization Services including case management; outreach and engagement; housing search and placement; legal services; and, credit repair.

Housing Opportunity for People with AIDS (HOPWA)

The Department of Health (DOH), Bureau of Communicable Diseases, Division of HIV/AIDS (Human Immunodeficiency Virus/Acquired Immune Deficiency Syndrome) will administer the HOPWA Program by allocating the funds on a formula basis to six of the seven regional HIV Planning Coalitions/Fiscal Agents. The Philadelphia and Pittsburgh regions receive separate allocations directly from HUD. Additionally, the State of Pennsylvania in mutual agreement with the City of Allentown for the 2011 HOPWA grant year will serve as the grantee for HOPWA funds to be used for housing support and related services on behalf of the Commonwealth's designated counties (Carbon, Lehigh, and Northampton) in the Allentown-Bethlehem-Easton, PA-NJ EMSA (Eligible Metropolitan Statistical Area). DOH presumes that this will be the case going forward and thus the funding is included in the overall amount for the HOPWA program. The Southwestern PA AIDS Planning Coalition receives funds from the Commonwealth for four counties in their region. The formula to allocate these funds is primarily based on the number of persons living with AIDS in each region. Outcome measures are the number of persons living with HIV/AIDS and households receiving assistance, based on established objectives. The HOPWA Program will provide decent affordable housing to benefit low-income persons living with HIV/AIDS by providing services to a projected 606 households during the 2012 program year. For the time period of 2010 to 2013, it is projected to offer HOPWA services to 2720 households. Although a detailed program plan will be submitted to HUD outlining specific uses of 2012 funds by May 2012, the DOH estimates, excluding administrative costs, that funds will be spent as follows: 83 percent for rental assistance; 10 percent for support services; 7 percent for operating and other costs. 606 households are projected to receive HOPWA services.

The goal for the 2010 Consolidated Plan year was to provide housing assistance to 544 households. During that HOPWA grant, 451 households received housing assistance.

Substantial Amendment (June, 2014)

In May of 2014, DCED determined a need to complete a Substantial Amendment to the Commonwealth's 2012 Action Plan as an increased amount of funding was necessary to be given to the Pennsylvania Housing Finance Agency and some open housing rehabilitation contracts to be able to meet the two year commitment deadline of June 30, 2014 for the HOME program. Due to the rewriting of the HOME Investment Partnerships Program

guidelines by HUD, which is known as the HOME Final Rule of 2013, a number of regulations changed that had a dramatic effect on the expenditure and commitment of HOME funds for the Commonwealth. This stalled the grant process for a number of months as DCED rewrote its guidelines and informed grantees of the changes. In order to meet the commitment deadline imposed by statute, DCED had to change its stated Method of Distribution thus creating the need to complete a Substantial Amendment. The changes that are made may be found on page 33

Also the actual allocation amounts for the 2012 grants have been updated throughout the document to represent the final amount received from HUD for each program.

DCED has also undergone a total revamping of its monitoring for the federal programs. These changes are described in the Monitoring Section located on page 46.

Amendment (June 25, 2016)

A minor amendment is being made to the 2012 Action Plan as submitted. This amendment does not constitute a substantial amendment as described in the Commonwealth of Pennsylvania's Citizen Participation Plan to Develop the Consolidated Plan and Related Documents. This has been determined because the amendment only identifies \$200,000 from PHFA's allocation being re-directed from their Multi-family rental program to the Tenant Based Assistance Program, under the \$1 million threshold for a substantial amendment. The activity has been part of the agency's rental program tool box since 2008, thus not a new method of distribution. This activity was covered in the 2009-2013 Consolidated Plan and has been a continuous program of PHFA. Changes covered under this amendment will be italicized to show the reader where the additional information has been added.

ACTION PLAN FOR FEDERAL FISCAL YEAR 2012

This document is the Commonwealth's revised Action Plan for Federal Fiscal Year (FFY) 2012 and the program year that begins on January 1, 2012. The 2012 Action Plan is an update to the Consolidated Plan for FFY 2009 – 2013. The Consolidated Plan combines the required Plans and application materials for HUD's housing and community development programs.

The complete Consolidated Plan contains a description of housing and community development needs and resources, establishes strategies, and prioritizes the use of housing and community development dollars before they are spent.

DCED is responsible for developing the Consolidated Plan and related documents as well as administering three of four HUD programs included in the Plan.

Copies of this revised Action Plan, as well as the five-year Consolidated Plan for FFY 2009-2013 have been distributed to, and should be on file, in the five DCED regional offices. The 67 County Board of Commissioners and members of the Community Development Practitioners Advisory Committee were notified of the document's availability on the internet. Pennsylvania's 27 District Libraries have copies. If copies of either document cannot be obtained at these locations, they are available by contacting Dan Fox in the DCED Center for Community Financing, 400 North Street, 4th Floor, Commonwealth Keystone Building, Harrisburg, PA, 17120, or by telephoning 717-720-7412. Text telephone calls can be placed through the Pennsylvania Relay System at 800-654-5984.

Public Participation Process

Forty-four of 67 Pennsylvania counties were impacted by major flooding and rain damage as a result of Hurricane Irene and Tropical Storm Lee which struck the Commonwealth as back to back disasters on September 3, 2011 and September 12, 2011 respectively. Historic flooding has affected thousands of Pennsylvania citizens and businesses. The President implemented federal disaster declarations for Hurricane Irene on September 3, 2011 (FEMA-4025-DR-PA), and for Tropical Storm Lee on September 12, 2011 (FEMA-4030-DR-PA).

DCED is working closely with the U.S. Department of Housing and Urban Development (HUD), the Federal Emergency Management Agency (FEMA) and many state agencies to address the devastation sustained by over 43,000 households. This plan involves the repair or substantial rehabilitation of many households in communities where severe storm and flood damage has rendered available rental housing stock non-existent. Additionally, financial support has been offered to approximately 8,500 businesses, nonprofits, and churches to assist them with rebuilding and opening their facilities.

In light of the extensive efforts necessary to help Commonwealth communities rebound, it is necessary to allow a certain degree of flexibility in the use of federal funding in order to help individuals, families and businesses safely return to suitable housing and places of commerce and potentially mitigate further damage to local economies across the Commonwealth.

In developing the Consolidated Plan, the DCED continued to use its broad public participation process. That process has been improved upon by making better use of the Internet and electronic technologies. The five Regional Housing Advisory Committees met in December, 2011 with more than 40 members participating in the public meetings. Their regional concerns about targeting and leveraging resources, disaster relief efforts, changes to the homeless assistance process and monitoring impact are included in this plan.

DCED again provided web-based public meetings on December 19, 2011 and January 23, 2012 and has made the revised 2012 Action Plan available on DCED's website at <http://www.newpa.com/strengthen-your-community/technical-assistance>.

The Pennsylvania Housing Advisory Committee (PHAC) held its meeting on January 26, 2012. The meeting was open to the public. The PHAC's agenda included a discussion of the Disaster Recovery efforts, plans for how the new Emergency Solutions Grants Program will be administered in Pennsylvania, as well as updating the Commonwealth Analysis of Impediments to Fair Housing.

In accordance with the federal regulations at 24 CFR 570.495, the Commonwealth has met its Citizen Participation requirements for the 2012 Program funds by providing citizens an opportunity to comment on the use of federal funds. For 2012, DCED received from HUD flexibility accorded under the regulations related to an expedited Citizen Participation Process, to address unusual and extenuating circumstances such as natural disasters. Additionally, Consolidated Plan conforming amendments (to Parts 91 and 576) were issued under an Interim Rule for public comment on December 5, 2011 and will not be finalized before the spring of 2012. In order to meet the deadline for submission of the 2012 Action Plan, this document does not contain revised elements detailed in the recently issued Interim Rule. The Commonwealth conducted a 15-day public comment period on its revised 2012 Action Plan. The Commonwealth intends to revise and resubmit the 2012 Action Plan once HUD has issued finalized guidance in this matter and regarding the new HEARTH-Emergency Solutions Grant Program. The public comment period began on January 13, 2012 and concluded on January 27, 2012. DCED published a summary of the Action Plan in the *Pennsylvania Bulletin* on January 13, 2013. A detailed summary of the public comments received is included as [Appendix I](#) to the Action Plan. The summary was circulated in general newspapers in the following locations on January 13, 2012:

Johnstown
Erie

Lancaster
West Chester

Scranton
Butler

It was advertised that persons with disabilities, and organizations representing persons with disabilities, would be given accommodations in order to be involved in the citizen participation process for the Consolidated Plan as per the federal New Freedom Initiative. This is an effort to remove barriers to community living that are present in the lives of persons with disabilities. The objective includes the promotion of full access to community life.

County Commissioners: A notice of website copy availability was provided to the Chairperson of each of the Commonwealth's 67 Counties' Board of Commissioners.

Pennsylvania Department of Community and Economic Development Regional Offices:

Southeast Regional Office – Philadelphia

Northeast Regional Office – Scranton

Central Regional Office – Harrisburg

Southwest Regional Office – Pittsburgh

Northwest Regional Office – Erie

Pennsylvania's 27 District Libraries: B.F. Jones Memorial Library, Aliquippa Allentown Public Library, Allentown Altoona Area Public Library, Altoona Centre County Library, Bellefonte Bethlehem Area Public Library, Bethlehem Conococheague District Library, Chambersburg, Clarion District Library, Clarion, Bucks County Free Library, Doylestown Easton Area Public Library, Easton Dauphin County Library, Harrisburg Cambria County Library, Johnstown Lancaster County Library, Lancaster Delaware County Library, Media Monessen Public Library, Monessen New Castle Public Library, New Castle, Montgomery County-Norristown

Public Library, Norristown Free Library of Philadelphia, Philadelphia Carnegie Library of Pittsburgh, Pittsburgh Pottsville Free Public Library, Pottsville Reading Public Library, Reading Scranton Public Library, Scranton Warren Public Library, Warren Citizens Library, Washington, Chester County Library, Exton ; Osterhout Free Library, Wilkes-Barre James V. Brown Library, Williamsport Martin Memorial Library, York

In addition, copies of the entire Consolidated Plan 2009-2013 and Action Plan 2012 are available on DCED's website at <http://www.newpa.com/strengthen-your-community/technical-assistance/index.aspx> as well as by request for paper copies. Information about its availability is sent to all the RHAC members, PHAC members, the Community Development and Housing Practitioners Committee, Pennsylvania's local government associations and advisory committees, the Governor's Advisory Commission on Latino Affairs, the Governor's Advisory Commission on African-American Affairs, the Governor's Advisory Commission on Asian-American Affairs, the Commission for Women, the Pennsylvania Human Relations Commission, and the Pennsylvania Historical and Museum Commission.

Substantial Amendment Public Participation

DCED made the draft Substantial Amendment available on May 24, 2014 via its website and accepted comments through June 23, 2014. The draft Substantial Amendment was posted at the following website: <http://www.newpa.com/> the notice of the draft document appeared in the *Pennsylvania Bulletin* on May 24, 2014 and was sent to the following individuals and organizations:

- 6 major newspapers of mass circulation statewide
- 27 District Libraries across the state including the State Library
- CDBG, HOME, & ESG administrators in non-entitlement counties and municipalities statewide
- State associations of Counties, Cities & Municipalities, Boroughs, and Townships
- Members of PA Housing Advisory Committee and Regional Housing Advisory Committees
- Members of Community Development and Housing Practitioners Advisory Committee
- Pennsylvania Association of Housing and Redevelopment Agencies
- DCEDs Regional Offices

Additionally, DCED submitted the document for translation into Spanish and was posted on its website. All final copies of the Substantial Amendment (English and Spanish) may be found on the website upon the approval from HUD. DCED's website allows for the use of machines that aid the disabled to read the documents, so those with impairments may access the document.

Public Meeting for the Substantial Amendment

A public hearing was held on June 17, 2014 via the web. All of the above groups were notified.

Comments Received

Only one person, aside from DCED staff, attended the public hearing. No comments were received during the public hearing or through the citizen comment period.

Amendment – No Citizen Participation is required

The Commonwealth's Citizen Participation Plan for the Consolidated Plan and Amendments does not require any citizen comment period for amendments. Notification via the PA Bulletin was made on June 25, 2016. Also

a CD & H Alerts went out to all grantees and the citizen participation contact lists on July 8, 2016 informing them of the changes being made to the HOME program. A copy of the amended Action Plan was placed on DCED's website – www.newPA.com/library Please scroll to the bottom of the home page.

DISTRIBUTION OF FEDERAL RESOURCES AND HOUSING GOALS FOR 2012

The following sections of the Consolidated Plan constitute the Action Plan for FFY 2012. Following the summary information about use of funds, the Commonwealth provides the descriptions about how federal resources will be distributed.

Specific Annual Objectives – Housing Uses and Goals

Housing Priorities

In 2006, the Commonwealth began implementing a new set of housing priorities jointly with PHFA. Those housing priorities were applied to the selection criteria for the HOME Program and the primary state-funded, competitive housing programs, including DCED's Core Communities Housing Program (CCHP) and PHFA's Homeownership Choice Program. The Commonwealth expanded the use of these criteria to select its grant awards to all state and federal housing and community development programs. These priorities also further clarify the mission statement of the Consolidated Plan.

With the establishment of the Commonwealth's Targeting, Leveraging and Impact (TLI) priorities in 2006, the process for prioritizing how federal funds would be distributed to impact community development and especially housing, has largely evolved into a more flexible, and truly effective partnership between DCED and local governments. This set of priorities steps beyond what had been a focus on income levels and household tenure types and composition. That approach, because of the overwhelming needs which cannot be met with the available funds, often degenerated into a first come-first served approach. This approach scattered funds vary widely, but never reached a point of critical mass that could revitalize or stabilize communities in decline.

Under this TLI approach, funds can be directed to projects and uses that will have a greater effectiveness and be spent more thoughtfully. While difficult to quantify, DCED seeks a greater impact through these priorities while still meeting the applicable federal requirements for the use of these funds. Ultimately, the TLI approach provides the opportunity fund better designed projects and more effectively use the funds.

While initially applicable to housing projects, the TLI approach has been expanded to other community development activities and state-funded programs as well.

As its highest priority, the Commonwealth will seek to promote diversity and comprehensive community development strategies. The Commonwealth will promote fair housing through diversity of race, ethnicity, income level, gender, and/or disability status within a community. The Commonwealth will also seek to support and assist projects that are integral to a comprehensive community development strategy. These strategies should work towards developing a continuum of housing types and income levels and should be developed in collaboration with the full range of citizens, community organizations, businesses, and government entities. Such community development strategies should be focused on enhancing the quality of life for all citizens.

In allocating limited public resources, the Commonwealth will use the following specific priorities to guide its selection of applicants. The grant selection process for DCED and PHFA will prioritize applications from those receiving federal funds and state matching funds that meet at least one, and preferably several, of the following priorities in their applications:

1. Effective targeting of resources
2. Leveraging other funds and supportive efforts from other programs;

3. Demonstrating a significant impact on the quality of life in a community.

These factors are further described below. Each application for funding may not meet all three criteria. The more that an application can demonstrate responsiveness in these areas, the more likely it will be evaluated higher in the funding consideration process and receive approval.

Because of severe storm and flood damage caused by Hurricane Irene and Tropical Storm Lee in August and September 2011 and the continuing stagnant housing market, the Commonwealth will sharpen its priorities to assist communities to restore affordable housing stock. Additionally, a substantial decrease in both CDBG and HOME allocations for 2012 necessitate that DCED prioritize funding for housing in this manner:

1. Rehabilitation of existing owner-occupied housing
2. Multifamily rental housing consisting of 10 units or less
3. Homebuyer initiatives
4. Construction of new single-family homes

The Commonwealth will continue to provide 35 percent of its HOME allocation in 2012 to the Pennsylvania Housing Finance Agency for multifamily rental housing developments of 10 or more units.

Targeting

Establishing a target for funding is primarily a local responsibility and it absolutely requires local priority setting. Targeting, as defined for these purposes, is something other than a “first-come, first-served” approach to approve the use of funds, make decisions about who receives help, and how funds will be spent. (A targeted project may still have some element of first-come, first-served, but it will not be the sole basis for selecting project participants.)

There are multiple means of targeting a project. A few examples of what constitutes an acceptable targeting approach include:

- Geographic area, or multiple areas within a large municipality or county.
- Entire municipality if its population is small and developed area is concentrated.
- Population group (such as disabled, family farms, migrant/seasonal farm workers or other workforce group).
- Strategic blighted properties.
- Other compelling needs as outlined via a comprehensive, community strategy

If a project targets multiple areas or goals, the applicant should also define priorities among those targeted areas-indicating what the primary target is and what secondary ones are. All targeted areas should be justified with details about how the established target will either address significant housing needs, blight, very low-income problems and/or community development needs, thereby explaining why a target was selected.

Applications can still receive favorable consideration under the targeting criterion if there is a mix of a targeted approach with an untargeted, first-come, first-served one. The application should clearly indicate the portion of funds or effort that will be used for each approach to allow an appropriate evaluation of the project under this criterion.

Leverage

Leverage is much more than money and is not the same as a required program match. Leverage is an assessment of other resources that will contribute to a positive result if an application is approved for funding. Leverage also demonstrates that the local government supports a project through more than an endorsement of the application.

Leverage can include factors, such as:

- Adding human capital from other sources (e.g., volunteerism).
- Supporting regionalism (e.g., affordable housing for multi-municipal zoning).
- Coordinating activities with other programs (e.g., rehab with property maintenance code enforcement).
- Provision of social and other supportive services (e.g., connection / referral to needed services, such as job training, counseling, medical and income supports).
- Developing community assets (e.g., housing that is within walking distance from downtown).
- Gaining private sector funding not normally used for housing (e.g., foundation or business contributions/loans).

Note: Examples in parentheses are explanatory only, not the exhaustive list of what qualifies. Successful applications should address several of these aspects. The evaluation of leverage is not a quantitative process since several of these factors are not quantifiable. However, applications that demonstrate these elements will be given greater weight in the funding process.

The total amount of funds committed and/or proposed to support a project will also be evaluated as part of all applications. The amount of funds leveraged and project cost will be evaluated in light of the population group to be served, location of the project and nature of the activity (homeownership, rehab, or rental).

Local government financial commitment is critical to demonstrate support for a project. Applicants should show that they have received funds, or obtained commitments from either CDBG funds or other local/county municipal revenues to support their projects as proof that those projects are a local priority.

The local commitment aspect of leverage will be evaluated with deference to the applicant or community's size, access to other funding sources, financial distress, and access to CDBG funding.

Impact

Projects seeking housing funds will be evaluated to determine whether they will have an impact on the community. Such benefits are extremely difficult to prove in a project application, but a well-planned project can reasonably identify the anticipated broader benefits. Projects with such impact are designed to fulfill the following principles:

- Community changing on a long-term basis (e.g., comprehensive community improvements, addressing housing, business and other community elements).
- Promote housing sustainability (e.g., energy efficiency and maintenance of housing, or education of residents).

- Encourage community stability (e.g., create a better balance of housing types or mixed incomes within a community).
- Create spin-off private investment (e.g., other privately-funded housing improvements or business establishment).
- Support economic/business growth and job creation (e.g., creates attractive or affordable housing stock for business recruitment).
- Provide other lasting improvements.

Note: Examples in parentheses are explanatory only, not the exhaustive list of what qualifies.

In evaluating projects for their potential impact, DCED and PHFA will give consideration to the capacity of an organization, its community and/or its development team. While it may be difficult to demonstrate an “impact track record,” the experience and results achieved in other projects will be used to determine the feasibility of the broader goals established in an application. That experience will be a greater factor than the statements and projections made in an application on the impact to be achieved.

Performance and Outcome Measures

The Commonwealth has been a leader in developing and utilizing performance measures, serving as a pilot state to develop outcome measures in 2004. DCED also participated in a national effort to develop performance and outcome measures, led by the Council of State Community Development Agencies (COSDA) and staff from HUD, which developed a realistic and effective set of performance measures for community development and housing programs. That effort helped establish HUD Performance Measures that were published as final in early 2006.

Pennsylvania became the first state in the nation to implement the use of HUD’s Performance Measures, ahead of HUD’s mandated implementation date. DCED implemented these performance measures for all projects and activities funded under the CDBG, HOME, ESG and HOPWA programs as of January 1, 2006. The following table details projected goals to be achieved in 2012.

Decent Housing Objective

Outcome	Indicator	2012 Projected
Availability Accessibility	Housing Units Assisted	43
Affordability	Housing Units Assisted	355
Sustainability	Housing Units Assisted	55

Decent Housing Objective homeless assistance

Outcome	Indicator	2012 Projected
Availability Accessibility	Persons	6,457
Affordability	Persons	322

Suitable Living Environment Objective

Outcome	Indicator	2012 Projected
Availability Accessibility	People Assisted	246,929
Availability Accessibility	Households/Housing Units Assisted	96
Affordability	People Assisted	50
Affordability	Households/Housing Units Assisted	51

Sustainability	People Assisted	52,730
Sustainability	Households/Housing Units Assisted	109

Economic Opportunity Objective

Outcome	Indicator	2012 Projected
Availability Accessibility	Jobs Created	0
Availability Accessibility	People Assisted	45
Sustainability	Jobs Created	587
Sustainability	People Assisted	6,701
Sustainability	Businesses Assisted	3
TOTAL BENEFICIARIES ASSISTED FOR CDBG, HOME, ESG & HOPWA		
	People Assisted	313,234
	Households/Housing Units Assisted	709
	Businesses Assisted	3
	Jobs Created	486

Anticipated Annual Objectives

The chart below, extracted from the estimated performance measures table above, summarizes the Commonwealth's goals for assisting households/persons with housing during 2012 and the provision of assistance to homeless persons.

Indicator	2012 Projected
Housing Units Assisted for Availability/Accessibility	33
Housing Units Assisted for Affordability	1,273
Housing Units Assisted for Sustainability	292
Homelessness Prevention for homeless persons	884
Shelter & Services for homeless persons	420,279

*These numbers represent the projected number of people assisted by shelter beds and services. The short duration of these housing benefits, as people are assisted from homelessness to more stable housing circumstances, allows multiple people to be assisted by any one bed or service slot. Consequently, these figures should not be used to measure the size of the homeless population.

Partnership between DCED and PHFA

DCED and PHFA enter into an annual Memorandum of Understanding (MOU) that allocates responsibility to PHFA for administration of several key areas under the HOME Program, specifically support for the Penn HOMEs Program and collaboration between PHFA’s participating lenders and local administering agencies to deliver the HOMESTEAD program for first-time homebuyers. PHFA also continues to administer HOME funds from prior years for the Tenant-Based Rental Assistance pilot. *PHFA will continue the TBRA activity with funding from the 2012 allocation of HOME funds.*

In addition, the Commonwealth will continue to coordinate the use of HOME funds and the Low Income Housing Tax Credits (LIHTC) by consolidating the application and review process for both programs. PHFA manages both programs through a single, integrated process in which developers can apply for both resources via one application. PHFA also reviews and approves those applications in tandem. DCED furthers this coordination by making its application deadline, review, and decision-making for state funds jointly with the PHFA process. DCED and PHFA, as part of their partnership efforts, also coordinate the review of the small-rental HOME projects (less than 10 units) to assure that projects needing tax credits will be considered.

Homeless and Other Special Needs

Continuum of Care

Serving as the working body to support the efforts of the Interagency Council on Homelessness, DCED and DPW continue to manage the Statewide Homeless Steering Committee (Steering Committee), and will continue to support and assist the homeless and other special needs groups that require supportive housing. The Steering Committee works with and through the four rural Regional Housing Advisory Boards (RHABs) which develop and maintain a Continuum in each region, representing 51 of the state's 67 counties.

The four RHABs and their Continuums are highlighted below:

- Southwest RHAB - covers five counties in southwestern Pennsylvania including Armstrong, Butler, Fayette, Greene and Indiana Counties.
- Northwest RHAB - covers 13 counties in northwestern Pennsylvania including Cameron, Clarion, Clearfield, Crawford, Elk, Forest, Jefferson, Lawrence, McKean, Mercer, Potter, Venango, and Warren Counties and the City of Sharon.
- Central/Harrisburg RHAB – covers 21 counties in central Pennsylvania including Adams, Bedford, Blair, Cambria, Centre, Clinton, Columbia, Cumberland, Franklin, Fulton, Huntingdon, Juniata, Lebanon, Lycoming, Mifflin, Montour, Northumberland, Perry, Snyder, Somerset, and Union Counties; Cities of Altoona, Johnstown, Lebanon and Williamsport; Carlisle and State College Boroughs.
- Northeast RHAB – covers 12 counties in northeastern Pennsylvania including Bradford, Carbon, Lehigh, Monroe, Northampton, Pike, Schuylkill, Sullivan, Susquehanna, Tioga, Wayne, Wyoming Counties; Cities of Allentown, Bethlehem, and Easton.

In 2012, the primary efforts to assist the homeless will be outlined in the 2012 H-ESG applications and action plans. The Commonwealth supports the regional continua through technical assistance and training, as well as the Steering Committee's policy direction for these regional efforts. DCED also will continue to provide HOME funds as leverage for those projects which develop either permanent or transitional housing.

Other significant action steps the Commonwealth will undertake in 2012 include developing successful strategies for providing housing and supportive services for chronic homeless and other homeless population groups. Additionally, the Steering Committee will be creating new permanent housing beds for the chronically homeless, establishing support systems to ensure that the homeless are moving from emergency and transitional housing into permanent housing, and staying in permanent housing settings for at least six months, as well as developing mechanisms to help the homeless access appropriate job readiness and job coaching programming. The Steering Committee is also focused on developing housing strategies for disabled individuals, those with criminal justice histories and youth who are exiting the Children and Youth system.

The Commonwealth also plans to further implement the SSI/SSDI Outreach, Assessment and Recovery (SOAR) initiative by increasing the number of trained State Team Leads. Supplemental Security Income (SSI) and Social Security Disability Insurance (SSDI) are disability income benefits administered by the Social Security Administration (SSA). These programs also provide either Medicaid and/or Medicare health insurance to individuals who are eligible. Access to these programs can be extraordinarily challenging for people who are homeless and may have mental health problems that impair cognition, or for people who are returning to the community from institutions (jails, prisons or hospitals). The application process for SSI/SSDI is complicated and difficult to navigate, yet accessing these benefits is often a critical first step in recovery. The SOAR initiative has increased successful securing these benefits by providing technical assistance to individuals throughout the application process.

The Steering Committee presented a one day SOAR Forum in an effort to begin the process of developing a train the trainer model which will facilitate broader implementation in 2012 throughout all regions in the Commonwealth's balance of state Continuum of Care.

In 2010, DCED, and the Balance of State Continuum of Care partnered with the PA Department of Public Welfare and the Department of Public Education to form the PA Homeless Children's Initiative for the purpose of partnering to discuss the formation of a seamless system of service delivery for homeless children. Immediate priorities identified by this committee include improved data collection efforts as they relate to capturing a more accurate number of homeless families with children across the state, as this adversely affects the availability of federal funding for this target population.

Next steps to be taken in 2012 include assuring that children of homeless families receive the services they are entitled to by:

- Ensuring that school-age homeless children in shelters are identified by the school district. Shelter staff can communicate with the homeless liaison in the school district when new children enter the shelter.
- Ensuring that all Continuums of Care are to receive a list of the Homeless Liaisons in each school district statewide. This will provide shelter staff with a critical contact should a new school-age child comes into the shelter.
- Having shelter staff work with families to encourage them to identify themselves as homeless so that they can receive necessary services
- Organizing a presentation at the CoC Steering Committee meeting on homeless education/services.

In 2010, DCED, the PA Department of Public Welfare and the Balance of State Continuum of Care began collaborating with Homeless Coordinators in PA Veterans Medical Centers to form the Veterans Housing Committee. This committee is committed to developing strategies for the Regional Housing Advisory Boards to assist in meeting the goal of ending homelessness among Pennsylvania veterans in five years.

In the coming year, this committee will implement the following strategies to improve coordination among homeless providers, VA Medical Centers and Public Housing Authorities administering VASH vouchers:

- Invite a VA representative to sit on the Balance of State Steering Committee and to make presentation on available resources
- Invite VAMC supervisors/social workers to be members of RHAB representatives

- Ensure that representatives from the RHABS attend local CHALENG meetings sponsored by the VAMC to discuss the issues of homeless veterans
- Compile an inventory of all the VA programs available to homeless veterans and distribute to Continuum of Care housing and service providers for referral on the regional level
- Identify specific veterans services available in the RHAB service areas
- Determine the number of VASH vouchers still available at each PHA and develop a strategy to maximize use by veterans identified in the most recent Point in Time Survey
- Develop an outreach strategy to encourage eligible PHAs to apply for Project Based VASH vouchers available through HUD
- Work with the VA on streamlining the process for leasing VA properties to be used for transitional and permanent housing for homeless vets
- Distribute information throughout the RHABs on the Veterans Employment Program administered by the PA Department of Labor

In 2012, DCED will also continue to work with PHFA to expand its web-based Affordable Housing Locator Service to include eligibility screenings for various federally funded housing programs (i.e. HPRP, Housing Choice Vouchers and special needs programs, etc.) so that appropriate affordable housing which can be identified for clients by program staff. The Pennsylvania Housing Advisory Committee has agreed to support the expansion of the Affordable Housing Locator service, particularly as a resource for Disaster Recovery Housing.

Agenda for Ending Homelessness in Pennsylvania including Chronic Homelessness

In 2009 at its statewide retreat, the Commonwealth reviewed its Ten Year Plan and converted it to a five-year plan entitled “*Agenda for Ending Homelessness in Pennsylvania*”. This was the starting point for the transition to HEARTH.

This plan articulates three central state-driven strategies to prevent and end homelessness in PA:

- 1- To improve coordination between state agencies and promote targeting of resources consistent with the state vision
- 2- To foster and support local efforts to end homelessness
- 3- To promote recovery-oriented housing and services for homeless individuals with serious mental illness, substance abuse disorders and or co-occurring disorders.

In 2012 the Steering Committee on Homelessness and the four regional Continuums of Care incorporated goals from the Agenda in their work plans and began implementation of specific action steps for the following goals and objectives:

Goal 1: Develop and implement strategies to prevent PA families and individuals from becoming homeless or returning to homeless.

Objective 1: Ensure the development and utilization of discharge planning protocols that include comprehensive housing plans.

Objective 2: Improve outcomes for formerly homeless individuals and families to prevent future episodes of homelessness.

Objective 3: Identify and improve existing programs across the state designed to prepare people for self-sufficiency.

Objective 4: Prevent homelessness among veterans

Objective 5: Prevent homelessness among individuals with addictions

Goal 2: Ensure that homeless families and individuals are re-housed as quickly as possible after becoming homeless.

Objective 1: Assess current HAP, HPRP, PATH, and other state homeless program policies, regulations and procedures in order to identify and eliminate barriers to local flexibility

Objective 2: Identify best practices for Rapid Re-housing, including intake and assessment tools, and conduct four (4) regional training sessions

Objective 3: Establish a Housing and Homeless Liaison position in every PA County Assistance Office in order to facilitate linkages that will eliminate barriers to accessing mainstream resources

Objective 4: Advocate for funding of the PA Housing Trust Fund and for a set-aside of funds for the homeless

Objective 5: Improve coordination between the RHABs and the VASH Program

Goal 3: Expand access to and the availability of supportive resources for homeless individuals and families so that they are able to obtain and maintain affordable housing of their choice.

Objective 1: Identify or create funding sources for support services to assist all subpopulations of homeless and near homeless households in developing the skills and resources to obtain and maintain housing.

Objective 2: Increase employment opportunities for people who are homeless.

Objective 3: Improve coordination between the CoC planning process and the Homeless Children's Initiative to assure that the educational and other service needs of homeless children are addressed.

Objective 4: Identify services that meet the needs of the increasing number of older homeless and previously homeless adults, both those who have recently become homeless due to the economic downturn and those who have aged in place in permanent supportive housing programs.

Objective 5: Identify resources and improve coordination between domestic violence providers and relevant state agencies.

Goal 4: Work with public and private entities to increase the number of affordable permanent housing units for homeless households, formerly homeless households, and households at risk of homelessness through the creation of new units and use of existing units.

Objective 1: Increase sources of funding to create new programs or change priorities of existing programs which produce affordable housing or rental subsidies.

Objective 2: Enhance efforts to access affordable housing units in the private market by establishing partnerships with private sector landlords.

Objective 3: Increase Public Housing Authority (PHA) efforts to prevent and end homelessness by securing support of PHAs (and other housing providers) to commit existing units to homeless and formerly homeless households.

Objective 4: Determine the number of chronically homeless families and develop and implement targeted strategies for increasing permanent supportive housing for chronically homeless families and individuals.

Subcommittees of the Steering Committee are charged with undertaking the Action Steps identified in the Agenda for Ending Homelessness. These subcommittees meet frequently to prioritize deliverables and have made significant strides in preparing the Statewide Homeless Steering Committee to transition the Commonwealth to programming under H-ESG Program and discuss effective coordination of mainstream resources to support the system of service delivery necessary to affect sustainable change for those who are homeless or at risk of homelessness. The recommendations of these subcommittees are presented to the Pennsylvania Housing Advisory Committee. The various subcommittees are described on the next page.

Subcommittee	Area(s) of Responsibility
Development and Funding	<ul style="list-style-type: none"> • Identifying state funding opportunities for housing individuals who are homeless or at-risk of becoming homeless • Identifying state and federal funding opportunities for services to individuals or homeless or at-risk of becoming homeless • Improving access to funding and coordination of funding for services to assist individuals who are homeless or at-risk of becoming homeless • Developing a listing of mainstream funding and resources available to assist individuals who are homeless or at-risk of becoming homeless
Employment and Self-Sufficiency	<ul style="list-style-type: none"> • Identifying opportunities to improve access to employment services and opportunities statewide • Compiling contact information on employment and training services statewide • Compiling information on self-sufficiency programs that exist statewide •
Homeless Consumers	<ul style="list-style-type: none"> • Addressing issues of specific homeless

Homeless Management Information System

DCED will continue to implement and expand use of its Homeless Management Information System (HMIS) by HEARTH-ESG providers and other providers of homeless services in 2012 in order to capture all program-specific and historical service data for all clients served with federal funding. The priority efforts in 2012 will consist of assuring that 100 percent of all four regional state-funded HEARTH-ESG services are covered by the Commonwealth's HMIS. Additionally, PA HMIS will focus on enrolling all providers of PATH and Veterans services.

Additionally, DCED will work closely with the Department of Public Welfare to ensure that PA HMIS is positioned to enroll all Projects to Assist in Transition from Homelessness Program (PATH) Providers and capture all program specific data elements to be finalized in new HMIS Data and Technical Standards anticipated in FY 2012.

DCED will also begin working with the Veterans Administration to ensure that PA HMIS is prepared to integrate data on services delivered to homeless veterans and their families under the Veterans Affairs Supportive Housing (VASH) Program.

The provision of formal training and "help desk" assistance will be provided to reach both this goal and work towards the broader goal to incorporate all housing and shelter provider agencies (including those not funded through the Continuum of Care). Additionally, DCED will continue to analyze HMIS data to monitor performance and identify program management changes to improve the delivery of homeless housing and services.

Expanding Integrated Housing Opportunities for People with Disabilities

The Commonwealth is engaged in interagency planning to expand community-based housing opportunities for people with disabilities who are at risk of, or who are currently living in places such as nursing homes, mental health institutions, personal care homes, facilities for people with developmental disabilities, and other forms of congregate residential settings. These individuals face significant barriers to accessing standard housing in the community such as: a lack of affordable accessible housing; difficulties coordinating community-based supportive services; discrimination by public and private landlords due to disabling conditions; and obstacles resulting from criminal histories and insufficient rental histories, often connected to periods of institutionalization and disability.

In 2012, these planning discussions are expected to lead to housing activities that will further the goals of the New Freedom Initiative, affirmatively further fair housing, and assure that the Commonwealth's public services for people with disabilities are provided in integrated settings, as required by the Americans with Disabilities Act. The objective of the planning is to create and set aside affordable and accessible dwelling units linked to voluntary community-based supportive services in both existing and new general occupancy developments. Housing will be made available to any person with disabilities who can benefit from the services offered in connection with the housing. Specific activities may link HOME Program funds, Low-Income Housing Tax Credit financing, PennHOMEs Loans, and financing from the Department of Community and Economic Development's Housing and Redevelopment Assistance Program, the PA Department of Public Welfare and the PA Office of Long Term Living, with support administered by County Mental Health/Mental Retardation Programs and the Office of Mental Health and Substance Abuse Services (OMHSAS), including such sources as Health Choices Reinvestment funds, the Community Hospital Integration Program Project (CHIPP), and Base Funds.

Partnerships with Public Housing Authorities

The Commonwealth of Pennsylvania does not manage public housing authorities, as each have their own charter with HUD. However, DCED maintains partnerships with public housing authorities through:

- Presentations at Pennsylvania Association of Housing and Redevelopment Agencies (PAHRA) Conferences
- Targeting of Tenant-Based Rental Assistance (TBRA) pilot funds with designated Public Housing Authority jurisdictions using HOME funds
- Ensuring adequate representation by public housing authorities on Commonwealth Regional Housing Advisory Boards and on the Balance of State Continuum of Care Steering Committee

[Appendix G](#) outlines the Commonwealth's procedures for the Certification of Consistency with annual Agency Plans submitted by housing authorities. Additionally, PHFA will continue to provide assistance to housing authorities that desire to undertake bond financing to modernize their public housing units. If HUD identifies a troubled public housing agency, the Commonwealth will explore options to assist that agency through the PHFA bond financing initiative and other options available to the Commonwealth.

Barriers to Affordable Housing

Efforts to Further Fair Housing Choice

DCED works very closely with its grantees to address barriers to fair housing. In 2006, PHFA updated its Housing Needs Study and included data to update its Analysis of Impediments to Fair Housing Choice (AI). The issues identified included a detailed glimpse of the underlying factors. The study identified affordability (with finance issues as a subset of this issue), location of housing (as lack of public transportation presents a challenge to low and very low-income families without vehicles), as well as substandard housing as the key impediments. The need for greater capacity to provide housing counseling and housing services emerged over and over again as a common response. In 2012, the Commonwealth will begin the process to update the Analysis of Impediments to Fair Housing in several distinct phases:

- Phase I - Data Collection and Analysis

Compile data and prepare a Demographic, Housing and Economic Profile, Market Analysis and Housing Needs Assessment, which analyzes available housing stock and its condition, pricing and cost burden, patterns of occupancy, demographic patterns and income levels of individuals and families, within the Commonwealth. Develop comparative data and analysis between the Commonwealth as a whole and its non-participating jurisdictions.

- Phase II – Compilation and Review of laws, regulations, administrative policies, procedures and practices

A comprehensive review of the public and private policies, practices and procedures, that may affect the location, availability and accessibility of housing and thus limit fair housing choice throughout the Commonwealth. An extensive review of all State laws, regulations, policies etc. will be conducted.

- Phase III – Compilation and Review of AIs prepared by the Entitlement Jurisdictions in the Commonwealth

Compilation of all AIs prepared by Entitlement Jurisdictions within the Commonwealth who receive direct allocations of federal funding from the U.S. Department of Housing and Urban Development (HUD)

DCED is in the process of contracting with the Pennsylvania State University Data Center (PA Data Center) to compile data on the Non-participating Jurisdictions, which DCED funds, in order to assess areas of racial and ethnic minority (to include data on persons with limited English proficiency) concentration (as well as residential segregation patterns and population trends), and compare these data against said concentrations across the state. Additionally, the PA Data Center will develop an economic profile (to include data on unemployment) and Housing Market Analysis of these areas.

DCED will work with the Pennsylvania Human Relations Commission (PHRC) to gather data on discriminatory laws, regulations and administrative practices against protected classes, findings and conciliation agreements to address identified issues. These findings will be used to evaluate public and private conditions which may affect the fair housing choices for all protected classes. These data will be used by DCED to update the appropriate elements of the Commonwealth Fair Housing Plan with action steps to address barriers to Fair Housing Choice.

This document will then be disseminated through training conducted with grantees of federal funding, local government entities, private developers, private property owners and other stakeholders of fair housing.

Under its Fair Housing Policy, DCED mandates that all recipients of all federal funding post the Federal Fair Housing notice, as well as accept and investigate complaints of discrimination. In addition, grant recipients are also required to undertake the following action steps to affirmatively address barriers to fair housing within their respective communities:

- Advertising and publicizing that the agency adheres to Equal Housing Opportunities (Using the Equal Housing Opportunity Logo and the equal housing opportunity statement)
- Identifying a discrimination complaint officer for any housing related bias or discrimination complaint
- Referring complaints and assist in filing complaints with either PA Human Relations Commission or comparable local agency.
- Advertising the availability of housing and related assistance to population groups that are less likely to apply, both minority and non-minority groups, through various forms of media (i.e. radio stations, posters, newspapers) and using the variety of languages spoken by eligible families within the service area
- Developing an affirmative marketing plan, including outreach to organizations and locations that have contact with protected classes.

DCED will also expect federal grantees performing housing activities to identify one or more of the following activities to affirmatively further fair housing:

- Providing training / educational programs about fair housing for financial, real estate, and property management professionals at local firms, including their obligations to follow nondiscrimination laws.
- Developing mandatory employee acknowledgement that housing and lending professionals will adhere to affirmative marketing plans and fair housing laws.

- Conducting meetings with advocacy groups (i.e. disability rights groups) on the availability of housing and determine housing needs to plan future projects.
- Establishing and/or funding fair housing organizations in areas where there are no such organizations, community housing resource board or local human relations commission.
- Conducting fair housing testing to assure that local housing providers and/or lenders do not discriminate.
- Assisting Housing Choice Voucher program participants to help them to find housing outside of minority and/or poverty concentrated areas.
- Conducting outreach to housing providers and housing developers to discuss housing needs in minority and poverty concentrated areas.
- Incorporating universal design as part of housing construction and/or provide accessible units at a level that is greater than that required by Section 504 or the Americans with Disabilities Act.

In addition, in that DCED subcontracts with PHFA to administer HOME funds for ten units or more of multi-family rental housing, PHFA also undertakes several actions to inform the public, owners and potential tenants about fair housing laws through its publications and website on affordable and accessible housing opportunities. Some of the specific steps taken include:

- Instruction and monitoring all those receiving funding to develop an Affirmative Fair Housing Marketing Plan to address what method will be used to attract applicants to the project, especially persons who are least likely to apply.
- Assurance that efforts are made by owner/agents to provide continuing outreach to persons with disabilities and to inform persons of the availability of accessible units in rentals and private property.
- Coordination with Centers for Independent Living in providing a resource list, by county, on its website to educate the general public about supportive service providers and service coordinators.
- Requiring owner/agents to hold accessible units vacant for at least 30 days during initial rent-up while outreach is performed. Additionally, property owners must use a lease addendum requiring any individual or family in an accessible unit not requiring the features of the accessible unit to move to a regular unit at the owner/agent's expense when an accessible unit is needed.

No grantees of federal funds in the Commonwealth reported receiving any complaints of discrimination in 2011. By law, complaint resolutions are to be handled by the Pennsylvania Human Relations Commission (PHRC). DCED advises its grantees to contact the PHRC whenever there is an allegation of discrimination in housing. It is the mission of the PHRC to administer and enforce the Pennsylvania Human Relations Act (PHRA) and the Fair Educational Opportunities Act of the Commonwealth of Pennsylvania through investigation, identification and elimination of unlawful discrimination and the promotion of equal opportunity for all persons. The PHRC investigates and attempts to resolve any complaint regarding Fair Housing practices in Pennsylvania under authority of this Act. This authority includes investigative penal powers over all potential areas of housing discrimination.

For the past several years, PHRC has implemented a Predatory Lending and Mortgage Lending Discrimination Initiative. The objective of this Initiative is to investigate and, if necessary, engage in enforcement actions against any mortgage lender, mortgage broker, home improvement company, and/or other relevant entity that is involved in predatory lending practices that violate the PHRA. In addition, the Initiative has an educational component designed to educate the general public to prevent future incidents of predatory lending.

Regulatory

State government agencies, led by DCED, have also given significant attention to the issues of land use that often pose barriers to affordable housing. As a result of state legislation and a Governor's Executive Order, the Governor's Center for Local Government Services has issued an annual report on land use. This report outlines the Commonwealth's efforts to grow smarter and promote sound land use practices.

Summary of Anticipated Housing Uses

[Table 1](#), entitled **Investment of Housing Resources**, summarizes the anticipated funds and expected uses of all federal and state housing funds for FFY 2012. State Agencies throughout the Commonwealth anticipates receiving a total of \$151,026,877 in federal funds for housing and community development. The Commonwealth also anticipates state programs will dedicate \$128,250,270 in federal, state and/or private funds for housing activities. The explanations for [Table 1](#) are found in [Appendix D](#).

**Table 1 - Investment of Housing Resources
Action Plan for FFY 2012**

A. Federal/Entitlement Programs

Funding Source	Anticipated Allocation in 2012	Portion of Projected Allocation Dedicated to Housing	Single-Family Rehab	Rental Construction & Rehab	Rental Assistance	Home-buyer Assistance	Support Services	Operating & Other Costs	Homeless Prevention	Homeless Facilities
HOME	\$15,269,333	\$15,269,333	46.4%	43.9%	1.3%	2.5%		6.3%		
CDBG	\$37,541,352	\$12,975,878	42.4%	.23%		1.17%		56.2%		
ESG	\$5,082,869	\$4,701,654						17.5%	22.5%	60%
HOPWA	\$1,892,923	\$1,777,816			83%		10%	7%		
McKinney Vento	\$16,842,553	\$16,842,553		5%	46%		35%	14%		
DOE/Other Energy Programs	\$11,144,041	\$8,082,701	83%	17%						
CSBG	\$28,643,806	\$27,211,615			5%	25	62%	19%	12%	
SSBG	\$4,183,000	\$4,183,000			40%		48%		12%	
LIHTC	\$27,945,000	\$27,945,000		100%						
PATH	\$2,482,000	\$2,482,000					95%	4%		
Total Federal Resources	\$151,026,877	\$121,471,550								
B. State and/or Private Programs used for Housing										
HSDf	\$14,208,000	\$1,278,720					100%			
PennHOMES	\$0	\$0								
Closing Cost Assistance, Homebuyer Counseling	\$2,500,000	\$2,500,000				100%				
Keystone Communities	\$12,000,000	\$3,000,000	50%	50%						
Total State Resources	\$28,708,000	\$6,778,720								
TOTAL ALL RESOURCES	\$179,734,877	\$128,250,270								

**2011

**The \$ 1,777,815.74 does not include the projected \$105,107.26 for Project Sponsor HOPWA Administrative Costs and \$ 10,000 for Grantee HOPWA Administrative Costs.

Community Development Block Grant

The Commonwealth of Pennsylvania, through DCED, has elected to administer the CDBG Program for federal non-entitlement areas for FFY 2012. This program is known as the Pennsylvania CDBG Program.

DCED reserves the right to reallocate funds in the event of either a Presidential-declared or Gubernatorial-declared disaster. Any reallocation of funds will be performed consistent with HUD requirements and any regulatory waivers that are granted. As a result of the storm and flood damage caused by Hurricane Irene and Tropical Storm Lee in 2011, DCED will continue to give priority, within its existing priority on seriousness of need, in the distribution of funding in response to the need for restoration of affordable rental housing.

Program Goals and Objectives

- To assist communities in preparing community development plans designed to address significant needs of the low and moderate-income.
- To assist communities in administering community development projects designed to address a number of significant community development needs identified in the Community Development Plan.
- To encourage and to assist communities to focus upon and address housing and community facility problems, and to pursue economic development and commercial revitalization activities through public/private investment initiatives that will result in the development and expansion of job opportunities within the Commonwealth.

Eligible Applicants

Eligible applicants include any federal non-entitlement general-purpose units of local government, including cities, boroughs and townships, towns, counties, home rule municipalities, and municipalities that opted out of federal Urban County Program. In accordance with state Act 179, for FFY 2004, eligible applicants for the Pennsylvania CDBG Program are divided into four general classes as shown in [Appendix D](#). These classes include 27 cities, 50 counties and 120 boroughs, town, townships and competitive applicants.

Distribution of Funds and General Requirements

The Commonwealth's CDBG allocation of 2012 funds is \$37,539,123. DCED reserves the right to reallocate funds in the event of either a Presidential-declared or Gubernatorial-declared disaster. Any reallocation of funds will be performed consistent with HUD requirements and any regulatory waivers that are granted.

In order for communities to effectively and efficiently address the devastation caused by Hurricane Irene and Tropical Storm Lee, units HUD funds, units of local government will be afforded an expedited Citizen Participation Process so that these funds can be used quickly. The Commonwealth will require the publication of a municipality's Intent to Request Funds for this use, and that local governments hold at least two public hearings to disseminate the planned use

of federal funding. These hearings may be virtual if conditions preclude the units of local government from holding a physical public hearing. Under the regulations found at 24 CFR 570.486(a)(6), the Commonwealth has determined that the units of local government may reduce the reasonable advance notice and opportunity for public comment on proposed activities to seven (7) days.

DCED will administer the CDBG allocation of 2012 funds as follows:

Administration:

Act 179 and implementing regulations provide that 2% of the Commonwealth's annual Block Grant allocation will be set aside for the state's administration of the program. The Commonwealth may use up to 1% of its allocation to implement a technical assistance program for CDBG and other HUD programs.

Competitive Set Aside:

The competitive set aside is 13% of the Commonwealth's allocation.

Cities:

The funds set aside for entitlement cities is 24% of the Commonwealth's annual allocation that remains after deducting the state's administration and competitive funds from the total allocation. Each entitlement city will be allocated a minimum grant of \$300,000 and an additional amount equal to the sum, which is obtained by multiplying the balance of funds available to entitlement cities after each receives its minimum grant, by a fraction, the numerator of which shall be each entitlement city's population and the denominator of which shall be the total population of all entitlement cities.

Boroughs, Towns and Townships:

The funds set aside for entitlement boroughs, towns and townships are 38% of the Commonwealth's annual allocation that remains after deducting the state's administration and competitive funds from the total allocation. Each entitlement borough, town and township will be allocated a minimum grant of \$50,000 and an additional amount equal to the sum which is obtained by multiplying the balance of funds available to entitlement boroughs, towns and townships, after each receives its minimum grant, by a fraction; the numerator of which shall be each entitlement borough, town, and township's population, and the denominator of which shall be the total population of all entitlement boroughs, towns, and townships.

Counties:

The funds set aside for counties are 38% of the Commonwealth's annual allocation that remains after deducting the state's administration and competitive funds from the total allocation. Each eligible county will be allocated a minimum grant of \$200,000 and an additional amount equal to the sum which is obtained by multiplying the balance of funds available to eligible counties, after each receives its minimum grant, by a fraction, the numerator of which shall be each eligible county's net population (county's population minus the population of all federal and state entitlement entities within the county) and the denominator of which shall be the total net population of all eligible counties.

Funding Procedures and Application Reviews for Eligible Entitlement Entities

Funding and application procedures and requirements are contained in the guidance material entitled, CDBG Guidelines.

Funding Procedures and Application Reviews for Competitive Applicants

Thirteen percent (13%) of the 2012 CDBG allocation will be set aside for the Competitive Program. DCED will use the following priorities in selecting CDBG Competitive applications for approval. These priorities are listed in order of the weighting given for each priority:

1. Seriousness

Competitive applications will be evaluated based upon the seriousness of the problem and the potential to resolve that problem. Generally, the most serious problems entail lack of potable water, severely contaminated wastewater systems (or lack of any wastewater treatment), and other significant risks to health and safety. Applicants must explain how the problem affects people. The Department will determine the degree of seriousness of each identified problem. Consideration will also be given to the degree that the proposed project will best resolve the identified problem. The Department will determine if the proposed project will totally resolve the problem or only part of the problem in consideration of the intended beneficiaries.

2. Critical Projects

Critical projects facilitating major improvements in which a small infusion of funds will close a funding gap.

3. Housing Rehabilitation

Applications for housing rehabilitation projects will be considered as a last priority for the use of CDBG Competitive funds. Additionally, DCED may consider HOME Program application requests for CDBG Competitive funding in order to better manage its use of funds from both programs.

DCED also reserves the right to use CDBG Competitive funds for Section 108 loan payments, on an interim basis, for economic development projects participating in the consortium of local governments for the Pennsylvania Section 108 Loan Program in the event that a business borrower goes into loan default.

Program Requirements

Program requirements for the CDBG Program are contained in the CDBG Program Guidelines.

Section 108 Loan Guarantee Program

In 2005, DCED, in cooperation with HUD, developed a new initiative through the establishment of a consortium of local governments for the Section 108 Loan Guarantee Program. DCED assisted the consortium by preparing an application for \$88 million to avail consortium members to a significant financial resource for community and economic development projects that would not otherwise be implemented with loan guarantees.

This consortium concept helped expedite Section 108 loan applications, and provided accessibility to Section 108 loan funds to public entities to participate in the Section 108 loan program. DCED offers an additional state guarantee for these projects to insure that the local government's Act 179 entitlement CDBG funds are not lost in the event of a default. The

Commonwealth of Pennsylvania will provide up to 100% of the loan guarantee for the repayment of the individual communities' Section 108 notes for those projects that require cash flow for repayment.

The maximum amount of a Section 108 Loan Guarantee that a community may apply for is anticipated to be limited to the amount of a loan that the community's CDBG allocation could support as an annual debt service, based on the average amount of their CDBG allocation for the past three years, or \$7 million whichever is less. This limitation is governed by the state's program guidelines. The maximum term of the Section 108 Loan shall not exceed 20 years.

As the set-aside of funds for the Pennsylvania Section 108 Loan Guarantee Consortium expired in 2011, DCED will maintain its pledge of state funds and Competitive CDBG funds to guarantee existing loans. Additionally, in FY 2012, DCED will consider applying for Section 108 loan funds on behalf of non-entitlement communities and pledging CDBG funds with the additional state guarantee for projects requiring business or project cash flow for loan repayment.

DCED reviews applications for Section 108 Loan Guarantee for compliance with state guidelines and conformance with the federal regulations.

Neighborhood Stabilization Program

DCED received an allocation of \$59.6 million from NSP funds in 2009 to address the housing foreclosure and mortgage crisis due to problematic lending practices. DCED received an additional allocation of \$5 million from NSP3 in 2011. A substantial amendment to the 2010 Action Plan details the use of these funds.

Community Development Block Grant - Recovery Program

DCED's allocation of \$12.4 million from CDBG-R in 2009 allowed local governments to undertake a variety of housing and community development activities to stimulate economic recovery and job creation/retention. These funds are being used over a three-year period for housing; water, sanitary sewer, and storm water infrastructure; street, road and streetscape/sidewalk infrastructure; public facilities; recreation improvements; and, economic development activities. These funds, governed by previously submitted Action Plan amendments, will continue to be spent during 2012.

HOME Investment Partnerships Program (HOME) – Revised June, 2014

The Commonwealth will distribute the FY 2012 HOME Program allocation, in accordance with the priorities outlined in the Plan, the requirements of the National Affordable Housing Act of 1990, and the HOME regulations at 24 CFR 92 and 24 CFR part 91. The Commonwealth's 2012 federal allocation of HOME funds is \$15,554,647.

Distribution of the Allocation

DCED will administer the HOME Program but will transfer a portion of the Commonwealth's allocation to the Pennsylvania Housing Finance Agency (PHFA) as a sub-recipient to administer large (ten units or more) rental projects and homebuyer projects. PHFA will not receive more than 75 percent of the 2012 HOME allocation funds for rental housing construction and development. *As part of the rental tools, PHFA will use \$200,000 to continue their Tenant Based*

Rental Assistance program that aids income qualified candidates with being finding affordable rental housing units. Fifteen percent (15%) of the Commonwealth's allocation will be used for rental housing that is owned, sponsored or developed by Community Housing Development Organizations (CHDO). This CHDO set aside is included in the 75% rental housing allotment that will be administered by PHFA. PHFA will also administer a predevelopment loan initiative for CHDOs, making available \$250,000 for feasibility, market analysis and site control costs. PHFA will perform the necessary underwriting reviews on all HOME rental projects and perform all subsidy layering reviews required by the HOME final rule of July 24, 2013. The balance of the HOME funds will be administered by DCED through a competitive application process performed by DCED. The capacity of the applicant, nature of the project, and compliance with the targeting, leverage, and impact requirement will be determining factors in the recommendation for funding. Ten percent of HOME funds may be used for administrative costs. DCED will share these costs with PHFA and the local administrators.

Priority – Target, Leveraging and Impact

In 2006, the Commonwealth began implementing a new set of housing priorities jointly with PHFA. With the establishment of the Commonwealth's Targeting, Leveraging and Impact (TLI) priorities, the process for prioritizing how federal funds would be distributed to impact community development and especially housing, has largely evolved into a more flexible, and truly effective partnership between DCED and local governments. TLI has been applied to the selection criteria for the HOME Program and the primary state-funded, competitive housing programs, including DCED's Keystone Communities Program and PHFA's Homeownership Choice Program. These priorities also further clarify the mission statement of the Consolidated Plan.

TLI steps beyond what had been a focus on income levels, household tenure types and composition. Those types of approaches, because of the overwhelming needs of housing rehabilitation across the state, which cannot be met with the available funds, often degenerated into a first come-first served approach by the grantees. This approach of scattered funding across large areas such as cities and counties provided for equitable assistance to residents but never provided for the impact of the project to revitalize or stabilize communities in decline.

Under the TLI approach, funds can be directed to projects and uses that will have a greater effectiveness and be targeted to truly needy neighborhoods that are in decline. While difficult to quantify, DCED seeks a greater impact through these priorities while still meeting the applicable federal requirements for the use of these funds. Ultimately, the TLI approach provides the opportunity for the applicant to fund better designed projects and the more effective use of the limited HOME funds.

In allocating limited HOME resources, the Commonwealth will evaluate each application based on the Targeting, Leveraging and Impact criteria. That criteria includes:

1. Meet targeting criteria as outlined in the guidelines
2. Leveraging other funds, resources and supportive efforts from other programs;
3. Demonstrating a significant impact on the quality of life in a community.

Also capacity of the administering agency will be assessed which includes the number of open grants the agency is contracted to complete or the amount of previous funding still available for use.

The more that an application can demonstrate responsiveness in these areas, the more likely it will be evaluated higher in the funding consideration process and receive approval. For more detail on these criteria the reader is directed to the guidelines of the HOME program, which can be found at <http://www.newpa.com/find-and-apply-for-funding/funding-and-program-finder/home>.

Because of severe storm and flood damage caused by Hurricane Irene and Tropical Storm Lee in August and September 2011, the Commonwealth will prioritize any assistance to these affected communities to restore affordable housing stock. Additionally, a substantial decrease in both CDBG and HOME allocations for 2012 necessitates DCED to prioritize funding for housing in this manner:

1. Rehabilitation of existing owner-occupied housing
2. Multifamily rental housing consisting of 10 units or less
3. Homebuyer initiatives
4. Construction of new single-family homes

Eligible Activities and Applicants

The Commonwealth's distribution of funds will be consistent with the needs identified in the Consolidated Plan for FFY 2009 - 2013. The Department will accept applications for any HOME eligible activity, other than rental housing activities for 10 units and above. Those will be submitted to PHFA as described above. HOME-eligible activities include the following: homeowner rehabilitation; rental housing rehabilitation; the new construction of one to nine rental units; group home projects or single-room occupancy (SRO); security deposit assistance; assistance for homebuyers' down payment and/or closing costs and second mortgages; and assistance for developers undertaking single-family sales housing. Projects can be designed to offer equity investments, interest bearing loans or advances, non-interest bearing loans or advances, deferred payment loans, grants and loan guarantee funds.

As in previous years, the Commonwealth will give non-participating jurisdictions first priority. However, because it may be difficult to develop affordable housing in such jurisdictions within legislatively imposed time frames, the Commonwealth reserves the right to distribute funds to other Pennsylvania jurisdictions. Funding levels will be based on individual applications complying with regulatory layering and HOME per unit minimum investment requirements.

Units of local government are the only eligible applicants that may submit applications for HOME funds. Applications submitted by a unit of local government may be on its own behalf, another unit of local government without the capacity to administer the program, public agencies, non-profit organizations or private developers. Private non-profit housing organizations that are unable to secure their unit of local government's agreement to be an applicant should contact DCED.

Application Limits

Because of the complexity of federal regulations and requirements associated with the HOME Program, a minimum application amount of \$50,000 has been established, except for CHDO operating grants and project specific predevelopment loans. HOME has a maximum grant level of \$350,000 for an application covering a single municipality or \$500,000 for assistance for multiple municipalities. DCED reserves the right to increase the contract amounts above this level for warranted reasons, but the level will not be over \$1,000,000.

Requirements

Program requirements for the HOME Program are contained in the HOME Program Guidelines

Homebuyer

DCED will require that the principle residency requirements as specified at 24 CFR 92.254(a) (3) be enforced with a legally binding instrument such as a deed, covenant or lien during the affordability period.

Homeownership projects undertaken by a state recipient or developer will be secured by means of a note and mortgage given to the state recipient or developer by the low-income household being assisted. The period of affordability specified in the mortgage will be the minimum period for the project as specified in 24 CFR 92.254(a), sections (4) and (5), unless a longer period of time is imposed. The note and mortgage permits reduction of the amount of HOME funds subject to recapture on a pro rata basis during the affordability period. It also allows for a method where any net proceeds from the sale are shared proportionately between the owner and the state recipient or developer. If the housing does not continue to be the principle residence of the assisted household, due to sale, foreclosure, rental, or some other event, the note and mortgage will require repayment of the amount of HOME funds subject to recapture at the time the event occurred.

Recapture/Resale Provisions

When HOME funds are used to assist a household in the purchase of a unit, restrictions will be placed on the unit to ensure compliance with the resale and recapture requirements as specified in 24 CFR 92.254(a)(5). Grantees must choose either to recapture HOME funds upon the sale of assisted properties within the affordability period or resale the property to another HOME eligible household. It is a choice of one or the other for the entire program. This decision needs to be in the grantees application and project guidelines. It also must be stated in the mortgage documents and made clear to the homebuyer before documents are signed at closing. Applicants are directed to the HOME Program Guidelines for an explanation of these actions.

Affordability

The affordability period of the HOME regulations for homebuyer programs and rental is based on the following table. During the period of affordability, either recapture provisions or resale restrictions are placed on the deed which governs how much equity the owner may recover, or to whom the owner may sell the property, respectively.

Activity	Minimum period of affordability in years
Rehabilitation or acquisition of existing rental housing and homebuyer programs per unit amounts of HOME funds: Under \$15,000	5
\$15,000 to \$40,000	10
Over \$40,000	15
New construction or acquisition of newly constructed rental housing	20

Rental

For multi-family rental projects, a regulatory agreement and mortgage are recorded against the title of each property assisted with HOME funds. Each rental unit assisted with HOME funds must also carry rent and occupancy restrictions depending upon the affordability period. The regulatory agreement is enforceable through the mortgage in the event of default by recourse to the project for non-compliance with any statutory or regulatory requirements, including any unauthorized resale or refinancing of a project.

Program Income

As per 92.503 of the HOME regulations, as sub-recipient of the HOME funds, the grantee may retain program income for additional HOME eligible projects under this contract as long as the grantee has an open HOME contract. Any Program Income realized after the contract is closed out or the contract expires must contact DCED for approval to retain Program Income.

Added Requirements due to the HOME Final Rule of 2013

Because of the HOME Final Rule of July 24, 2013 some additional requirements have been placed on the applicants for 2012 HOME funding and years after. The first of these is a Neighborhood Marketing Analysis required for all HOME Rental and Homebuyer activity, (Excluding down payment and closing cost assistance only). The completed market analysis must be submitted with the HOME application. The market analysis must meet the DCED Market Analysis policy as set forth in the HOME Program Guidelines.

The second is some specific time deadlines. These include:

- a. All HOME activity/projects must be completed within three (3) years of the fully executed agreement/contract with DCED. Contracts will be for four (4) years, but the last year will be for administrative and closeout purposes.
- b. There is a nine (9) month deadline for sale of homebuyer units or the units must be converted to HOME rental units and follow the HOME rental requirements found in 24 CFR 92.252.
- c. There is an 18 month rental occupancy deadline for all rental activity. Units not rented within 6 months require grantee to produce a written report to DCED on marketing efforts, conditions, etc.

Community Housing Development Organizations (CHDO)

The Commonwealth, as a participating jurisdiction, is required to provide a minimum of 15 percent of the HOME allocation for project-specific housing activities that is owned, developed, or sponsored by organizations that qualify as a CHDO. The checklist titled, CHDO Qualifying Criteria for Designation Under the HOME Investment Partnership Program may be located on the DCED website, www.newpa.com and must be utilized to certify an organization as a CHDO under the HOME Program. Only reservation of funds that are for project-specific activities will be counted as CHDO commitments towards the 15 percent set-aside. CHDOs that are pursuing rental housing development projects of ten total units or more are to apply directly to PHFA. The Department accepts applications for CHDO activities that are submitted by local governments for rental projects of nine total units or less, and for homebuyer projects.

Applicants proposing to use HOME funds for CHDO development activities must demonstrate that the CHDO has staff with demonstrated housing development experience in order to receive

CHDO designation. Nonprofit organizations may not meet the organizational capacity requirement for CHDOs through the use of consultants or through a plan for staff to be trained by consultants for a term greater than one year. CHDO staff is defined as any paid employee who is responsible for the day-to-day operations of the CHDO (i.e., part-time and contracted employees). A CHDO must have a tax exemption ruling from the Internal Revenue Service (IRS) under the Internal Revenue Code's Section 501(c) (3) for a charitable non-profit or Section 501 (c)(4) for a community or civic organization, as evidenced by a letter or certificate from the IRS. Staff does not include volunteers, board members, or consultants.

Program Description

The HOME Program is the Commonwealth's primary vehicle to provide affordable housing. For 2012, the Commonwealth will continue to incorporate the housing priorities contained in the Strategy section of the Consolidated Plan into its HOME Program.

HEARTH - Emergency Solutions Grants Program

Program Description

The Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act of 2009 authorized two significant changes to programs assisting people who are homeless. First, HEARTH replaced the old Emergency Shelter Grant (ESG) Program with the new Emergency Solutions Grant (ESG) Program. Second, the Act also consolidates many of the McKinney-Vento programs such as Supportive Housing Program, Shelter Plus Care, and Section 8-Single Room Occupancy Program.

In the Interim Rule published December 5, 2011, HUD has interpreted sections 415(a)(4) and (5) of the McKinney-Vento Act to authorize and categorize Emergency Solutions Grants funds to be used for the purpose of Street Outreach, Shelter, Homelessness Prevention and Rapid Re-housing Activities, data collection and reporting as well as program administration.

NOTE: DCED is providing a summary of its expected approach to the new ESG program in this Action Plan, but anticipates submitting a substantial amendment to the 2012 Action Plan once additional guidance is issued by HUD.

Program Goals and Objectives

The Department has administered funding for homeless program since 1986. Priority will be given to applications that support the rehabilitation of shelters, strive to keep the family intact, keep households in existing housing units, and provide comprehensive services, which are conducive in the transition of clients into permanent housing. This requires networking with local, state, and federal agencies, and programs that provide funds for necessary supportive services. DCED intends to extensively use the existing system of Continuum of Care (CoC) to network the services within the regions of the state.

Fund Allocation – At the time of this publication, the allocation for FY 2012 has not been announced, however, it is anticipated that H-ESG will approximate the FY 2011 allocation of \$5,082,869.

Administration – DCED will reserve half of the 7.5% maximum allowed for administration to be used for state-level administration purposes.

Coordination with Continuums of Care - DCED will consult with the Statewide Continuum of Care Steering Committee in allocating funds for eligible activities, developing performance standards, evaluating outcomes of H-ESG assisted projects and developing funding, policies and procedures for the administration and operation of the Homeless Management Information System (HMIS). H-ESG recipients must also coordinate and integrate H-ESG activities with other homelessness and mainstream programs.

DCED will annually develop strategic Action Plans to its Consolidated Plan utilizing homeless data collected by the Statewide Continuum of Care Steering Committee which identifies the number of homeless individuals terming out of the Homelessness Prevention and Rapid Re-housing Program; gaps in services and affordable housing for the homeless.

Distribution of Funds and General Program Requirements

Priorities:

DCED will administer the H-ESG funds for FFY 2012 through a competitive process. DCED intends to make grants of \$50,000 or more for the provision of Street Outreach, Shelter, Homelessness Prevention, Rapid Re-housing, HMIS or program administration activities, but will entertain grants of less than this amount under certain extenuating circumstances. Because of the mandated need for Centralized and Coordinated Intake and Assessment, DCED will accept applications only from county governments, and those entities within the City of Philadelphia and the City of Pittsburgh that received HPRP funding from DCED. Townships, boroughs and small cities should work with their county government to access these funds. Priority will be given to counties that do not receive an allocation directly from HUD (the areas receiving this priority are commonly referred to as non-entitled jurisdictions. Counties and municipalities receiving an allocation directly from HUD are known as entitled jurisdictions). DCED will consider funding applications from both entitled and non-entitled jurisdictions.

Target Population to be Served:

Funds will target individuals or households with incomes below 30% Area Median Income (AMI).

Eligible activities:

1. *Street outreach and emergency shelter* – Restrictions apply on the annual amount grantees may spend on outreach and emergency shelter. Funds used by grantees for these purposes would be limited to the greater of either:
 - 60 percent of their total ESG grant; OR
 - The amount of ESG dollars utilized for these activities in FY 2010.
2. *Administration* - Total funds that can be spent on administrative activities are 3.75% of the grantees H-ESG funds. Administrative costs now reflect most of the administrative costs allowed for CDBG.

3. Homeless prevention and Rapid Re-housing –Grantees can provide short-term and medium-term rental assistance. Short –term assistance can be up to 3 months. Medium – term assistance can be up to 24 months. A written lease between the program participant and the housing owner is required, as is a rental assistance agreement between the grantee and the housing owner. Rental assistance may be either tenant-based or project-based. Rent restrictions apply for all assisted units. Housing relocation and stabilization activities also eligible. These include case management, essential services and legal services.
4. HMIS – H-ESG subcontractors must participate in an HMIS, providing regular and consistent data on client service delivery. Certain HMIS costs as defined by regulation are eligible under the program.

Match Requirement:

A dollar for dollar match is required for all H-ESG grants.

Obligation:

Grantees must obligate H-ESG funds within 120 days of receipt of executed grant contract from the Commonwealth. In order to comply with this requirement, grantees must evidence that a subcontract for service delivery has been executed.

Grant Term:

H-ESG grant contracts will expire within 24 months of execution.

Written Program Administration Policies:

Grantees are required to evidence written administrative policies which contain specific procedures and protocols for -

- Evaluation of participant eligibility and needs
- Homeless participation
- Termination criteria and process
- Shelter and Housing Standards
- Conflicts of Interest

Area-Wide Systems Coordination:

Grantees must work with their respective Continuum of Care (CoC) to develop and implement a centralized or coordinated assessment system with a uniform assessment tool to be utilized by all subcontractors delivering services. Grantees must initially assess the eligibility and needs of each household seeking homeless assistance. It is expected that the assessment system would vary from community to community based on identified regional or local needs.

Coordination with Targeted Homeless Services:

Grantees must coordinate H-ESG funded activities with other programs targeted to serving homeless people, namely CoC Programs, PATH Programs, HUD-VASH Programs and healthcare programs targeting homeless individuals and their families.

Coordination with Mainstream Resources:

Grantees must coordinate and integrate H-ESG funded activities with mainstream resources that are not specifically targeted to the homeless, but for which persons who are homeless or at-risk of homelessness may be eligible, namely Housing Programs, Public Housing Authority programs, Health Services, Social Services, Employment programs, Education programs and Youth programs.

Program Requirements:

The Commonwealth's H- ESG Guidelines follow the federal program requirements that are published in the Code of Federal Regulations. Program proposals must follow all federal requirements, as well as the state program guidelines.

Homelessness Prevention and Rapid Re-housing Program

DCED received an allocation of \$23.4 million from HPRP to support financial assistance and services to prevent individuals and families from becoming homeless or help those who are experiencing homelessness to be quickly re-housed and stabilized. Funds are being used for the following activities to address the jurisdiction's homelessness needs: financial assistance with rental payments; security deposits; utility payments or deposits; arrearages; moving costs; and, motel/hotel vouchers; and housing relocation and Stabilization Services including case management; outreach and engagement; housing search and placement; legal services; and, credit repair. These funds, governed by previously submitted Action Plan amendments, will continue to be spent during 2012.

Housing Opportunity for People with AIDS Program (HOPWA)

The Department of Health (DOH), Bureau of Communicable Diseases, Division of HIV/AIDS (Human Immunodeficiency Virus/Acquired Immune Deficiency Syndrome) will administer the HOPWA Program by allocating the funds on a formula basis to six of the seven regional HIV Planning Coalitions/Fiscal Agents. The Philadelphia and Pittsburgh regions receive separate allocations directly from HUD. The Southwestern PA AIDS Planning Coalition receives funds from the Commonwealth for four counties in their region. The formula to allocate these funds is primarily based on the number of persons living with AIDS in each region. Outcome measures are the number of persons living with HIV/AIDS and households receiving assistance, based on established objectives. The HOPWA Program will provide decent affordable housing to benefit low income persons living with HIV/AIDS by providing services to a projected 606 households during the 2012 program year. For the time period of 2010 to 2013, it is projected to offer HOPWA services to 2720 households. Although a detailed program plan will be submitted to HUD outlining specific uses of 2012 funds by May 2012, the DOH estimates, excluding administrative costs, that funds will be spent as follows: 83 percent for rental assistance; 10 percent for support services; 7 percent for operating and other costs. 606 households are projected to receive HOPWA services.

The goal for the 2010 Consolidated Plan year was to provide housing assistance to 544 households. During that HOPWA grant, 451 households received housing assistance.

Sources of Funds

The HOPWA Program is funded through the HUD formula grant program. The exact amount of the HOPWA allocation of 2012 funds is not available at the time of this publication. However, it is expected that the 2012 allocation will be approximately the 2011 allocation of \$1,892,923.

Method of Distribution of Funds

The Department of Health (DOH), Bureau of Communicable Diseases Division of HIV/AIDS allocates HOPWA funds throughout the state to six of Pennsylvania's seven Regional HIV Planning Coalitions/Fiscal Agents, except for the Philadelphia and Pittsburgh metropolitan areas which receive their own allocations. Additionally, the State of Pennsylvania in mutual agreement with the City of Allentown for the 2010 HOPWA grant year will serve as the grantee for HOPWA funds to be used for housing support and related services on behalf of the Commonwealth's designated counties (Carbon, Lehigh, and Northampton) in the Allentown-Bethlehem-Easton, PA-NJ EMSA (Eligible Metropolitan Statistical Area). DOH presumes that this will be the case going forward and thus the funding is included in the overall amount for the HOPWA program. Grant agreements are developed with the Coalitions/Fiscal Agents through a sole source process instead of Request for Proposals (RFPs). It would not be practical to enter into grant agreements with different agencies each year since they would not have the institutional knowledge to manage these services effectively. The Fiscal Agent is an entity with which the DOH enters into a grant agreement to provide fiscal administrative functions on behalf of a Coalition. Each Coalition prioritizes needs for its respective region through a formal process reflective of its demographic and epidemiological profile. The Fiscal Agent will disperse the funds based upon the need for the full range of eligible activities.

Fiscal Agents issue RFPs annually and/or every two years to large organizations and smaller grassroots, faith-based and other community organizations to provide short-term rent, mortgage, and utility payments; rental assistance; housing information; resource identification; operating costs; permanent housing placement and supportive services. Supportive services are limited to those eligible under the Ryan White CARE Act of 1990. In addition, each Coalition/Fiscal Agent has the capacity to distribute patient care funds directly if it is expedient and/or the most cost effective method for service delivery.

Each Region receives funding to provide short-term rent, mortgage, and utility assistance to their clients. Project sponsors that do have caps in place, have based them upon historical usage, the estimated number of clients in need of this service, and the amount typically needed to avoid eviction.

Below is a list of the six regions noting if caps are used for this service and the amount:

- AIDSNET – Cap per client is a 17-week period and is based upon the area's fair market rents.
- North Central – No caps.

- Northeast – No caps.
- Northwest – No caps.
- South Central – Cap per client is a 12-week period and is based on the area’s fair market rents.
- Southwest – Cap per client is \$750 and is based upon the area’s fair market rents.

Nearly all those persons diagnosed with AIDS are adult (99%) and male (78%), while 36% of the persons are white; the percentages of African-Americans (50%) and Hispanics (12%) are disproportionately represented among those living with AIDS in Pennsylvania. Historically, the percentage of persons who reported a primary risk factor as ‘men who have sex with men’ (MSM) was 37.3%. Injecting drug use (IDU) ranks second at 31% and heterosexual contact totals at 20%. Of those living, 65.6% were reported from the five Pennsylvania counties in the Philadelphia Eligible Metropolitan Area (EMA); 9.7% lived in the Southwestern region (including the Pittsburgh EMA); and 10.2% were reported from the South Central region. AIDSNET (Allentown/Bethlehem) reported 8.5% of the state’s AIDS cases. The remaining three Coalitions, North Central (Lock Haven/Williamsport), Northwest (Clarion/Erie), and Northeast (Wilkes-Barre/Scranton) reported 6.0% of persons living with AIDS in Pennsylvania.

In October 2002, HIV infection became a reportable condition in Pennsylvania. Although reporting began in November 2002, Philadelphia was granted an extension for implementation in that jurisdiction. Due to Pennsylvania’s nascent reporting, prevalence and incidence cannot yet be determined with any validity.

Several years of data collection will be needed to provide accurate and meaningful figures. Until data is available, the Center for Disease Control (CDC) suggests assuming that 400 people per 100,000 of the population are infected. Using this formula and the population estimate from the 2000 U. S. Census, Pennsylvania has approximately 49,124 persons infected with HIV. It is assumed they are geographically distributed and demographically characterized the same as persons presently living with AIDS in Pennsylvania. Case management continues to be an integral part of services in each region. Under contract and subcontract, each case manager is responsible for developing a client assessment and establishing individualized care plans.

A formal monitoring and evaluation system has been developed to ensure quality service and appropriate levels of care. Each Coalition is responsible for the development and maintenance of a needs assessment and the prioritization of services. Fiscal agents for the Coalitions will monitor service agencies, oversee disbursement of funds, and issue RFPs. The formula distribution for the Coalitions is based primarily upon the number of live AIDS cases.

Lead-Based Paint

Any housing built prior to 1979 is considered to be at risk of containing some amount of lead-based paint. Older housing is more likely to have lead-based paint and the amount of lead pigment in the paint tends to increase with the age of the housing. Consequently, children of very-

low and low-income families, especially Hispanic and African-American children, are disproportionately at risk for lead poisoning as they tend to reside in older housing.

The table below is indicative of the relationship between the age of the housing stock and the likelihood that the housing contains some lead-based paint. It also includes an estimate of the number of poverty-level units with lead based paint. It should be noted, however, that simply because a structure is older or contains lead-based paint, does not mean it poses an immediate hazard. The lead paint becomes a hazard when it is chewed, begins to peel, chip or flake or turn to dust due to abrasion or the hazardous material becomes exposed and/or airborne.

Percentage of Poverty Level Housing Units with Lead-Based Paint

Year Structure Built	Total Units per 2000 Census	Owner Occupied & Renter Housing Units Below Poverty Level per 2000 Census Summary File 3-Table HCT23 per 2000	Percentage of Housing Units with Lead-Based Paints	Estimated Number of Below Poverty Level Housing Units with Lead-Based Paint
1999 to March 2000	66,916	3,589	0%	
1995 to 1998	212,916	12,797	0%	
1990 to 1994	266,445	15,536	0%	
1980 to 1989	531,986	42,354	0%	
1970 to 1979	709,768	70,878	62%	43,944
1960 to 1969	595,897	57,320	62%	35,538
1940 to 1959	1,275,149	130,436	80%	104,348
1939 or earlier	1,590,673	193,860	90%	174,474
Total Housing Units in PA per 2000 Census	5,249,750	526,770	63%	331,865

The housing data was obtained from the 2000 Census. The percentages in the table above were derived from the *Comprehensive and Workable Plan for the Abatement of Lead-Based Paint in Privately Owned Structures*.

According to 2009 Pennsylvania Surveillance Data compiled by the Centers for Disease Control and Prevention of Lead Poisoning on childhood cases of lead poisoning throughout Pennsylvania counties, 2,113,422 housing units in the Commonwealth were built prior to 1950. Screenings of 145,996 children living in those units revealed 2,750 confirmed cases of lead poisoning, which if left untreated, will have a detrimental effect upon their natural growth and development.

The Healthy Homes Program under the PA Department of Health, indicates that, “As a target population, low-income, young children are particularly vulnerable to health complications associated with unhealthy home environments. In 2000, Pennsylvania’s 1,043,455 children under seven years of age, placed seventh in the nation for having the most children in that age group.

Pennsylvania’s diverse landscape of rural and urban communities includes some of the oldest housing in the nation. Indoor environmental health and safety hazards tend to be found disproportionately in housing that is older and substandard. According to the 2000 Census, Pennsylvania was second in the nation for having the most housing units built before 1950 (behind only New York). Pennsylvania’s 2,113,422 pre-1950 built units account for approximately 40% of its entire housing stock. The correlation of high lead levels with older housing is very strong.

Lead paint was not banned until 1978; therefore, many of Pennsylvania’s homes hold potentially hazardous sources of lead exposure. Recent studies have shown that even low blood lead levels in young children are more dangerous than previously thought. In 2007, 5,833 (or, 4.6%) of the state’s 126,522 lead-tested children under six years of age had a maximum blood lead level result equal to, or greater than, the established threshold of elevation (10 micrograms per deciliter).

Statewide, in 2000, hospitalizations associated with asthma for children less than five (5) years of age occurred at the rate of 51.74 per 10,000 persons. Asthma triggers such as mold, mildew, and dust mites continue to disproportionately impact the most vulnerable populations living in the oldest Pennsylvania housing. The need to address indoor environmental health and safety hazards is evident.”

According to a 2010 Annual Report by the PA Department of Health Childhood Lead Surveillance Program (CLSP), in 2010, of the 148,617 children under the age of seven reported as tested for lead, 28,133 (18.93%) were reported as White or Caucasian, and 15,177 (10.21%) were reported as Black or African American. Less than 1% of the total was reported as Asian, and as alluded to above, the remaining 70% were reported either as “Unknown” (68.51%), or “Other” (1.55%). In addition, 1.75% of children under the age of seven tested for lead in Pennsylvania had a confirmed EBLL (elevated blood lead level) result. For comparison, the confirmed elevated rate by race is as follows: Other - 4.08%, Black/African American - 3.83%, White/Caucasian - 2.50%, Asian - 2.29%, Unknown - 1.17%. When reviewing the number of children with reported confirmed elevated results, nearly 50% of the confirmed elevated results were reported as a race of “Other” or “Unknown.”

Lead poisoning prevention efforts (including testing of children ages 16 and under) are being focused in a number of cities because of their high proportion of population of children under age seven (and high concentrations of minority children with elevated blood level levels), low income families, and older housing. Even though the percentage of confirmed elevated blood lead levels has decreased significantly since 2007, the target cities still experience lead poisoning at a rate of nearly two-and-a-half times that of the non-targeted areas, and over 40% more than the state on average. This highlights the need to continue to focus lead poisoning prevention efforts on these target cities. For reference, the target cities are listed below:

Allentown	Altoona	Bethlehem
Chester	Easton	Erie
Harrisburg	Johnstown	Lancaster
Lebanon	Levittown	Norristown
Philadelphia	Pittsburgh	Reading
Scranton	State College	Wilkes-Barre

The 2010 CLSP report also identified that throughout the Commonwealth's 67 counties, the counties with the highest concentrations of minority children with confirmed elevated blood levels live in Allegheny, Berks, Erie, Lancaster, Montgomery, Philadelphia and York counties.

The report indicates that since 2007, prevention efforts by the PA Health Department have affected a 20.45% decrease in the percentage of children under age seven with confirmed EBLL. A three-year pilot program has targeted intervention in Philadelphia and Erie, and reveals that the Department has efficiently maximized resources to target the widest scope of at-risk populations. Homes in these areas have been assessed and have received remediation of their environmental health and safety hazards (including mold, mildew, other allergens and moisture). It is expected that by the end of the project the program will:

- Lead to a measurable reduction in allergen and moisture levels, as well as producing a reduction in asthmatic episodes.
- Change behaviors around environmental health and safety hazards by distributing information regarding these hazards, identifying the hazards, by assessments or remediation, and explaining how to prevent or reduce the effect of hazards.

Act 44 of 1995 and its regulations require that the PA Department of Labor & Industry be notified of work where lead-based paint is present and that persons certified by the Department perform this work whenever the following occur:

- Lead-based paint is to be removed from housing in which children (less than 6 years old) are present and this removal is not taking place as part of a renovation/alteration of housing.
- Lead-based paint is removed from "target housing" (any housing constructed prior to 1978) or housing units that lack bedrooms. If the removal is part of a renovation/alteration project, no notification is required.

DCED has mandated that all grantees of federal funding for housing purposes (rehab, new construction, etc.) must comply with these regulations and links grantees with regular training opportunities to certify their staff and subcontractors in relevant lead-based paint training.

Monitoring Standards and Procedures – Revised June, 2014

DCED administers all funds received from HUD with the exception of the HOPWA Program, which is administered by the Department of Health (DOH). Grantees receiving CDBG, HOME, ESG, HPRP and NSP funds from DCED are monitored at least once during the life of their contract, with scheduling depending upon grantee activities and expenditure rates. DCED develops a yearly monitoring schedule based on the award date of each contract, with all federal program grantees identified for monitoring on a three-year, rotating basis. HUD's program specific Monitoring Guideline sheets are used to aid the state monitor.

DCED utilizes the staff of three centers of the department to complete grantee monitoring. The program staff of the Center for Community Financing completes the majority of the

programmatic and statutory compliance. The Financial Management Center (FMC) completes review of all invoices prior to approval for draw. The auditing department of the FMC will then do a quarterly random review of the support documentation of the invoices. The FMC will also conduct the financial, procurement and labor standard monitoring on the same three year cycle as the program office.

For the HOME program, DCED has included the staff of the Weatherization Division to conduct on-site monitoring of the Homeowner Rehabilitation Program, where they verify compliance to the Housing Quality Standards and incomes. Grantees are monitored to ensure that statutory and regulatory requirements are met and that information submitted to DCED, and ultimately to HUD, is correct and complete. The monitoring visits include DCED staff verification of program requirements, such as income levels of the families and individuals served, the procedures used to administer funds locally, the eligibility of the activities and recipients of assistance and the quality of construction and rehabilitation work.

All ESG contracts are monitored once during the contract period with HUD. All aspects of the program are monitored including environmental review, match, income levels if applicable, case management and use of the HMIS system. The FMC retains the financial monitoring for the ESG grantees. Grantees must now send all ESG invoices to DCED to drawdown from IDIS. As part of this process, invoices are reviewed by programmatic staff of DCED and supporting documentation is required with the submittal.

The HOPWA is administered by the Department of Health. The monitoring process for HOPWA is consistent with the process used for other HUD funds. A formal monitoring and evaluation system has been developed to ensure quality service and appropriate levels of care. Each Coalition is responsible for the development and maintenance of a needs assessment and the prioritization of services. Fiscal agents for the Coalitions will monitor service agencies, oversee disbursement of funds, and issue RFPs.

Appendix A

State Resources and Planning Documents

Community and planning development and housing information required for the Consolidated Plan can be found in the supporting documents listed below:

Housing and Community Development Needs Assessment

- Consolidated Plan Federal Fiscal Years 2009-2013
Contact: DCED, Center for Community Development (717) 787-5327
Website: www.newpa.com/strengthen-your-community/technical-assistance/index.aspx
- Housing in Pennsylvania: Past, Present and Reflections on Our Future
Contact: The Reinvestment Fund (215) 925-1130
- Pennsylvania Housing Study (2007)
Contact: PHFA (717) 780-3800
Website: http://www.phfa.org/hsgresources/housing_study_2007.aspx

Homeless Needs and Facilities Assessments

- 2011 Continuum of Care Application for the Northwest Region of PA
 - 2011 Continuum of Care Application for the Northeast Region of PA
 - 2011 Continuum of Care Application for the Southwest Region of PA
 - 2011 Continuum of Care Application for the Central Harrisburg Region of PA
- Contact: DCED, Center for Community Development (717) 787-5327

Lead Based Paint Hazards

- Consolidated Plan Federal Fiscal Years 2009-2013, page 25
Contact: DCED, Center for Community Development (717) 787-5327
Website: <http://www.newpa.com/site-search/index.aspx>
- PA Department of Health, Bureau of Family Health,
Lead Poisoning Prevention & Control Section
717-772-2762
- Pennsylvania National Electronic Disease Surveillance System (PA-NEDSS),
Pennsylvania Childhood Lead Surveillance Program 2009 Annual Report

Special Need Facilities and Services

- Community Mental Health Services Block Grant Application for FFY 2009-2011
Contact: Department of Public Welfare, Office of Mental Health and Substance Abuse Services (717) 772-7934
Website:
http://www.dpw.state.pa.us/ucmprd/groups/webcontent/documents/communication/s_002849.pdf
- Housing Services – Homeless Assistance Program
Contact: Department of Public Welfare, Homeless Assistance Program,
County Contact List – 800-692-7462
Website: www.dpw.state.pa.us/serviceprograms/other/homelessassist/default.htm
- Youth Independent Living Report FFY 2009
Contact: Department of Public Welfare, Office of Children, Youth and Families
800-692-7462
- PA Developmental Disabilities 2009 Annual Report
PA Developmental Disabilities Council (717) 787-6057
Website: www.paddc.org/publications.html
- Community Services Program for Persons with Physical Disabilities (CSPPPD)
Contact: Department of Public Welfare, Bureau of Individual Support, 800-692-7462.
- Housing Options for People with Mental Retardation
Contact: Department of Public Welfare, Office of Developmental Programs
800-692-7462
- State Plan on Aging 2008-2012
Contact: Department of Aging, Office of Program Management (727) 783-1550
Website:
<http://www.portal.state.pa.us/portal/server.pt?open=514&objID=616062&mode=2>
- Overview –Education, Training and Services for Individuals with Disabilities, (OVR)
Combined Agency State Plan 2010 Federal Fiscal Year Update.
Contact: Department of Labor and Industry, Office of Vocational Rehabilitation
Division (717) 783-9964.
Website:
http://www.portal.state.pa.us/portal/server.pt/community/vocational_rehabilitation/10356
- Community Corrections Housing Brochure
Contact: Community Corrections, Bureau of Community Corrections
(717) 731-7147.
Website:
http://www.portal.state.pa.us/portal/server.pt/gateway/PTARGS_0_2_915547_0_0_18/Housing_Brochure.pdf

- Housing for People with AIDS (HOPWA) Consolidated Annual Performance Evaluation Report (CAPER) Matching Performance Outcomes (August, 2010)
Contact: Department of Health, Division of HIV/AIDS, (717) 783-0572
- Barriers to Affordable Housing – 2005 State Land Use and Growth Management Report. Contact: Department of Community and Economic Development, Governor’s Center for Local Government Services. 888-223-6837.
Website:
<http://www.newpa.com/get-local-gov-support/community-planning/land-use-reports/state-land-use-report-and-05-report/index.aspx>

Non-Housing Community Development Plan

- Consolidated Plan 2009 – 2013 and Action Plan 2010
Contact: Department of Community and Economic Development, Center for Community Development. (717) 787-5327.
Website:
<http://www.newpa.com/strengthen-your-community/technical-assistance/index.aspx>
- Statewide Transportation Improvement Program (STIP) 2003
Contact: Department of Transportation, Bureau of Development and Management (717) 787-5248.
Website:
www.dot.state.pa.us/Internet/Bureaus/cpdm.nsf/homepagefunding?openform
- PENNVEST 2007/2008 October Press Release on funded projects
Contact: PA Infrastructure Investment Authority Project Management (717) 783-6798.
Website:
http://www.portal.state.pa.us/portal/server.pt/community/about_us/9320/publications/541430

Anti-Poverty Strategy

- Temporary Assistance for Needy Families (TANF) State Plan
Contact: Department of Public Welfare, Office of Income Maintenance 800-692-7462.
Website:
http://www.dpw.state.pa.us/ucmprd/groups/webcontent/documents/report/s_002763.pdf

Low Income Housing Tax Credit

- Low Income Housing Tax Credit Allocation Plan
Contact: Pennsylvania Housing Finance Agency, Multifamily Rental Housing Development Program. (717) 780-3800.
Website:
www.phfa.org/developers/developer/lihtc.aspx

Guidelines for Distribution of Federal Resources

Contact: Department of Community and Economic Development, Center for Community Development, (717) 787-5327.

- Community Development Block Grant
Community Development Block Grant Website:
Application Package and Guidelines
<http://www.newpa.com/find-and-apply-for-funding/funding-and-program-finder/funding-detail/index.aspx?progId=71>
- Home Investment Partnerships (HOME) Program
HOME Projects for Homeownership Development Website:
Application Package and Guidelines
<http://www.newpa.com/find-and-apply-for-funding/funding-and-program-finder/funding-detail/index.aspx?progId=85>
- Emergency Solutions Program Grant
Emergency Shelter Grant Website
Application Package and Guidelines
<http://www.newpa.com/find-and-apply-for-funding/funding-and-program-finder/funding-detail/index.aspx?progId=92>
- Neighborhood Stabilization Program
Neighborhood Stabilization Program
Application Package and Guidelines
<http://www.newpa.com/find-and-apply-for-funding/funding-and-program-finder/funding-detail/index.aspx?progId=182>
- HOME Funds for Multifamily Rental Projects – Multifamily Housing Website:
Contact: Pennsylvania Housing Finance Agency, Multifamily Rental Housing Development Program. (717) 780-3800.
Application Package and Guidelines
<http://www.phfa.org/developers/developer/housingapplication.aspx>

Appendix B

Pennsylvania Housing Advisory Committee Members

Chair: Secretary C. Alan Walker

Hon. Brian Duke	Secy., PA Dept. of Aging	Harrisburg
Hon. Julia K. Hearthway	Secy., PA Dept. of Labor & Industry	Harrisburg
Hon. Dr. Eli N. Avila	Acting Secy., PA Dept. of Health	Harrisburg
Hon. Gary D. Alexander	Acting Secy., PA Dept. of Public Welfare	Harrisburg
Hon. Glenn E. Moyer	Secy., PA Dept. of Banking	Harrisburg
Hon. C. Alan Walker	Secy., PA Dept. of Com. & Econ Dev.	Harrisburg
Hon. Ronald G. Waters	Member, PA House of Reps.	Harrisburg
Vacant	Member, PA House of Reps.	Harrisburg
Hon. Lawrence Farnese, Jr.	Senate of Pennsylvania	Harrisburg
Hon. John Pippy	Senate of Pennsylvania	Harrisburg
Brian Hudson	Exec. Dir., PA Housing Finance Agency	Harrisburg
Rose V. Gray	Asociacion De Puertorriquenos En Marche, Inc.	Philadelphia
Patrick Bokovitz	Chester County Dept. of Community Dev.	West Chester
Roger Bunnell	Improved Dwellings for Altoona	Altoona
JoAnn L. Edwards	Exec. Dir., PA Human Relations Com.	Harrisburg
Michael W. Platt	Greater PA Regional Council of Carpenters	Harrisburg
Tammy Knouse	Fayette Co. Community Action Agency	Uniontown
James Musto	Honesdale National Bank	Wilkes Barre
Dainette Mintz	Philadelphia Office of Supportive Housing	Philadelphia
J. Michael Fisher	SEDA-COG	Lewisburg
Ira Goldstein	The Reinvestment Fund	Philadelphia
John Bendel	Federal Home Loan Bank of Pittsburgh	Pittsburgh
Carl DeChellis	Housing Authority of Beaver County	Beaver
Martha Hanson	Rural Development, USDA	Harrisburg
Michelle Lewis	Northwest Counseling Services	Philadelphia
Edward T. Coleman	Comm. Action Agency of Delaware County	Media
Richard Kisner	Colombia County Housing and Redevelopment	Bloomsburg
Sara Andrews	Bradford City, Econ. and Comm. Dev. Office	Bradford
Andrew French	Fayette County Redevelopment Authority	Uniontown
Ben Laudermilch	Cumberland/ Perry Housing and Community	Carlisle
Cathy A. Niederberger	PNC Community Development Banking	Pittsburgh
Larry Segal	Impact PA	Wayne

Appendix C

Regional Housing Advisory Committee Members

Regional Housing Advisory Committee Southeast Region

Chair: Patrick Bokovitz

Mark Adelsberger	Boulevard Mortgage	Bensalem
Dr. Louis Beccaria	Phoenixville Community Health Foundation	Phoenixville
Tracy Beck	Residential Living Options	Downingtown
Mary E. Bell	Delaware Valley Regional Planning Comm.	Philadelphia
Patrick Bokovitz	Chester County Dept. of Community Develop.	West Chester
Paulette Carter	Citizen Bank	Philadelphia
John Chin	Philadelphia Chinatown Development Corp.	Philadelphia
Michael Colaizzo	Building Industry Association of Philadelphia	Philadelphia
Edward Coleman	Community Action of Delaware County	Media
Bev Coleman	Neighborhoods Now	Philadelphia
Lisa Worden	DCED – Southeast Regional Office	Philadelphia
Mark Dambly	Pennrose Properties, LLC	Philadelphia
Ira Goldstein	The Reinvestment Fund	Philadelphia
Patrick Jordan	Plumbers Union Local #690	Philadelphia
Carol Navon	Montgomery County Housing Authority	Norristown
John F. Nugent, III	Montgomery County Redevelopment Authority	Norristown
Liz Robinson	Energy Coordinating Agency of Philadelphia, Inc.	Philadelphia
Bill Salas, Jr.	Hispanic Association of Contractors & Enterprises	Philadelphia
Rick Sauer	Philadelphia Association of Community Development Corporations	Philadelphia
Andy Toy	The Enterprise Center	Philadelphia
Nancy Wieman	Montgomery County MH/MR	Norristown

**Regional Housing Advisory Committee
Northeast Region**

Chair: James Musto

Linda Aebli	City of Scranton – Office of Economic & Com. Dev.	Scranton
Jody Baden	Lackawanna Neighbors, Inc.	Scranton
Gene Brady	Commission on Economic Opportunity of Luzerne Co.	Wilkes-Barre
William E. Cockerill, Jr.	United Way of Lackawanna County	Scranton
Jonathan D. Encarnacion	Hispanic Center	Reading
David W. Evans	Housing Association & Development Corporation	Allentown
Jeffrey A. Feeser	Schuylkill Community Action	Pottsville
Raymond Guernsey	Redevelopment Authority of Monroe County	East Stroudsburg
Graysha Harris	GKH Consulting Company	Tunkhannock
Ron Schell	Bradford-Sullivan MH/MR Program	Athens
John J. Jennings	Wyoming County Housing & Redevelopment Authorities	Nicholson
Dan Loftus	Northeast Pennsylvania Center for Independent Living	Scranton
James McRath	Tioga/Bradford Counties Housing and Redevelopment Authority	Blossburg
James Musto	Honesdale National Bank	Wilkes Barre
B. Thomas Palmer	Urban Research and Development Corporation	Bethlehem
Ed Pawlowski	City of Allentown	Allentown
Dennis Phelps	Trehab Center	Montrose
Kenneth L. Pick	Berks County Community Development Office	Reading
Kevin Rogers	PNC Bank	Scranton
John D. Rohal	Redevelopment Authority of the City of Bethlehem	Bethlehem
Tom Walker	Lehigh County Conference of Churches	Allentown
Dennis Capozollo	Alliance for Building Communities	Allentown
Gary Baker	DCED NE Regional Office	Scranton

**Regional Housing Advisory Committee
Central Region**

Chair: Roger Bunnell

Allan Faight	AFL-CIO	Altoona
Cynthia Witman Daly	Housing Alliance of PA	Harrisburg
LuAnn Rabenstein	Blair County MH/MR/D&A Program	Hollidaysburg
Susan Estep	Center for Independent Living of South Central PA	Altoona
Hugh A. Daly	Central PA Community Action, Inc	Clearfield
David W. Stockton	Gaudenzia, Inc	Harrisburg
James G. Cassel, Jr	Community Homes of Lebanon Valley, Inc	Lebanon
Christopher Gulotta	Cumberland County Redevelopment Authority	Carlisle
Louis Colon	DCED – Central Harrisburg Regional Office	Harrisburg
Roger Bunnell	Improved Dwellings for Altoona, Inc	Altoona
Randy S. Patterson	City of Lancaster	Lancaster
J.Michael Fisher	SEDA Council of Governments	Lewistown
Janet Alling	Lycoming-Clinton Commission for Community Action, Inc.	Williamsport
Jere Engle	Union County Housing Authority	Lewisburg
Harry L. Adrian	Union-Snyder Community Action Agency	Selinsgrove
Valeria Barner	Centre County Mental Health/Mental Retardation	Bellefonte
George Barnock	York County Planning Commission	York
Edward P. Christiano	Housing Authority of Northumberland County	Milton
Carlos Graupera	Spanish American Civic Association	Lancaster
Andrew Haines	S & A Homes	State College
Michael Kearney	Mullin and Lonergan Associates, Inc.	New Cumberland
Patty Kim	City of Harrisburg	Harrisburg
Michael Ward	USDA Rural Development	York
Heidi Kline	The Northumberland National Bank	Selinsgrove
Ho-Thanh Nguyen	Pennsylvania Immigrant & Refugee Women's Network	Enola
Kathy Possinger	Community Action Commission	Harrisburg
Gerald Seman	Jersey Shore State Bank	Williamsport

**Regional Housing Advisory Committee
Southwest Region**

Chair: Tammy Knouse

Frank Aggazio	Allegheny County Housing Authority	Pittsburgh
Pam Beattie	Omega Financial Services, Inc.	Carnegie
John Bendel	Federal Home Loan Bank of Pittsburgh	Pittsburgh
Bethany Budd Bauer	City of McKeesport	McKeesport
Tom Cummings	Urban Redevelopment Authority of Pittsburgh	Pittsburgh
Dennis M. Davin	Allegheny County Department of Economic Development	Pittsburgh
Cindy Dunlap Hinkle	Buchanan Ingersoll & Rooney PC	Pittsburgh
John Ginocchi	Trek Development Corporation	Pittsburgh
Jessica Hajek	Fayette Progress Council & Commercial Center Associates	Union
Janine Kennedy	Butler County Community Action & Development	Butler
Kenneth K. Klein	Threshold Housing & Development Inc	Uniontown
Tammy Knouse	Fayette County Community Action Agency	Uniontown
John Jeffrey Lengel	Residential Resources, Inc	Pittsburgh
Albert D'Alessandro	DCED – Southwest Regional Office	Pittsburgh
Robbie Matesic	Greene County Department of Community and Economic Development	Waynesburg
Pat Nardelli	Casselbrook Development Group	Pittsburgh
Mark Schneider	Fourth River Development LLC	Pittsburgh
Kathleen Treme	United Way of Butler County	Butler
Dawn T. Williams	Urban League of Greater Pittsburgh	Pittsburgh
David Williamson	Thomas & Williamson Program Development	Pittsburgh
Joe Yarzebinski	Rural Local Initiative Support Corporation	Pittsburgh
Laura R. Zinski	Mon Valley Initiative	Homestead
Andrew French	Fayette County Redevelopment Authority	Uniontown

**Regional Housing Advisory Committee
Northwest Region**

Chair: Vacant

Mark W. Alexa	Erie County MH/MR Office	Erie
Sara Andrews	City of Bradford	Bradford
Ronald Andzelik	NW Savings Bank	Warren
Vacant	Mercer County Housing Authority	Sharon
Larry Bossolt	Redevelopment Authority of the City of Erie	Erie
Ronald Errett	Community Action Partnership of Mercer County	Sharon
Anthony Ferrito	United Way of Erie County	Erie
Deborah Gilmour-Bertges	Liberty Mortgage	Erie
James Globa	Hispanic American Council of Erie	Erie
Virginia Grice Nuzzo	Erie County-Corry City Housing and Redevelopment Authorities	Corry
William S. Jeffress	Booker T. Washington Center	Erie
Thomas Kennedy	Prudential Real Estate	Erie
Dusti Pantuso	McKean County Redevelopment and Housing Authority	Smethport
Robert Raible	Warren-Forest Economic Opportunity Council	Warren
Charles Scalise	Housing & Neighborhood Development Service	Erie
Tom Scott	Lawrence County Social Services, Inc.	New Castle
John Onorato	DCED - Northwest Regional Office	Erie
Noreen Stegkamper	Citizens Bank	Erie
Marilyn Thomas	Conifer Realty, LLC	Erie
Linda Thompson	Cameron Elk Mental Health/Mental Retardation	Ridgway

Appendix D

Explanations for [Table 1](#)

The following section contains information about the preparation of [Table 1](#) - Investment of Housing Resources. Line items with numbers in the amount and percentage spaces are discussed.

1. HOME: The estimate of the distribution of HOME resources is based upon Fiscal Year 2010 data.
2. CDBG: The amount of CDBG funds shown is the anticipated amount to be used for housing in 2012. This estimate is based upon grantee expenditure plans approved for housing projects in 2010.
3. ESG: An estimate of the distribution of these resources is based upon projects funded in 2010 and the project priorities established by the Department. Distribution of ESG anticipated expenditures include data collection, rehabilitation, program administration, and operating and essential services provided to shelters.
4. HOPWA: The allocation is projected for the 7/1/2012 to 6/30/2013 HOPWA Grant Year. The projected portion of allocation dedicated to Housing does not include the projected \$105,107.26 for Project Sponsor HOPWA Administrative Costs and \$ 10,000 for Grantee HOPWA Administrative Costs. The amount of HOPWA program funds for FFY 2012 is based upon the prior year's regional allocations and the percentage of AIDS cases per region.
5. DOE/ Other Energy Programs: This line includes the U.S. Department of Energy (DOE) Weatherization program. The percentage of funds distributed between homeowner and rental units is based upon the percentage of units weatherized in prior years. The actual percentage of funds spent on a rental unit is lower than that spent on homeowner unit. The figures in this category are based upon the 2011 Fiscal Year, running from July 2010 through June 2011.
6. CSBG: The amount spent from the CSBG Program on housing and related support services is based upon the amount spent in state FY 2010 (July 2010 through June 2011).
7. LIHTC: PHFA administers the tax credit program. This program runs on the calendar year. Projections based on 2011 data.
8. PATH: This is the amount of funding for the Projects to Assist in Transition from Homelessness Program (PATH) for the 2011 state fiscal year (July 2011 – June 2012). Currently, there are 36 counties served by PATH funds. Services are provided to people with mental illness and substance abuse who are homeless or at imminent risk of becoming homeless. The bulk of the funds are for outreach services. No more than 20% of the grant amount can be expended on eligible housing services as specified in Section 522 (b) (10) of the Public Health Service Act, which include:

- minor renovation, expansion, and repair of housing
- planning of housing
- technical assistance in applying for housing assistance
- improving the coordination of housing services
- security deposits
- costs associated with matching eligible homeless individuals with appropriate housing situations
- one-time rental payments to prevent eviction

Housing resource data May include any (or all) services

9. McKinney Vento - The total 2010 award allocations for New Projects and 2011 award allocations for Renewals to four regional continuums of care in the northeast region, southwest region, northwest region, and the central region continuums guided by the State Steering Committee. These funds are distributed to these rural areas of the Commonwealth for housing and services to the homeless.
10. SSBG: In state FY 2011-12 the amount of \$4.183 million was reported to the U.S. Department of Health and Human Services (HHS) for housing Services in Philadelphia. FY 2011- 2012 Homeless Assistance Program funds are included in this amount. Homeless Assistance; Funds for the Department of Public Welfare's (DPW) Homeless Assistance Program are block-granted to counties, and the counties report how they plan to allocate funds for services by submitting an annual pre-expenditure plan to DPW. The distribution of these funds is based upon the FY 2011-2012 allocation.
11. Total Federal: The total of all the federal programs listed in the table.
12. Total-State: This line is the total of the Human Services Development fund (DPW), the Closing Cost Assistance Program, Homebuyer Counseling Program (both PHFA), the Keystone Communities Program. PHFA has not set-aside agency reserves for the PennHOMES Program for FY 2012.

Human Services Development Fund (HSDF) - State funds to be appropriated annually to each county in the Commonwealth to provide Case Management and Emergency Shelter services to persons who are homeless or near homeless. Represents figures from FY 2011.

Closing Cost Assistance Program - PHFA provided \$1 million from its reserve funds to provide closing cost assistance loans and \$1.5 million for homebuyer counseling to eligible buyers in conjunction with PHFA's Keystone Home Loan PLUS Program in Calendar Year 2012.

Keystone Communities Program: State funds spent in FY 2010-2011 from the state appropriation. This Program consolidates the discontinued New Communities, Housing and Redevelopment Assistance and Pennsylvania Accessible Housing appropriations, funding most eligible activities under these appropriations.

13. Total All Sources: The total of state and federal dollars.

Appendix E

ACT 179 ENTITLEMENT JURISDICTIONS (27)

CITY	COUNTY	POPULATION
ARNOLD	WESTMORELAND	5,667
BRADFORD	MCKEAN	9,175
BUTLER	BUTLER	15,121
CARBONDALE	LACKAWANNA	9,804
CONNELLSVILLE	FAYETTE	9,146
CORRY	ERIE	6,834
DUBOIS	CLEARFIELD	8,123
FARRELL	MERCER	6,050
FRANKLIN	VENANGO	7,212
HERMITAGE	MERCER	16,157
JEANNETTE	WESTMORELAND	10,654
LOCK HAVEN	CLINTON	9,149
MEADVILLE	CRAWFORD	13,685
MONESSEN	WESTMORELAND	8,669
NANTICOKE	LUZERNE	10,955
NEW CASTLE	LAWRENCE	26,309
NEW KENSINGTON	WESTMORELAND	14,701
OIL CITY	VENANGO	11,504
PARKER	ARMSTRONG	799
PITTSTON	LUZERNE	8,104
POTTSVILLE	SCHUYLKILL	15,549
SHAMOKIN	NORTHUMBERLAND	8,009
ST. MARY'S	ELK	14,502
SUNBURY	NORTHUMBERLAND	10,610
TITUSVILLE	CRAWFORD	6,146
UNIONTOWN	FAYETTE	12,422
WARREN	WARREN	10,259
TOTAL		285,315

BOROUGHES, TOWNS AND TOWNSHIPS (120)

BOROUGHES, TOWN OR TOWNSHIP	COUNTY	POPULATION
	ADAMS	
GETTYSBURG BOROUGH		7,490
	ARMSTRONG	
KISKIMINETAS TOWNSHIP		4,950
KITTANNING BOROUGH		4,787
MANOR TOWNSHIP		4,231
	BEDFORD	
BEDFORD TOWNSHIP		5,417
	BLAIR	
ANTIS TOWNSHIP		6,328
BLAIR TOWNSHIP		4,587
FRANKSTOWN TOWNSHIP		7,694
HOLLIDAYSBURG BOROUGH		5,368
LOGAN TOWNSHIP		11,925
TYRONE BOROUGH		5,528
	BRADFORD	
SAYRE BOROUGH		5,813
	BUTLER	
PENN TOWNSHIP		5,210
SLIPPERY ROCK TOWNSHIP		5,251
SUMMIT TOWNSHIP		4,728
JEFFERSON TOWNSHIP		5,690
ZELIENOPLE BOROUGH		4,123
	CAMBRIA	
ADAMS TOWNSHIP		6,495
CAMBRIA TOWNSHIP		6,323
CRESSON TOWNSHIP		4,055
JACKSON TOWNSHIP		4,925
NORTHERN CAMBRIA BOROUGH		4,199
	CARBON	
FRANKLIN TOWNSHIP		4,243
JIM THORPE BOROUGH		4,804
LANSFORD BOROUGH		4,230
LEHIGHTON BOROUGH		5,537
PALMERTON BOROUGH		5,248
	CENTRE	
BELLEFONTE BOROUGH		6,395
	CLARION	
CLARION BOROUGH		6,185

	CLEARFIELD	
CLEARFIELD BOROUGH		6,631
LAWRENCE TOWNSHIP		7,712
SANDY TOWNSHIP II		11,556
	COLUMBIA	
BERWICK BOROUGH		10,774
BLOOMSBURG TOWN		12,375
	CRAWFORD	
VERNON TOWNSHIP		5,499
	ELK	
RIDGWAY BOROUGH		4,591
	ERIE	
EDINBORO BOROUGH		6,950
GIRARD TOWNSHIP		5,133
MCKEAN TOWNSHIP		4,619
NORTH EAST BOROUGH		4,601
WASHINGTON TOWNSHIP		
	FAYETTE	
BULLSKIN TOWNSHIP		7,782
DUNBAR TOWNSHIP		7,562
GEORGES TOWNSHIP		6,752
GERMAN TOWNSHIP		5,595
LUZERNE TOWNSHIP		4,683
MENALLEN TOWNSHIP		4,644
NORTH UNION TOWNSHIP		14,140
REDSTONE TOWNSHIP		6,397
SOUTH UNION TOWNSHIP		11,337
WASHINGTON TOWNSHIP		4,461
WHARTON TOWNSHIP		4,145
	FRANKLIN	
CHAMBERSBURG BOROUGH		17,862
WAYNESBORO BOROUGH		9,614
	GREENE	
CUMBERLAND TOWNSHIP		6,564
FRANKLIN TOWNSHIP		7,694
WAYNESBURG BOROUGH		4,184
	HUNTINGDON	
HUNTINGDON BOROUGH		6,918
SMITHFIELD TOWNSHIP		4,466
	INDIANA	
CENTER TOWNSHIP		4,876
INDIANA BOROUGH		14,895

	JEFFERSON	
BROOKVILLE BOROUGH		4,230
PUNXSUTAWNEY BOROUGH		6,271
	LACKAWANNA	
ARCHBALD BOROUGH		6,220
BLAKELY BOROUGH		7,027
CLARKS SUMMIT BOROUGH		5,126
DICKSON CITY BOROUGH		6,205
DUNMORE BOROUGH		14,018
JESSUP BOROUGH		4,718
OLD FORGE BOROUGH		8,798
OLYPHANT BOROUGH		4,978
SCOTT TOWNSHIP		4,931
TAYLOR BOROUGH		6,475
THROOP BOROUGH		4,010
	LAWRENCE	
ELLWOOD CITY BOROUGH		7,956
SHENANGO TOWNSHIP		7,633
UNION TOWNSHIP		5,103
	LEBANON	
SOUTH LEBANON TOWNSHIP		8,383
	LYCOMING	
JERSEY SHORE BOROUGH		4,482
LOYALSOCK TOWNSHIP		10,876
MONTOURSVILLE BOROUGH		4,777
SOUTH WILLIAMSPORT BOROUGH		6,412
	MCKEAN	
BRADFORD TOWNSHIP		4,816
KANE BOROUGH		4,126
	MERCER	
GREENVILLE BOROUGH		6,380
GROVE CITY BOROUGH		8,024
SHARPSVILLE BOROUGH		4,500
PINE TOWNSHIP		4,493
	MIFFLIN	
DERRY TOWNSHIP		7,256
GRANVILLE TOWNSHIP		4,895
LEWISTOWN BOROUGH		8,998
	MONROE	
EAST STROUDSBURG BOROUGH		9,888
STROUDSBURG BOROUGH		5,756

	MONTGOMERY	
CONSHOHOCKEN BOROUGH		7,589
LIMERICK TOWNSHIP		13,534
	MONTOUR	
DANVILLE BOROUGH		4,897
	NORTHUMBERLAND	
COAL TOWNSHIP		10,628
MILTON BOROUGH		6,650
MOUNT CARMEL BOROUGH		6,390
DELAWARE TOWNSHIP		4,341
	SCHUYLKILL	
BUTLER TOWNSHIP		4,987
FRACKVILLE BOROUGH		4,361
MAHANOEY CITY BOROUGH		4,647
MINERSVILLE BOROUGH		4,552
SCHUYLKILL HAVEN BOROUGH		5,548
SHENANDOAH BOROUGH		5,624
TAMAQUA BOROUGH		7,174
WAYNE TOWNSHIP		4,721
	SNYDER	
SELINGSGROVE BOROUGH		5,383
	SOMERSET	
CONEMAUGH TOWNSHIP II		7,452
SOMERSET BOROUGH		6,762
SOMERSET TOWNSHIP		11,088
WINDBER BOROUGH		4,395
JENNER TOWNSHIP		4,054
	UNION	
LEWISBURG BOROUGH		5,620
KELLY TOWNSHIP		4,502
	VENANGO	
CRANBERRY TOWNSHIP		7,014
SUGARCREEK BOROUGH		5,331
	WAYNE	
HONESDALE BOROUGH		4,874
	WESTMORELAND	
SCOTTDALE BOROUGH		4,772
TOTAL		771,422

COUNTIES (50)

MUNICIPALITY	COUNTY	POPULATION
ADAMS COUNTY	ADAMS	83,802
ARMSTRONG COUNTY	ARMSTRONG	57,625
BEDFORD COUNTY	BEDFORD	44,567
BLAIR COUNTY	BLAIR	38,191
BRADFORD COUNTY	BRADFORD	56,948
BUTLER COUNTY	BUTLER	133,960
CAMBRIA COUNTY	CAMBRIA	102,695
CAMERON COUNTY	CAMERON	5,974
CARBON COUNTY	CARBON	34,740
CENTRE COUNTY	CENTRE	90,943
CLARION COUNTY	CLARION	35,580
CLEARFIELD COUNTY	CLEARFIELD	49,360
CLINTON COUNTY	CLINTON	28,765
COLUMBIA COUNTY	COLUMBIA	41,002
CRAWFORD COUNTY	CRAWFORD	65,036
ELK COUNTY	ELK	16,019
ERIE COUNTY	ERIE	92,334
FAYETTE COUNTY	FAYETTE	49,578
FOREST COUNTY	FOREST	4,946
FRANKLIN COUNTY	FRANKLIN	101,837
FULTON COUNTY	FULTON	14,261
GREENE COUNTY	GREENE	22,230
HUNTINGDON COUNTY	HUNTINGDON	34,202
INDIANA COUNTY	INDIANA	69,834
JEFFERSON COUNTY	JEFFERSON	35,431
JUNIATA COUNTY	JUNIATA	22,821
LACKAWANNA COUNTY	LACKAWANNA	54,569
LAWRENCE COUNTY	LAWRENCE	47,642
LEBANON COUNTY	LEBANON	87,483
LYCOMING COUNTY	LYCOMING	62,791
MCKEAN COUNTY	MCKEAN	27,819
MERCER COUNTY	MERCER	58,361
MIFFLIN COUNTY	MIFFLIN	25,337
MONROE COUNTY	MONROE	123,043
MONTOUR COUNTY	MONTOUR	13,339
NORTHUMBERLAND COUNTY	NORTHUMBERLAND	47,928
PERRY COUNTY	PERRY	43,602
PIKE COUNTY	PIKE	46,302
POTTER COUNTY	POTTER	18,080
SCHUYLKILL COUNTY	SCHUYLKILL	93,173
SNYDER COUNTY	SNYDER	32,163

SOMERSET COUNTY	SOMERSET	48,041
SULLIVAN COUNTY	SULLIVAN	6,556
SUSQUEHANNA COUNTY	SUSQUEHANNA	42,238
TIOGA COUNTY	TIOGA	41,373
UNION COUNTY	UNION	31,502
VENANGO COUNTY	VENANGO	26,504
WARREN COUNTY	WARREN	33,604
WAYNE COUNTY	WAYNE	42,848
WYOMING COUNTY	WYOMING	28,080
TOTAL		2,415,059

Appendix F
HOPWA Eligible Housing Related Activities

Service Category	Service Definition	Sub-Service Name	Sub-Service Description	1 Unit =
Housing Services	The provision of short-term assistance to support emergency, temporary or transitional housing to enable an individual or family to gain or maintain medical care. Housing-related referral services include assessment, search, placement, advocacy, and the fees associated with them. Eligible housing can include both housing that does not provide direct medical or supportive services and housing that provides some type of medical or supportive services such as residential mental health services, foster care, or assisted living residential services.	Housing Assistance/ Information Services - RW	Assistance provided to locate and obtain suitable, ongoing or transitional housing. Includes counseling, information, and referral services to assist a client to locate, acquire, finance and maintain housing. May also include fair housing counseling.	15 minutes
		Housing Assistance/ Information Services – HOPWA	Assistance provided to locate and obtain suitable, ongoing or transitional housing. Includes counseling, information, and referral services to assist a client to locate, acquire, finance and maintain housing. May also include fair housing counseling.	15 minutes
		Housing support – RW Only	Provides short-term financial assistance to support temporary or transitional housing required in order to gain or maintain medical care. Does not include on-going rental subsidies or mortgage payments.	1 Day
		Resource Identification - HOPWA Only	Activities intended to establish, coordinate and develop housing assistance resources. Includes conducting research to determine feasibility of housing related initiatives.	1 Hour
		Acquisition and Rehab - HOPWA ONLY	Activities related to the acquisition, rehabilitation, conversion, lease, and repair of facilities to provide housing and services.	\$1.00
		New Construction - HOPWA Only	Costs associated with the construction of Single Room Occupancy and community residences.	\$1.00
		Rental Assistance Payments - HOPWA Only	Costs related to the payments made for support of ongoing, monthly project or tenant-based rental assistance/rent subsidies.	\$1.00
		Short-term rent, mortgage and utility payments - HOPWA Only	Costs related to payments to prevent the homelessness of a tenant or mortgagor of a dwelling.	\$1.00
		Permanent Housing Placement – HOPWA Only	Costs for security deposits and first month rent not to exceed two months rent.	\$1.00
		Supportive Services – HOPWA Only	HOPWA Case management	15 minutes
		Supportive or Skilled Housing - HOPWA Only	Provides for transitional or ongoing, facility-based housing including nursing home, skilled care, intermediate care and personal care facilities and projects or facilities that provide housing with supportive services. Also known as HOPWA Operating Costs.	1 Day

Appendix G

Housing Authorities Certification of Consistency

Public Housing Authorities (PHAs) in the more rural areas of the state will be required to obtain a Certificate of Consistency with this Consolidated Plan as part of their Agency Plan submission to HUD. DCED will be responsible for processing the Certificates of Consistency for the PHAs. The PHAs should submit requests for Certificates of Consistency to the Office of Community Development, Department of Community and Economic Development, 4th Floor, Commonwealth Keystone Building, 400 North Street, Harrisburg, PA 17120. The telephone support number within DCED for Certificates of Consistency is (717) 720-7412.

PHA Agency Plans will be found to be consistent with Pennsylvania's Consolidated Plan when they include one or more of the following activities:

- Rehabilitation of the existing public housing stock in a manner that is sensitive to the need for accessibility and visitability by persons with disabilities.
- Demolition of obsolete public housing units.
- Conversion of underutilized and less marketable public housing units into unit configurations that is more marketable.
- Development of new lower density public housing that is conducive to neighborhood revitalization.
- Homeownership incentives for public housing residents.
- Resident initiatives, especially those aimed at promoting the economic self-sufficiency of public housing residents.
- Supportive services, especially those that support the aging in place of senior residents.
- Requests for additional Section 8 vouchers from HUD.

As an outcome of the process of completing this Consolidated Plan, Pennsylvania has established a policy initiative to expand public awareness of the needs of persons with disabilities. During the next five years, the state will be tracking the progress of all housing providers in addressing the needs of persons with disabilities. Therefore, when submitting requests for Certificates of Consistency, PHAs are asked to include the following information along with the submission of their Agency Plans:

- A summary of the PHA's efforts and accomplishments in expanding the number of accessible and visitable public housing and Section 8 units.
- A summary of the PHA's efforts and accomplishments in collaborating with other housing providers, especially those whose mission involves special housing needs.
- A summary of the PHA's efforts and results to meet the income targeting and income mixing requirements of QHWRA.

Appendix H

Definitions of Terms Used with the Consolidated Plan

American Dream Downpayment Initiative (ADDI): The funds provide first-time homebuyers with down payment and closing cost assistance toward the purchase of single-family housing by low-income families.

Affordable Housing: Affordable housing is generally defined as housing where the occupant is paying no more than 30% of gross income for gross housing costs, including utility costs.

Area of Low-Income Concentration: A geographic location (municipality) in which persons living in households with incomes below the federal poverty line, as established by the U.S. Office of Management and Budget, represent over 40% of the total population.

Area of Racial or Ethnic Concentration: A geographic location (municipality) in which minority persons living in households of all income levels represent over 20 percentage points greater than the percentage of minority persons living in the entire state. (Since Pennsylvania's minority population is 12.28%, any municipality over 32.28% meets this definition.)

Assisted Household or Person: See Benefit with Housing definition listed below.

Benefit with Housing: For the purpose of specifying one-year goals for assisting households or persons, a household or person is assisted if, during the coming federal fiscal year, they will benefit through one or more programs included in the jurisdiction's investment plan. A renter is benefited if the person takes occupancy of affordable housing that is newly-acquired, newly-rehabilitated, or newly-constructed, and/or receives rental assistance. An existing homeowner is benefited during the year if the home's rehabilitation is completed. A first-time homebuyer is benefited if a home is purchased during the year. A homeless person is benefited during the year if the person becomes an occupant of transitional or permanent housing. A non-homeless person with special needs is considered as being benefited if the provision of supportive services is linked to the acquisition, rehabilitation, or new construction of a housing unit and/or the provision of rental assistance during the year. Also, all persons served by the HOPWA program are presumed to be special needs.

Consistent with the Consolidated Plan: A determination made by the jurisdiction that a program application meets the following criterion: The Annual Plan for that fiscal year's funding indicates the jurisdiction planned to apply for the program or was willing to support an application by another entity for the program; the location of activities is consistent with the geographic areas specified in the plan; and the activities benefit a category of residents for which the jurisdiction's five-year strategy shows a priority.

Community Correction Centers: These facilities serve only inmates who have completed at least one-half of a minimum sentence, but do not have more than one year remaining until that minimum sentencing date.

Developmentally Disabled: The federal definition of developmental disabilities includes a wide range of persons with disabilities. It includes any person who has a severe, chronic disability; which is due to a mental and/or physical impairment, occurs before reaching age 22 and is likely to continue indefinitely, results in substantial limitations in three or more of the following: (i) self-care, (ii) receptive and expressive language, (iii) learning, (iv) mobility, (v) self-direction,

(vi) capacity for independent living, and (vii) economic self-sufficiency; and requires a combination and sequence of special, interdisciplinary, or generic care, treatment, or other services that are of lifelong or extended duration and are individually planned and coordinated.

This definition includes mental retardation, but also includes conditions such as cerebral palsy, autism, some types of epilepsy and learning disabilities, and a number of other specific disabilities.

Discharge Plan Policy Sources: For the purpose of defining the discharge plan policies of publicly-funded institutions within the Commonwealth that serve to prevent discharge to immediate homelessness, the following sources are cited:

Children and Youth Discharge Policy

<http://www.pacode.com/secure/data/055/chapter3800/chap3800toc.html>;

Hospital Policy <http://www.pacode.com/secure/data/028/chapter105/chap105toc.html>; and the Department of Corrections “Inmate Reentry and Transition Policy document number 7.3.1 effective February 6, 2006 may be obtained upon request to the Department of Corrections’ Bureau of Standards, Practices and Security at (717) 731-7111.

Extremely Low-Income: Households whose incomes do not exceed 30% of the median-income for the area, as determined by HUD, with adjustments for smaller and larger families and for areas with unusually high or low- incomes or where needed because of prevailing levels of construction costs or fair market rents. (HOME statutory language defines low-income as incomes that do not exceed 80% of the median-income for the area and very low-income as up to 50% of median for the area.)

Low-income: Households whose incomes do not exceed 50% of the median area income for the area, as determined by HUD, with adjustments for smaller and larger families and for areas with unusually high or low- incomes or where needed because of prevailing levels of construction costs or fair market rents. (HOME statutory language defines low- income as incomes that do not exceed 80% of the median income for the area and very low income as up to 50% of median for the area.)

Middle Income: Households whose incomes are between 81 percent and 95 percent of the median-income for the area, as determined by HUD, with adjustments for smaller or larger families, except that HUD may establish income ceilings higher or lower than 95 percent of the median for that area on the basis of HUD's findings that such variations are necessary because of prevailing levels of construction costs or fair market rents, or unusually high or low family incomes.

Moderate Income: Households whose incomes do not exceed 80% for the median-income for the area, as determined by HUD with adjustments for smaller and larger families, except that HUD may establish income ceilings higher or lower than 80% of the median for the area on the basis of HUD's findings that such variations are necessary because of prevailing levels of construction costs or fair market rents, or unusually high or low family incomes. (HOME statutory language defines low income as incomes that do not exceed 80 percent of the median income for the area and very low income as up to 50% of median for the area.)

New Freedom Initiative: A means to promote full access to community life via the implementation of the Olmstead Decision. This initiative is an effort to remove barriers to

community living that are present in the lives of persons with disabilities (mental and physical). It proposes six broad objectives – to increase access to assistive and universally designed technologies; to expand educational opportunities; to promote homeownership; to integrate Americans with disabilities into the workforce; to expand transportation options; and to promote full access to community life. Outreach efforts can be expanded to ensure that persons with disabilities are aware of the support that may be available to them through CDBG-assisted programs in the jurisdiction where they reside.

Nonparticipating Jurisdictions: Geographic areas (e.g., counties, cities) of the Commonwealth that must access federal HOME funds through the state.

Participating Jurisdictions: Geographic areas (e.g., states, counties, cities) of the Commonwealth that receive an allocation of HOME funds directly from the federal government.

Pennsylvania Act 179: State law, which authorizes use of and determines the allocation formula for the Community Development Block Grant (CDBG) program in areas of the Commonwealth that do not receive CDBG funds directly from the federal government.

Section 108: This is a federal loan guarantee program based on the CDBG allocations.

Section 215: Section 215 of Title II of the National Affordable Housing Act defines "affordable" housing projects under the HOME Program.

Substandard Conditions: Dwelling units that do not meet standard conditions and may or may not be suitable for rehabilitation.

Supportive Services: Services provided to residents of supportive housing for the purpose of facilitating the independence of residents. Some examples are case management, medical or psychological counseling and supervision, childcare, transportation, and job training.

Appendix I

Summary of Public Comments

DCED received public comments at several stages in the development of the Action Plan 2012. Meetings of the Regional Housing Advisory Committees were held between December 12 and 16, 2011. Details on internet-based public meetings concerning the proposed Action Plan were advertised in six newspapers of general circulation throughout the state. Notices were also sent to County Commissioners, district libraries and a designated list of interest persons. Public meetings were held on December 19, 2011 and January 23, 2012 and provided an opportunity to receive oral and written comments on the Action Plan. In addition, the Pennsylvania Housing Advisory Committee, composed of high ranking state officials and representatives from each of the Regional Housing Advisory Committees, met on January 26, 2012 to review the proposed Plan. A total of 76 persons participated in these meetings and provided verbal or written comments. The following is a summary of the issues raised at these meetings, based upon verbal and written comments, and staff notes:

Concerns were raised that the tremendous cuts in CDBG and HOME funds over the last two years will present a huge challenge to grantees as they try to develop housing, because these resources were heavily relied upon to leverage the funds of housing developers. Participants expressed that this will hinder the ability of county governments and municipalities to assure that affordable housing options are available to low- and moderate-income families within their jurisdictions.

Meeting participants also indicated that the Marcellus Shale drilling industry has effectively eliminated the availability of affordable housing (particularly rental housing) in the Northern tier and Southwest regions of the Commonwealth, as the existing rental stock has been absorbed by employees of the drilling industry.

DCED responded that the Department has been building collaborations and partnering with other funders to leverage assets that are currently available. For example, the restoration of tax credits will help in this effort. It was stated that affordable housing is and must given the highest priority, and will be assessed on a regional basis.

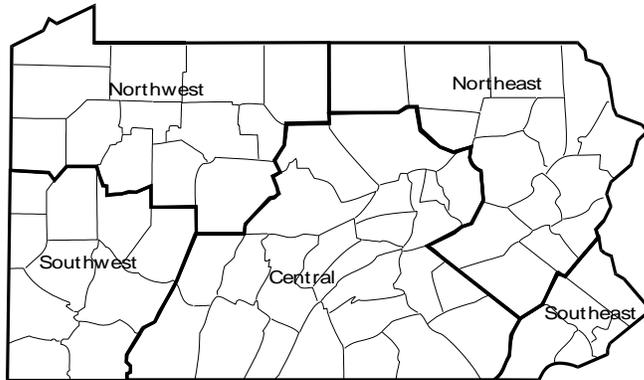
Several participants inquired whether DCED and PHFA would consider earmarking funding to address the alarming number of abandoned and foreclosed properties on the market which are causing property values to drop in and around neighborhoods where these properties sit. It was noted that the elimination of the Homeowner's Emergency Mortgage Assistance Program (HEMAP) in the Commonwealth has caused an increase in foreclosures and significantly reduced the availability of financial assistance to help people who get behind on their mortgages. PHFA noted that its housing programs with flexible financing plans can serve as a viable solution to this problem and increase housing opportunities for low- and moderate-income families.

Appendix J

Organizational Structure of the Department of Community and Economic Development

Regional Offices

Pennsylvania Department of Community and Economic Development



Southeast

Bucks, Chester, Delaware, Montgomery and Philadelphia Counties

DCED – Lisa Worden, Director
1400 Spring Garden Street
Philadelphia State Office Building, Room 1800
Philadelphia, PA 19130
(215) 560-2256 Fax: (215) 560-6722

Northeast

Berks, Bradford, Carbon, Lackawanna, Lehigh, Luzerne, Monroe, Northampton, Pike, Schuylkill, Sullivan, Susquehanna, Tioga, Wayne and Wyoming Counties

DCED – Gary Baker
409 Lackawanna Avenue, 3rd Floor
Oppenheim Building
Scranton, PA 18503
(570) 963-4571 Fax: (717) 963-3439

Central

Adams, Bedford, Blair, Cambria, Centre, Clinton, Columbia, Cumberland, Dauphin, Franklin, Fulton, Huntingdon, Juniata, Lancaster, Lebanon, Lycoming, Mifflin, Montour, Northumberland, Perry, Snyder, Somerset, Union, and York Counties

DCED – Louis Colon, Director
Commonwealth Keystone Building
400 North Street, 4th Floor
Harrisburg, PA 17120-0225
(717) 720-7300 Fax (717) 783-4663

Southwest

Allegheny, Armstrong, Beaver, Butler, Fayette, Greene, Indiana, Washington, and Westmoreland Counties

DCED – Albert D’Alessandro,
1405 State Office Building
300 Liberty Avenue
Pittsburgh, PA 15222
(412) 565-5002 Fax: (412) 565-2635

Northwest

Cameron, Clarion, Clearfield, Crawford, Elk, Erie, Forest, Jefferson, Lawrence, McKean, Mercer, Potter, Venango, and Warren Counties

DCED – John Onorato, Director
100 State Street, Suite 205
Erie, PA 16507
(814) 871-4241 Fax: (814) 454-7494

CERTIFICATIONS

General Certifications

In accordance with the applicable statutes and the regulations governing the Consolidated Plan regulations, the state certifies that:

Affirmatively Further Fair Housing

The state will affirmatively further fair housing, which means it has conducted an analysis of impediments to fair housing choice within the state, takes appropriate actions to overcome the effects of any impediments identified through that analysis, and maintains records reflecting that analysis and actions in this regard.

Anti-Displacement and Relocation Plan

The state will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under Section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug-Free Workplace

The state will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition.
2. Establishing an ongoing drug-free awareness program to inform employees about:
 - (a) The dangers of drug abuse in the workplace.
 - (b) The grantee's policy of maintaining a drug-free workplace.
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs.
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.
3. Making it a requirement that each employee engaged in the performance of the grant will receive a copy of the statement required by paragraph 1.
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will:
 - (a) Abide by the terms of the statement.
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction.
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant.
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee whom is so convicted:

- (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (b) Requiring such employee to participate satisfactorily in drug abuse assistance or rehabilitation program approved for such purposes by a federal, state, or local health, law enforcement, or other appropriate agency.
7. Making a good faith effort to continue to maintain a drug-free workplace through the implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying

To the best of the state's knowledge and belief:

- 1. No federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
- 2. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- 3. It will require that the language of paragraph 1 and 2 of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

Authority of State

The submission of the Consolidated Plan is authorized under state law and the state possesses the legal authority to carry out the programs under the Consolidated Plan for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with Plan

The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3

It will comply with Section 3 of the Housing and Urban Development Act of 1968 and implementing regulations at 24 CFR Part 135.

C. Alan Walker
Secretary
Department of Community and Economic Development

Date _____

Terri A. Matio
Chief Financial Officer
Department of Health

Date _____

Specific CDBG Certifications

The state certifies that:

Citizen Participation

It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR §91.115 and each unit of general local government that receives assistance from the state is or will be following a detailed citizen participation plan that satisfies the requirements of 24 CFR §570.486.

Consultation with Local Governments

It has or will comply with the following:

1. It has consulted with affected units of local government in the non-entitlement area of the state in determining the method of distribution of funding.
2. It engages in, or will engage in, planning for community development activities.
3. It provides, or will provide, technical assistance to units of local government in connection with community development programs.
4. It will not refuse to distribute funds to any unit of general local government on the basis of the particular eligible activity selected by the unit of general local government to meet its community development needs, except that a state is not prevented from establishing priorities in distributing funding on the basis of the activities selected.

Local Needs Identification

It will require each unit of general local government to be funded to identify its community development and housing needs, including the needs of low-income and moderate-income families, and the activities to be undertaken to meet such needs.

Community Development Plan

Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objectives of Title I of the Housing and Community Development Act of 1974, as amended. (See 24 CFR 570.2 and 24 CFR part 570).

Use of Funds

It has complied with the following criteria:

1. Maximum Feasible Priority - With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan also includes activities which the grantee certifies are to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available.
2. Overall Benefit - The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year 2011 shall principally benefit persons of low-income and moderate-income in a manner that ensures that at least 70% of the

amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments - The state will require units of general local government that receive CDBG funds to certify to the following:

It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 Loan Guarantee funds, by assessing any amount against properties owned and occupied by persons of low-income and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force

It will require units of general local government that receive CDBG funds to certify that they have adopted and are enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in nonviolent civil rights demonstrations; and
2. A policy of enforcing applicable state and local laws against physically barring entrance to or exit from a facility or location which is the subject of such nonviolent civil rights demonstrations within its jurisdiction.

Compliance with Anti-Discrimination

The grant will be conducted and administered in conformity with Title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Compliance with Laws

It will comply with applicable laws.

C. Alan Walker
Secretary
Department of Community and Economic Development

Date

Specific HOME Certifications

The state certifies that:

Tenant-Based Rental Assistance

If it intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the state's Consolidated Plan.

Eligible Activities and Costs

It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §92.205 through §92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Appropriate Financial Assistance

Before committing any funds to a project, the state or its recipients will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other federal assistance than is necessary to provide affordable housing.

C. Alan Walker
Secretary
Department of Community and Economic Development

Date _____

Specific ESG Certifications

The Emergency Shelter Grantee certifies that:

1. The requirements of 24 CFR 576.21(a)(4), which provide that the funding of homeless prevention activities for families that have received eviction notices or notices of termination of utility service meet the following standards: (A) that the inability of the family to make the required payments must be the result of a sudden reduction in incomes; (B) that the assistance must be necessary to avoid eviction of the family or termination of the services to the family; (C) that there must be a reasonable prospect that the family will be able to resume payments within a reasonable time; and (D) that the assistance must not supplant funding for pre-existing homelessness prevention activities from any source.
2. The requirements of 24 CFR 576.25(b)(2) concerning the submission by nonprofit organizations applying for funding of a certification of approval of the proposed projects(s) from the unit of local government in which the proposed project is located.
3. The requirements of 24 CFR 576.53 concerning the continued use of buildings for which the ESG funds are used for rehabilitation or conversion of the buildings for use as emergency shelters for the homeless; or when funds are used solely for operating costs or essential services, concerning the population to be served.
4. The building standards requirement of 24 CFR 576.55.
5. The requirements of 24 CFR 576.56, concerning assistance to the homeless.
6. The requirements of 24 CFR 576.57, other appropriate provisions of 24 CFR Part 576, and other applicable federal law concerning nondiscrimination and equal opportunity.
7. The requirements of 24 CFR 576.59(b) concerning the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.
8. The requirements of CFR 576.59 concerning minimization of the displacement of persons as a result of a project assisted with these funds.
9. The requirements of 24 CFR 576.56(a) and 576.65(b) that grantees develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under the project assisted under the ESG Program and that the address or location of any family violence shelter project assisted with ESG funds will not be made public, except with written authorization of the person or persons responsible for the operation of the shelter.
10. The requirement of that recipients involve, to the maximum extent practicable, homeless individuals and families in constructing, renovating, maintaining, and

operating facilities assisted under the ESG Program, and in providing services for occupants of these facilities as provided by 24 CFR 576.56(b)(2)

11. The new requirement of the McKinney Act (42 USC 11352) to develop and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly-funded institutions of systems of care (such as healthcare facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons. Further understand that state and local governments are primarily responsible for the care of these individuals, and that ESG funds are not to be used to assist such persons in place of state and local resources.

I certify that the Commonwealth will comply with the requirements of 24 CFR Part 24 concerning the Drug Free Workplace Act of 1988.

I certify that the Commonwealth will comply with the provisions of, and regulations and procedures applicable under 24CFR 576.57(e) with respect to the environmental review responsibilities under the National Environmental Policy Act of 1969 and related authorities as specified in 24 CFR Part 58 as applicable to activities of nonprofit organizations funded directly by the Commonwealth. The Commonwealth agrees to assume the Department's responsibility and authority as set forth in 24 CFR 576.57(e) for acting on the environmental certifications and requests for the release of funds submitted to the Commonwealth by local government recipients.

I certify that the Commonwealth will ensure the provision of the matching funds required by 24 CFR 576.561 and 42 USC 11375, including a description of the sources and amounts of such supplemental funds, as provided by the Commonwealth, units of general local government or nonprofit organizations.

I further certify that the submission of a complete and approved Consolidated Plan and its relevant certifications, which is treated as the application for an Emergency Shelter Grant, is authorized under Commonwealth law, and that the Commonwealth possesses legal authority to fund the carrying out of grant activities by units of general local government and nonprofit organizations in accordance with applicable laws and regulations of the Department of Housing and Urban Development.

C. Alan Walker
Secretary
Department of Community and Economic Development

Date

HOPWA Certifications

The state HOPWA grantee certifies that:

Activities

Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building

Any building or structure assisted under the program shall be operated for the purpose specified in the Plan:

1. For at least ten (10) years in the case of any building or structure purchased, leased, rehabilitated, or converted with HOPWA assistance;
2. For at least three (3) years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

Terri A. Matio
Chief Financial Officer
Department of Health

Date